



Artificial Intelligence and Division of Pharmacovigilance

Narayan Nair, M.D.

Division Director, Division of Pharmacovigilance
Office of Biostatistics and Pharmacovigilance
Center for Biologics Evaluation and Research
U.S. Food and Drug Administration



Disclaimer

My comments are an informal communication and represent my own best judgment. These comments do not bind or obligate the US FDA.



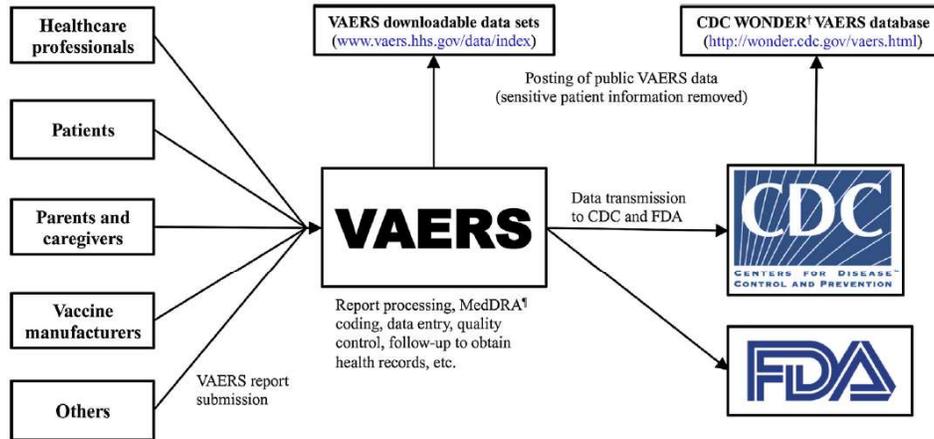
Outline

- CBER's management of pharmacovigilance (PV) during COVID-19 Pandemic
- Future Considerations

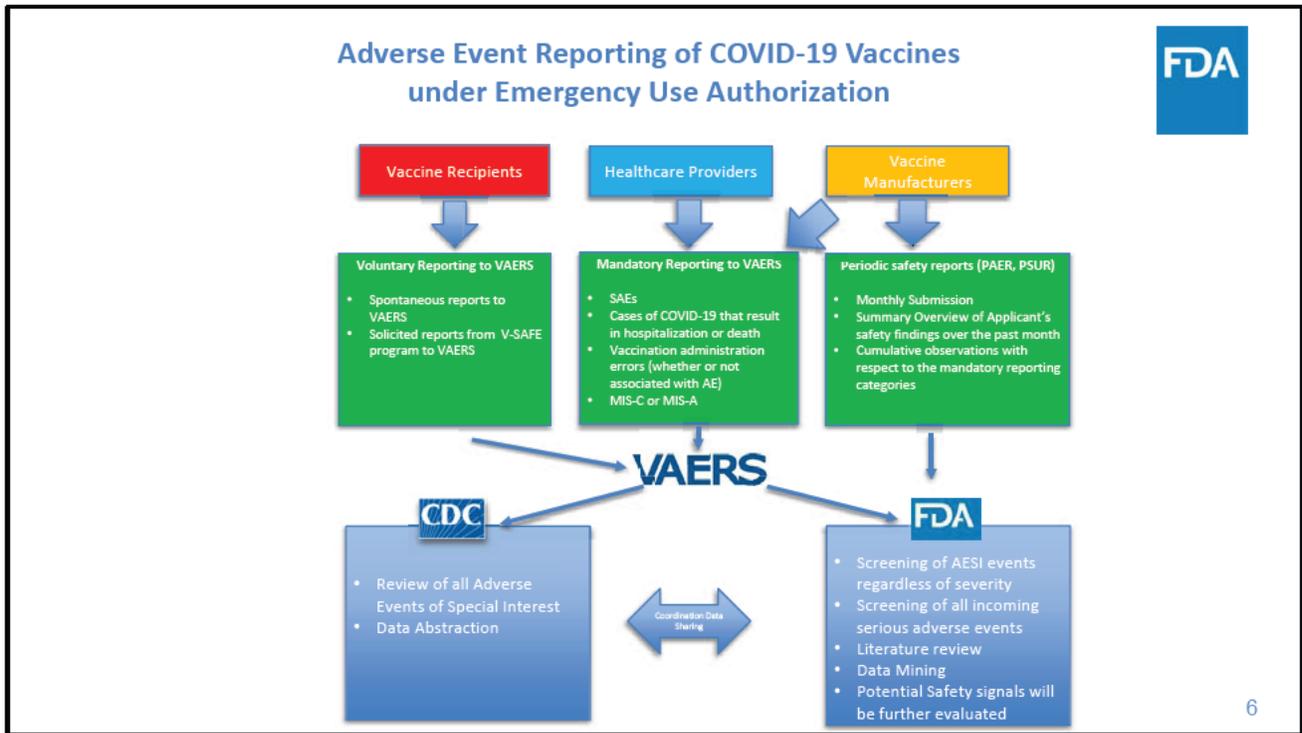


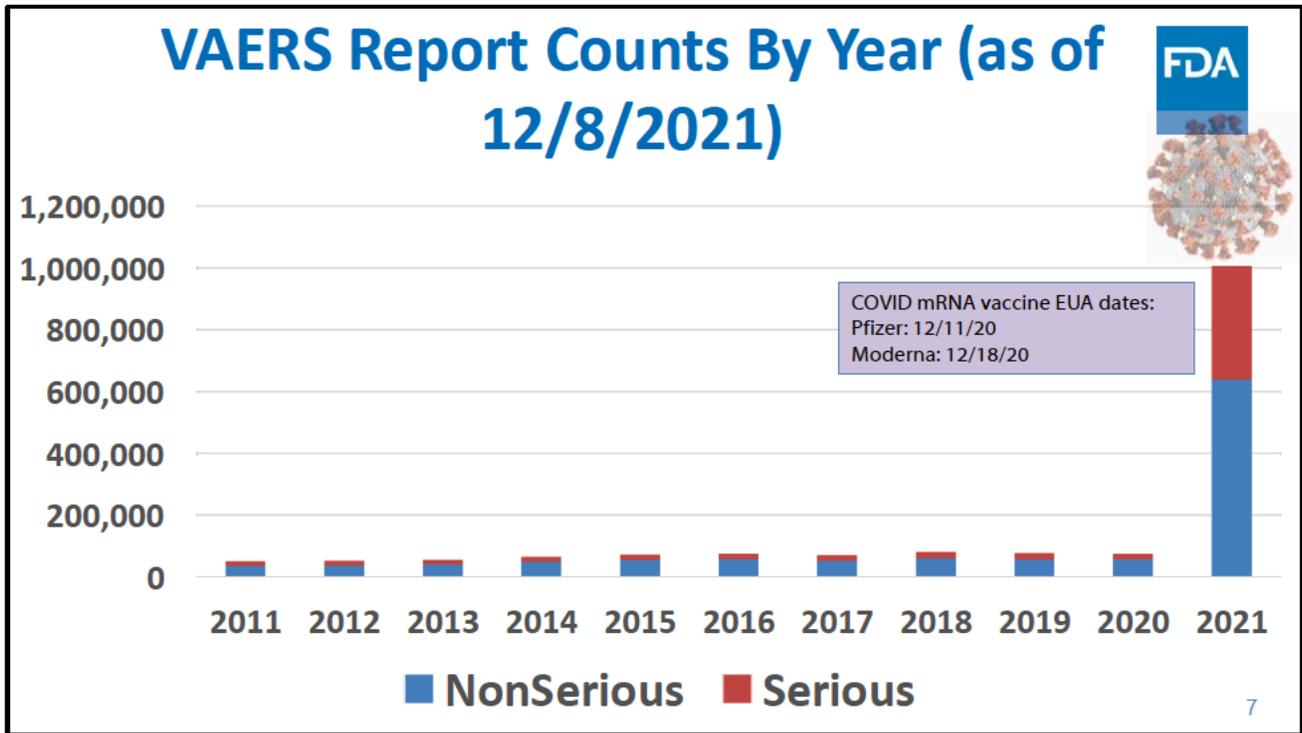
CBER Management of PV During COVID-19 Pandemic

VAERS Process



Shimabukuro T, Nguyen M, Martin D, DeStefano F. Safety Monitoring in the Vaccine Adverse Event Reporting System (VAERS). *Vaccine* doi :10.1016/j.vaccine.2015.07.035.





Increased Reporting in the COVID Era



Pre-COVID Era

~55K VAERS reports/year; ~25% serious (~14K)
(trending up from ~35K over a decade)
- Manual review of all serious reports



2021: The COVID Era Begins

2021: >1,075K reports; ~40% serious (~400K)
2022: >665K reports; ~60% serious (~350K)

(Note: these statistics apply to VAERS only)

~20,000 serious reports/reviewer (~385 per week)

~28-fold increase in serious adverse events

COVID-19 Vaccine Safety Monitoring



- The postmarketing safety review of COVID-19 vaccines includes:
 - Review of all individual serious VAERS reports
 - Case series analysis
 - Aggregate data analysis
 - Review data from other sources (published literature, postmarketing studies)
- In addition to passive surveillance, active surveillance and postmarketing studies play a key role in evaluating and further characterizing the safety of COVID-19 vaccines.

Data Mining



Disproportional Reporting: AEs reported more commonly for one product than others

- Empirical Bayesian Geometric Mean (EBGM):
 - Statistical score (“alert”)
 - $EB05 \geq 2$: accepted threshold
- Screening tool for analyzing large databases
- Complements traditional review
- Evaluate Alerts in larger clinical and epidemiologic context.
 - Good for generating hypothesis
 - Does not account for biases, confounding
 - Unexpected and/or unlabeled?
 - Confounding by indication?

(EB05: Lower bound of the 95% confidence interval of EBGM Empirical Bayesian Geometric Mean (EBGM)_q)



DPV's Future Considerations in Use of AI

Overarching Principles



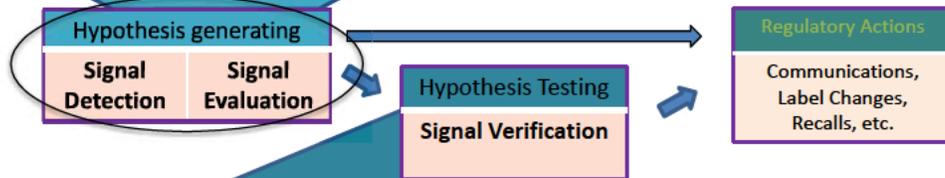
- Address ethical concerns up front
- Augment Existing Staff
 - Automate tasks and workflow
- Integrate into existing workflow and processes
- Heavy emphasis on user acceptance testing

12



Signal Detection, Evaluation, and Verification

| Sources of Safety Data | |
|------------------------|---|
| Pre-licensure | Post-licensure |
| Clinical trials | Spontaneous reporting (VAERS) |
| | Large electronic healthcare database |
| | Other (Literature, foreign regulatory agencies) |



| Verification Tools |
|---|
| Large electronic healthcare databases (Sentinel, CMS, etc.) |
| Observational Studies |
| Clinical Trials |

Signal Detection and Evaluation



- Conduct a search in VAERS and in Literature
- Develop a Case Series
 - Establish a Case Definition
 - Remove Duplicate Reports
 - Remove Reports with Missing Information or that do not meet Case Definition
- Conduct an Observed to Expected (O/E) Analysis

14

Natural Language Processing

- **Event-based Text-Mining of Health Electronic Records (ETHER)**
- Deconstruction of adverse event descriptions
 - Extracts clinical features (e.g. diagnoses) from safety reports
 - Extracts time information and associates it with the clinical features
- Summarization of adverse event information
 - Creates textual and tabular summaries
 - Visualizes the temporal associations of the extracted features
- Deconstruction supports other tasks
 - Query-based selection of reports
 - Conversion of free text to medical codes

"Decision Support Environment for Medical Product Safety Surveillance." Botsis T, Jankosky C, Arya D, Kreimeyer K, et al. Journal of Biomedical Informatics. 2016 Dec;64:354-362. doi:10.1016/j.jbi.2016.07.023



Thank You

From: "Nair, Narayan" [REDACTED]

To: "Menschik, David" [REDACTED], "Zinderman, Craig" [REDACTED], "Baer, Bethany" [REDACTED]

Subject: RE: Data mining question

Date: Fri, 15 Mar 2024 19:21:26 -0000

Importance: Normal

Inline-Images: image001.png; image002.jpg; image003.jpg; image004.jpg; image005.jpg; image006.jpg

Ok, thank you for checking.

Narayan

From: Menschik, David [REDACTED]

Sent: Friday, March 15, 2024 1:30 PM

To: Nair, Narayan [REDACTED]; Zinderman, Craig [REDACTED]; Baer, Bethany [REDACTED]

Subject: RE: Data mining question

I understood we provided CDC language for this limitation for the 6 month safety review of mRNA vaccines (and I could share that language if helpful) but in looking at the published article, it now appears that they took it out before publication. I'm not aware of such language included in another publication.

From: Nair, Narayan [REDACTED]

Sent: Friday, March 15, 2024 1:04 PM

To: Menschik, David [REDACTED]; Zinderman, Craig [REDACTED]; Baer, Bethany [REDACTED]

Subject: Data mining question

Good afternoon,

I know in the past we have discussed one of the possible limitations of data mining currently is the vast number of VAERS reports from the COVID vaccines may limit our ability to detect statistical alerts because disproportionality scores may be driven towards the null. Do you know if there is a public reference that discusses this limitation? I have found some references that discuss general limitations for data mining but not sure if there is one that talks about how a large volume of reports from a single class of products could mask results.

Narayan Nair, MD (he/him/his)

Division Director

Division of Pharmacovigilance
Office of Biostatistics and Pharmacovigilance
Center for Biologics Evaluation and Research
U.S. Food and Drug Administration



From: "Nair, Narayan" [REDACTED]
To: "Jason, Christopher" [REDACTED]
Cc: "Thompson, Deborah" [REDACTED], "Baer, Bethany" [REDACTED], "Menschik, David" [REDACTED], "Bazel, Samaneh" [REDACTED]
Subject: RE: Question regarding Empirica Runs
Date: Tue, 14 Mar 2023 19:52:10 -0000
Importance: Normal
Inline-Images: image003.png; image004.png; image005.png; image006.png; image007.png

Thanks to everyone for their work on this.

Narayan

From: Jason, Christopher [REDACTED]
Sent: Tuesday, March 14, 2023 12:23 PM
To: Nair, Narayan [REDACTED]
Cc: Thompson, Deborah [REDACTED]; Baer, Bethany [REDACTED]; Menschik, David [REDACTED]; Bazel, Samaneh [REDACTED]
Subject: FW: Question regarding Empirica Runs

Hi Narayan

Please find attached the runs (serious and over 65) for the PT, "ischaemic stroke" from Empirica (and the visualized data charts and tables) for the search you requested. Thanks to Bethany and Kusal for making the runs and to Deb for initially identifying the signal. Let me know if you all have questions. The only EB05 >2 is for serious AEs and it does appear to be moderating.

Sincerely
Chris

From: Nguon, Kosal * [REDACTED]
Sent: Wednesday, March 8, 2023 12:38 PM
To: Jason, Christopher [REDACTED]; Baer, Bethany [REDACTED]
Cc: Menschik, David [REDACTED]
Subject: RE: Question regarding Empirica Runs

Hi Chris, Bethany,

Sorry, I have been a bit busy during the last few days, and I have been meaning to respond with an update. I have completed two custom data mining runs, and I have provided a quick summary of each of them below to make sure it is correct.

Also, I know you wanted the two side-by-side graphs of the monovalent and bivalent vaccines similar to the screenshot that was sent earlier. Unfortunately, the graph requires more manipulation and coding to generate from these two custom data mining runs as this is one of the limitations of Signal. I have asked our team to look into the feasibility of this, but it might require more attention and time. I would however suggest that you download the data and make a composite table and use another program (e.g., Excel, R) to graph it out.

Without further ado, here are the custom COVID data mining runs for monovalent and bivalent Pfizer-Biontech vaccines:

Both custom data mining runs are: US only, for the entirety of the VAERS database through March 3, 2023, and cumulative monthly subsets (aka, all the data through October 2022, and then all of the data through November 2022, etc.) with these data restrictions:

1. [SP: VAERS Monthly Covid Serious](#) – Only serious events for all ages. The background also only has "Serious" case reports such as the runs in the Signals tab.
2. [SP: VAERS Monthly Covid Adult 65Plus](#) – Only age 65 and over for all event types (e.g., serious and non-serious). The background contains only those 65 and over.

You'll have to enter the Pfizer monovalent and bivalent vaccines and the ischaemic stroke event on the "Select Criteria" page (see screenshot #1 for the [SP: VAERS Monthly Covid Serious](#) custom data mining run) because in the off-chance you may want to look at other monovalent and/or bivalent covid vaccines (or any other vaccine) and/or events, that data will be available to you. Same applies for the drug and event selection and reasoning for [SP: VAERS Monthly Covid Adult 65Plus](#).

To view the "subsets" (aka, the monthly Signal scores), please select "Columns" in the upper left hand corner of the "Data Mining Results Table" page. A pop-up window appears, tick off the "Show All Columns" box, select "SUBSET" and move it over to the right hand column (double-click or use the single right arrow), then use the "Up/down" arrows for it column placement and finally select "OK" at the bottom (screenshot #2). This will give you the vaccine-event combination of your selection and then the monthly cumulative subsets (screenshot #3; I have ordered it by subset and then vaccine name). You can download the resulting table and then import/open to a software to display a table and/or graph.

Please feel free to reach out if you have any questions with how to display any of this, the analysis or anything else.

Thanks and have a great day!

Best,

Kosal

Screenshot #1: Vaccine and Event Selection

Home | Data Mining Runs | Data Mining Results

User: Kosal Nguon [Kosal.Nguon]

Run name: SP: VAERS Monthly Covid Serious [Browse](#) [View Run Details](#)

Vaccine Name: COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)), COVID19 (COVID19 (PFIZER-BIONTECH)) [Select Available Values](#) [Select Saved List](#)

Symptom: PT
MedDRA Hierarchy Level: PT HLT HLGT SOC
Ischaemic stroke [Select MedDRA Terms](#) [Select Available Values](#) [Select Saved List](#)

Limit to: EBGM > 0.0 [Show Advanced](#)

[View Results Table](#) [Choose Graph](#) [Clear All](#)

Screenshot #2: Show Subsets Steps

Results Table

Columns - Work - Microsoft Edge

https://esignal.fda.gov/Signal/tblColumnFilter.jsp?tableid=90181373030...

Show All Columns

Available Columns:

- DIM
- F
- EB05_IND
- EB95_IND
- EBGM_IND
- EXCESS
- EXCESS_IND
- E_IND
- F
- ID
- JOB_ID
- PRR
- PRR_A
- PRR_B
- PRR_C
- PRR_CHISQ
- PRR_D
- P_Symptom: PT
- P_VALUE
- P_Vaccine Name
- Q
- ROR
- ROR05
- ROR95
- ROW_NUM
- RR
- RR_IND

Selected Columns:

- Vaccine Name
- Symptom: PT
- HLGT
- HLT
- SOC
- N
- SUBSET
- EB05
- EBGM
- EB95

Column Name | Sort Order

Column 1: EB05 | Desc

Column 2: | Desc

Column 3: | Desc

Use current layout as default for all MGPS results of dimension 2

Use current layout as login group default

[OK](#) [Cancel](#)

Screenshot #3: Results Table by Covid Vaccine Name and Subset

Select Criteria Choose Graph Add to Case Series

Columns Print Download Show Notes

Dimension: 2 Selection Criteria: Vaccine Name(COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)), COVID19 (COVID19 (PFIZER-BIONTECH))) + Symptom: PT(PT-Ischaemic stroke) Subject: (All)

Rows Per Page: 100 Page 1 of 1

12 rows Sorted by Vaccine Name, SUBSET, EB05 desc

| | Vaccine Name | Symptom: PT | HLGT | HIT | SOCL | N | SUBSET | EB05 | EBGM | EB95 |
|--|--|------------------|---|---|------|-----|------------------|-------|-------|------|
|  | COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 3 | All_thru_2022_10 | 0.828 | 1.12 | 1.89 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 5 | All_thru_2022_11 | 0.871 | 1.22 | 2.34 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 7 | All_thru_2022_12 | 0.907 | 1.32 | 2.64 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 34 | All_thru_2023_01 | 1.89 | 2.53 | 3.32 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 41 | All_thru_2023_02 | 2.09 | 2.70 | 3.46 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 41 | All_thru_2023_03 | 2.10 | 2.72 | 3.48 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 305 | All_thru_2022_10 | 0.911 | 0.996 | 1.09 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 306 | All_thru_2022_11 | 0.906 | 0.990 | 1.08 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 211 | All_thru_2022_12 | 0.912 | 0.997 | 1.09 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 327 | All_thru_2023_01 | 0.910 | 0.992 | 1.08 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 335 | All_thru_2023_02 | 0.912 | 0.993 | 1.08 |
|  | COVID19 (COVID19 (PFIZER-BIONTECH)) | Ischaemic stroke | Central nervous system vascular disorders | Central nervous system haemorrhages and cerebrovascular accidents | Nerv | 335 | All_thru_2023_03 | 0.912 | 0.993 | 1.08 |

Kosal Nguon, MPH (contractor)
Commonwealth Informatics, Inc.
Empirica Signal Support Team

Office of Translational Sciences
FDA/CDER/OTS



From: Jason, Christopher [redacted]
Sent: Friday, March 3, 2023 7:49 PM
To: Nguon, Kosal * [redacted]
Subject: RE: Question regarding Empirica Runs

Sure that works

From: Nguon, Kosal * [redacted]
Sent: Friday, March 3, 2023 5:09 PM
To: Jason, Christopher [redacted]; Baer, Bethany [redacted]
Cc: Menschik, David [redacted]
Subject: RE: Question regarding Empirica Runs

Hi Chris and Bethany,

Many thanks for all the clarifications and answers; these will set the parameters and criteria for our custom run.

Lastly, as I mentioned previously, the server storage space gets critically low when we do our weekly ETL jobs for Signal on Sunday to early Monday morning. As such, I would like to ensure the normal data mining runs are completed, so I probably can get the custom data mining run to you on Tuesday (March 7th) morning. Does that time frame work for you?

Thanks and have a great weekend.

Best,

Kosal

Kosal Nguon, MPH (contractor)
Commonwealth Informatics, Inc.
Empirica Signal Support Team

Office of Translational Sciences
FDA/CDER/OTS



From: Jason, Christopher [redacted]
Sent: Friday, March 3, 2023 2:28 PM
To: Baer, Bethany [redacted]; Nguon, Kosal * [redacted]

Cc: Menschik, David [REDACTED]
Subject: RE: Question regarding Empirica Runs

I would do 65 and older and serious separately if possible. Almost all the reports were serious though so an all reports search may give us falsely low EB05s compared to serious reports only. If 2 runs are too difficult I would do 1 run with both serious and over 65.

Sincerely,
Chris

From: Baer, Bethany [REDACTED]
Sent: Friday, March 3, 2023 2:14 PM
To: Jason, Christopher [REDACTED]; Nguon, Kosal * [REDACTED]
Cc: Menschik, David [REDACTED]
Subject: RE: Question regarding Empirica Runs

Hi Chris and Kosal,
I agree with Chris' responses and the effort to keep things as close to our usual runs as possible. So, for question 1, I would use all the vaccines in the database. And Q4 – the whole time frame, with history showing the EB05 changes by month for end of 2022.
For 2 -3 - Chris, do you want a single combined result looking at the group that is both >65 years old and serious? That's what I thought you were going for so it would combine those two. Please clarify if I misunderstood. I think we can get the single result for "ischaemic stroke" for just >65 or just serious from the individual signals runs in the data mining results tab (although I don't see how to show the history for the EB05). Don't let me confuse things, Chris, if you have a better understanding of what Narayan was aiming for.
Bethany

From: Jason, Christopher [REDACTED]
Sent: Friday, March 3, 2023 1:53 PM
To: Nguon, Kosal * [REDACTED]
Cc: Baer, Bethany [REDACTED]
Subject: RE: Question regarding Empirica Runs

Hi Kosal
Regarding your questions answers in blue. Bethany feel free to jump in as I am not sure of some of the inputs

1. For the background, do you want to compare to all vaccines or the other covid vaccines (e.g., Moderna, Janssen, etc.)? Or maybe only the Pfizer vaccines?
Whatever you compare for the signals tab I would do that. Unfortunately I am not sure what the inputs are for the various tabs in Empirica
2. Also for the background, do you only want serious case reports to be used (not recommended based the forwarded email thread)?
Yes do 2 runs 1 serious (like the signals tab)
3. Same question for the 65 years and older—do you want the background/comparator to be only 65 years and older?
And 1 65 and older
4. For the time frame, do you want to compare for the entirety of the VAERS database or from a specific time period moving forward (i.e., this is important if you only want to compare against the other covid vaccines, so you can set the date as November 1, 2019 or some other point)? I think we can start with the entire VAERS database and timeframe and then limit if further analyses are needed.
I agree. I think it is difficult to know exactly what I want because I am not sure of the inputs. I think our boss wanted the chart in my initial email to you but 2 runs and limited to serious reports for one and 65 and older for the other
5. Also, please confirm the name of the two vaccines as:
Monovalent: COVID19 (COVID19 (PFIZER-BIONTECH))
Bivalent: COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT))
yes

From: Nguon, Kosal * [REDACTED]
Sent: Friday, March 3, 2023 1:16 PM
To: Jason, Christopher [REDACTED]
Cc: Baer, Bethany [REDACTED]
Subject: RE: Question regarding Empirica Runs

Hi Chris,

Thanks for reaching out, and thanks to Bethany for the referral; I always enjoy working with Bethany and the great folks from CBER!

Regarding your request, I did some research, I believe we can finagle Empirica Signal to produce results for which you are seeking. I do have a few follow-up questions, so I can create the correct custom data mining run. Please find them below:

1. For the background, do you want to compare to all vaccines or the other covid vaccines (e.g., Moderna, Janssen, etc.)? Or maybe only the Pfizer vaccines?
2. Also for the background, do you only want serious case reports to be used (not recommended based the forwarded email thread)?
3. Same question for the 65 years and older—do you want the background/comparator to be only 65 years and older?
4. For the time frame, do you want to compare for the entirety of the VAERS database or from a specific time period moving forward (i.e., this is important if you only want to compare against the other covid vaccines, so you can set the date as November 1, 2019 or some other point)? I think we can start with the entire VAERS database and timeframe and then limit if further analyses are needed.
5. Also, please confirm the name of the two vaccines as:
Monovalent: COVID19 (COVID19 (PFIZER-BIONTECH))
Bivalent: COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT))

And one last question: when do you need the results by? We've, unfortunately, have been running close to our allotted server space, and this has flagged us and delayed some things. I want to be mindful of this for our technical team, and also ensure that we can deliver for you.

Please feel free to reach out with any comments/questions. Thanks and looking forward to this very tangible and interesting analysis!

Best,

Kosal

PSI-HHS-00001154035

Kosal Nguon, MPH (contractor)
 Commonwealth Informatics, Inc.
 Empirica Signal Support Team

Office of Translational Sciences
 FDA/CDER/OTS

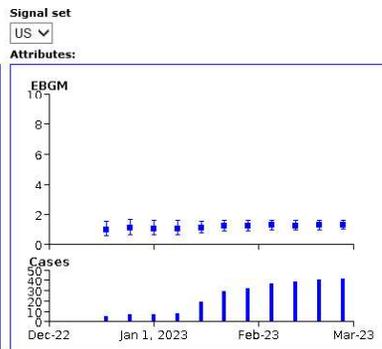
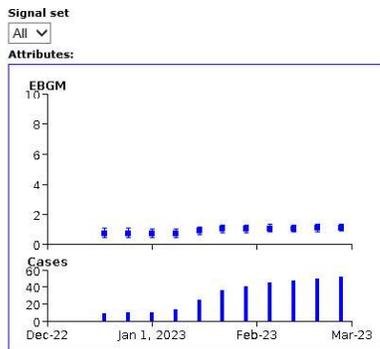


From: Jason, Christopher [REDACTED]
Sent: Friday, March 3, 2023 7:55 AM
To: Nguon, Kosal * [REDACTED]
Cc: Baer, Bethany <Bethany.Baer@fda.hhs.gov>
Subject: Question regarding Empirica Runs

Dear Kosal

I am one of the reviewers in DPV and management recently wanted some information on the Pfizer COVID Vaccine disproportionality that the standard runs will not be able to get. I attached the email with Bethany Baer who suggested contacting you. In short we are looking for a Empirica runs with Pfizer Bivalent and Pfizer Monovalent, US only, for the PT "Ischaemic stroke" for ages 65 and older and serious? We are looking to get monthly Eb05s starting in October similar to the "signals history" view. Any help would be appreciated

Sincerely,
 Chris



Period start: 12/18/2022 End: 02/26/2023

| 02/26/2023 | PT | HLT | SOC |
|------------|-------|-------|-------|
| N | 53 | 275 | 4611 |
| EB05 | 0.893 | 0.77 | 0.898 |
| EBGM | 1.114 | 0.851 | 0.92 |
| EB95 | 1.377 | 0.938 | 0.943 |

Period start: 12/18/2022 End: 02/26/2023

| 02/26/2023 | PT | HLT | SOC |
|------------|-------|-------|-------|
| N | 41 | 167 | 3249 |
| EB05 | 1.01 | 0.808 | 0.951 |
| EBGM | 1.293 | 0.917 | 0.979 |
| EB95 | 1.635 | 1.038 | 1.007 |

Comments:

From: "Nair, Narayan" [REDACTED]

To: "Menschik, David" [REDACTED]

Subject: RE: Data mining limitations

Date: Thu, 26 Oct 2023 18:57:32 -0000

Importance: Normal

Thanks for this info

Narayan

From: Menschik, David [REDACTED]

Sent: Thursday, October 26, 2023 2:29 PM

To: Nair, Narayan <Narayan.Nair@fda.hhs.gov>

Subject: Data mining limitations

Here's the slide deck I presented to CDC shortly after the first EUAs for COVID vaccines...

From: "Nair, Narayan" [REDACTED]

To: "Menschik, David" [REDACTED], "Alimchandani, Meghna" [REDACTED], "Zinderman, Craig E" [REDACTED]

Cc: "Baer, Bethany" [REDACTED]

Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Date: Fri, 10 Sep 2021 16:01:32 -0000

Importance: Normal

Good idea to add this language.

Narayan

From: Menschik, David [REDACTED]

Sent: Friday, September 10, 2021 7:58 AM

To: Nair, Narayan [REDACTED]; Alimchandani, Meghna [REDACTED]; Zinderman, Craig E [REDACTED]

Cc: Baer, Bethany [REDACTED]

Subject: FW: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

FYI

(expanded data mining limitation section to address potential concern regarding year-stratification and potential masking of class effects, etc.)

From: Menschik, David

Sent: Friday, September 10, 2021 7:53 AM

To: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]

Cc: Baer, Bethany [REDACTED]

Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Hi Hannah,

Bethany and I have edits for the data mining limitations section on page 13 of the attached draft manuscript. Please see attached and glad to discuss if any questions.

Thanks,
David

From: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]

Sent: Thursday, September 09, 2021 3:44 PM

To: Menschik, David [REDACTED]

Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Sounds like a plan!

Hannah

From: Menschik, David [REDACTED]
Sent: Thursday, September 9, 2021 3:41 PM
To: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Thanks – working with Bethany now on new data mining limitation language and will share with you in near future. I'll wait to run changes by my leadership for clearance until you advise me that no further substantive edits are forthcoming prior to submission.

Thanks,
David

From: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Sent: Thursday, September 09, 2021 3:33 PM
To: Menschik, David [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Definitely
Here's the latest version – the discussion has gotten a little messy so if you can excuse some of the part that is clearly still in revision.

Hannah

From: Menschik, David [REDACTED]
Sent: Thursday, September 9, 2021 3:01 PM
To: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Thanks Hannah!
Given the current stage of the manuscript, would we be able to add an additional data mining limitation to the manuscript?
Thanks,
David

From: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Sent: Thursday, September 09, 2021 2:20 PM
To: Menschik, David [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear David,
Thanks so much for writing. The manuscript has moved through CDC clearance rather quickly but we've decided to revise some of the analysis about reported deaths to make it more meaningful/interpretable.
Will definitely send you an updated version of the manuscript as this evolves.

Thanks so very much for your continued engagement on this,

PSI-HHS-000001157537

Hannah

From: Menschik, David [REDACTED]
Sent: Thursday, September 9, 2021 1:32 PM
To: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Hi Hannah,

Hope all well on your end. Wondering if there is any status update for this manuscript?

Best,
David

From: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Sent: Thursday, August 05, 2021 2:48 PM
To: Baer, Bethany [REDACTED]
Cc: Menschik, David [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Excellent!! I hope you had a nice leave. On my end, we're ***almost*** through the CDC clearance process – will keep you posted!

Hannah

From: Baer, Bethany [REDACTED]
Sent: Thursday, August 5, 2021 2:44 PM
To: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Cc: Menschik, David (FDA/CBER) [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Hi Hannah,

I was on leave for several weeks, so I realize my response is a little delayed. I have caught up on the email exchanges between you and David. I have reviewed the manuscript you sent on July 21st and the minor changes you mentioned in the email below. **I, Bethany Baer, approve submission of the manuscript titled 'Reactogenicity and Adverse Events during the First Six Months of mRNA COVID-19 Vaccination in the United States: A Prospective Observational Study of Reports to Vaccine Adverse Events Reporting System (VAERS) and v-safe ' to clearance and to journal publication.'**

Thank you for all of your hard work on this!
Bethany

From: Menschik, David [REDACTED]
Sent: Thursday, July 29, 2021 3:37 PM
To: Rosenblum, Hannah (CDC) [REDACTED]
Cc: Baer, Bethany [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Hi Hannah,

I agree that these are not substantive changes and will send you the authorship agreement statement shortly.

Thanks so much to you and other teammates for all the amazing work on this very impressive paper!

Congratulations on this key milestone!

David

From: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Sent: Thursday, July 29, 2021 3:33 PM
To: Menschik, David [REDACTED]
Cc: Baer, Bethany [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hi David,

Thanks for asking and sorry I didn't write to you about this earlier.

Several small changes were made since you saw the draft (and I'm not sure what you consider substantive so I'll just list them all here):

1. A previously supplemental table about impressions of deaths was moved to a main table (Table 4)
2. The previous table 9 had duplicate data as Figure 2 so that table was moved to supplemental
3. We split 'serious reports' and 'non serious reports' by meddra PT code in Table 2 to more accurately reflect the breakdown.
4. A sentence was added in the discussion stating that the serious /nonserious report distribution is similar to other adult vaccines (since there was concern that we didn't include enough about adverse events in the discussion).

Thank you so so much for all of your responses, feedback and work on this.

Warm regards,

Hannah

From: Menschik, David [REDACTED]
Sent: Thursday, July 29, 2021 3:26 PM
To: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Cc: Baer, Bethany (FDA/CBER) [REDACTED]
Subject: RE: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond

Thanks Hannah! Can you please confirm that there were no substantive edits since the version cleared at FDA (or else share these edits)?

Thanks,

David

From: Rosenblum, Hannah (CDC/DDID/NCIRD/DVD) [REDACTED]
Sent: Thursday, July 29, 2021 3:22 PM
To: Gee, Julianne M (CDC) [REDACTED]; Liu, Ruiling (CDC) [REDACTED]; Marquez, Paige L (CDC) [REDACTED]; Zhang, Bi C (CDC) [REDACTED]; Strid, Penelope (CDC) [REDACTED]; Abara, Winston E (CDC) [REDACTED]; Mcneil, Michael M (CDC) [REDACTED]; Myers, Tanya R (CDC) [REDACTED]; Hause, Anne M (CDC) [REDACTED]; Menschik, David [REDACTED]; Baer, Bethany [REDACTED]; Su, John (CDC) [REDACTED]; Shimabukuro, Tom (CDC) [REDACTED]; Shay, David K (CDC) [REDACTED]
Subject: [EXTERNAL] 6 month safety review- approval for CDC clearance- please review and respond
Importance: High

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Dear co-authors,

Thank you so much for all of your hard work and feedback on the 6 month safety review manuscript. The manuscript has been revised based on all of your feedback, and we're in a good position to submit to CDC clearance.

Please double check your names/degrees to make sure I haven't made any mistakes and that you are listed appropriately.

If you agree with submission of the draft in its current form, please reply with "I, **NAME**, approve submission of the manuscript titled 'Reactogenicity and Adverse Events during the First Six Months of mRNA COVID-19 Vaccination in the United States: A Prospective Observational Study of Reports to Vaccine Adverse Events Reporting System (VAERS) and v-safe' to clearance and to journal publication."

We are planning to submit to the journal *Lancet ID* and the formatting of the draft matches their requirements.

All the very best,
Hannah

Hannah G. Rosenblum, MD
Epidemic Intelligence Service Officer

HPV Team, Viral Vaccine-Preventable Diseases Branch
Division of Viral Diseases, National Center for Immunization and Respiratory Diseases
Centers for Disease Control and Prevention

From: "Nair, Narayan" [REDACTED]
To: "Su, John (CDC/NCEZID/DHQP)" [REDACTED], "Bazel, Samaneh" [REDACTED]
Cc: "Shimabukuro, Tom (CDC)" [REDACTED]
Subject: RE: [EXTERNAL] RE: FDA coauthor for tinnitus paper
Date: Fri, 27 Oct 2023 19:03:47 -0000
Importance: Normal

Hi John,

She does bring up a good point. As you know, data mining has all the limitations of passive surveillance as well as others. However, during the COVID vaccine era there is an additional limitation. Since most reports received involve COVID-19 vaccines, disproportionately scores (which are adjusted by year to control for time-dependent, potentially confounding, exposure and outcome variables) can be driven towards the null by COVID-19 vaccine reports contributing substantially to the comparator group. This would occur in the setting if there was some type of class-effect (e.g., if both mRNA COVID-19 vaccines are associated with the same adverse event).

We were aware of this limitation before and during the pandemic. There are many data mining tools and there was some discussion about utilizing a novel tool to adjust for this. However, we thought it would be problematic to use a brand new, possibly unvalidated tool in the context of an EUA. We ended up using the same EBM data mining we use for all vaccines and has a long history of use rather than take an experimental approach. As new non-COVID vaccine reports are added we think this limitation will be mitigated to some degree.

As far as the paper goes there are several options to address this:

- We could report our data mining findings and just acknowledge this as a limitation (this is what we have done in other papers)
- We could not include any data mining findings
- You could develop another tool that would compensate for the greater number of COVID vaccine reports. I am not sure how to do this but you would need a statistician with DM experience. This would be beyond our capabilities at FDA.

Narayan

From: Su, John (CDC/NCEZID/DHQP) [REDACTED]
Sent: Thursday, October 26, 2023 9:32 AM
To: Nair, Narayan [REDACTED]; Bazel, Samaneh [REDACTED]
Cc: Shimabukuro, Tom (CDC) [REDACTED]
Subject: [EXTERNAL] RE: FDA coauthor for tinnitus paper

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

Hi folks,

Please see below email (it was late, and I got a bit confused). I know EB data mining looks at vaccine-event pairs between the vaccine of interest and an adverse event, and compares against all other vaccines in the VAERS database and the same adverse event, to see if a disproportionality beyond an established threshold is present. However, I don't know the methods well enough to address Judy's comments. How do the methods FDA uses address these points? Thanks!

- John

From: Su, John (CDC/NCEZID/DHQP)
Sent: Thursday, October 26, 2023 9:10 AM

To: Maro, Judy [REDACTED]; Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]; Nair, Narayan (FDA/CBER) [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

Hi Judy,

Sorry, I've been juggling a bit and got my coauthors crossed. FDA performs EB data mining for VAERS, and throughout postauthorization safety monitoring for COVID-19 vaccines, has shared with CDC the results. While I'm familiar conceptually with EB data mining, I'll need to discuss with FDA to better understand how the methods they use address the concerns you've raised. Thanks!

- John

From: Su, John (CDC/NCEZID/DHQP)
Sent: Thursday, October 26, 2023 8:59 AM
To: Maro, Judy [REDACTED]; Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]; Nair, Narayan (FDA/CBER) [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

Hi Judy,

Thanks for the feedback. CCing Narayan for awareness. We'll get back to you.

- John

From: Maro, Judy [REDACTED]
Sent: Wednesday, October 25, 2023 11:43 PM
To: Su, John (CDC/NCEZID/DHQP) [REDACTED]; Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

Hi John –

To do a disproportionality analysis of any kind (EBGM is just one version but they are statistically similar), you need 4 quantities or a typical 2 x 2 contingency table.

So, one would need

Exposure Yes, Disease yes – any specific vaccine + tinnitus reports

Exposure Yes, Disease no – any specific vaccine + non-tinnitus reports

Exposure no, Disease yes – all exposures but for the specific vaccine. In the covid era, this means basically COVID + tinnitus

Exposure no, Disease no – all exposures but for the specific vaccine + non-tinnitus reports. Again, in this era, that means COVID + non-tinnitus

So, for the 17,859, it's important to know how these are spread among what vaccines and to choose the vaccines that you want to examine for a signal. It will be mostly useless to try to make statements about the COVID vaccines because **the database will have so many COVID reports that you can't create a comparator.** You also need to know **what the capture is for the period you are examining of the non-tinnitus reports.**

Best
Judy

From: Su, John (CDC/NCEZID/DHQP) [REDACTED]
Sent: Wednesday, October 25, 2023 11:15 PM
To: Maro, Judy [REDACTED]; Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

WARNING: This email originated from outside of the organization.
Do not click links or attachments **unless** you recognize the sender and know the content is safe.

Hi Judy,

Glad you're able to help. We're hoping for an analysis of reports to VAERS with the MedDRA Preferred Term (PT) "tinnitus" received during Dec 14, 2020 through May 4, 2023. Specifically, if vaccine-pairs for this PT exceed thresholds for statistical significance.

If having counts or a line list would help, we can put you in touch with our senior data manager. We identified 17,859 reports during the analytic period. I can share the latest draft of the manuscript (confidentially, of course) if that would help.

Please let me know if you have any other questions. Thanks!

- John

From: Maro, Judy [REDACTED]
Sent: Wednesday, October 25, 2023 10:19 PM
To: Su, John (CDC/NCEZID/DHQP) [REDACTED]; Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

Folks,
I'm fairly familiar with EBGM – do you have the numbers that were used?

On including the FDA, I have no objections but want to note that it will involve another clearance chain which will add probably a good amount of time into the timeline.

Happy to help in any way I can,

Best
Judy

From: Su, John (CDC/NCEZID/DHQP) [REDACTED]
Sent: Wednesday, October 25, 2023 11:15 AM
To: Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]; Maro, Judy [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

WARNING: This email originated from outside of the organization.
Do not click links or attachments **unless** you recognize the sender and know the content is safe.

Sounds

great – thanks!

- John

From: Yih, Katherine [REDACTED]
Sent: Wednesday, October 25, 2023 11:11 AM
To: Su, John (CDC/NCEZID/DHQP) [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]; Maro, Judy [REDACTED]
Subject: RE: FDA coauthor for tinnitus paper

Hi John,
If you all think it's important to include this analysis, then it's fine with me to include a couple of co-authors from FDA. (I'm expecting some or all of the VSD sites to propose a co-author, too, so wouldn't want the number of co-authors to get too high (for logistical reasons).)
Thanks for checking. Cc-ing Judy Maro, in case she has comments about this plan.
Katherine

From: [REDACTED]
Sent: Wednesday, October 25, 2023 10:30 AM
To: Yih, Katherine [REDACTED]
Cc: Shimabukuro, Tom (CDC/NCEZID/DHQP) [REDACTED]; Moro, Pedro (CDC/NCEZID/DHQP) [REDACTED]
Subject: FDA coauthor for tinnitus paper

WARNING: This email originated from outside of the organization.
Do not click links or attachments **unless** you recognize the sender and know the content is safe.

Hi Katherine,

We appreciate your continued patience as we work on this paper! Desire has been expressed to include Empirical Bayesian data mining of the VAERS data, which is performed by our colleagues at FDA. If we include those data, we'll need to include coauthors from FDA. Are you okay with this approach? If so, I'll reach out and get them involved.
Thanks!

- John

SMR1.Point32Health.org made the following annotations

Confidential, Proprietary, Exempt or Privileged: This email message with any attachments contains information intended for the exclusive use of the individual or entity to whom it is addressed and may contain information that is considered privileged, proprietary, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that any review, disclosure, reproduction, distribution or other use of this communication is strictly prohibited. If you received this email in error, please notify the sender by reply or telephone and delete the message, including any attachments, without saving, copying or disclosing it.

SMR1.Point32Health.org made the following annotations

Confidential, Proprietary, Exempt or Privileged: This email message with any attachments contains information intended for the exclusive use of the individual or entity to whom it is addressed and may contain information that is considered privileged, proprietary, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that any review, disclosure, reproduction, distribution or other use of this communication is strictly prohibited. If you received this email in error, please notify the sender by reply or telephone and delete the message, including any attachments, without saving, copying or disclosing it.

SMR1.Point32Health.org made the following annotations

Confidential, Proprietary, Exempt or Privileged: This email message with any attachments contains information intended for the exclusive use of the individual or entity to whom it is addressed and may contain information that is considered privileged, proprietary, confidential and/or exempt from disclosure under applicable law. If you are not the intended recipient, you are hereby notified that any review, disclosure, reproduction, distribution or other use of this communication is strictly prohibited. If you received this email in error, please notify the sender by reply or telephone and delete the message, including any attachments, without saving, copying or disclosing it.

From: "Nair, Narayan" [REDACTED]
To: "Woo, Jane" [REDACTED], "Baumblatt, Jane" [REDACTED],
"Alimchandani, Meghna" [REDACTED], "Niu, Manette" [REDACTED],
[REDACTED], "Thompson, Deborah" [REDACTED],
"Blanc, Phillip" [REDACTED], "Mba-Jonas, Adamma (CBER)" [REDACTED],
[REDACTED], "Zinderman, Craig E" [REDACTED]

Subject: RE: FDA-CDC Coordination Call 10-28-21

Date: Fri, 29 Oct 2021 12:01:01 -0000

Importance: Normal

Attachments: mRNA_6mo_safety_review-update_92121_forclearance_clean.docx

This is the last version I saw.

Narayan

From: Woo, Jane [REDACTED]
Sent: Friday, October 29, 2021 7:57 AM
To: Baumblatt, Jane [REDACTED]; Nair, Narayan [REDACTED]; Alimchandani, Meghna [REDACTED]; Niu, Manette [REDACTED]; Thompson, Deborah [REDACTED]; Blanc, Phillip [REDACTED]; Mba-Jonas, Adamma (CBER) [REDACTED]; Zinderman, Craig E [REDACTED]
Subject: RE: FDA-CDC Coordination Call 10-28-21

Thank you! Will someone please send me a copy of the 6-month summary of mRNA vaccines? We're preparing to write one for Janssen, and it would be helpful to see the scope and methods.

I hope everybody has a good weekend!

From: Baumblatt, Jane [REDACTED]
Sent: Thursday, October 28, 2021 5:39 PM
To: Nair, Narayan [REDACTED]; Alimchandani, Meghna [REDACTED]; Niu, Manette [REDACTED]; Thompson, Deborah [REDACTED]; Blanc, Phillip [REDACTED]; Mba-Jonas, Adamma (CBER) [REDACTED]; Woo, Jane [REDACTED]; Zinderman, Craig E [REDACTED]
Subject: FDA-CDC Coordination Call 10-28-21

All,
meeting notes from tonight

- Tom gave an update on his ACIP presentation, includes info on VAERS, myocarditis analysis,
- VSD analytic strategy for ages 5-11, reference slide on prespecified outcomes being studied, including chart review for myo/pericarditis.
- CISA's role, BEST role
- Matt Oster is going to present his presentation on myocarditis at ACIP
- Surveillance review of 6 months of mRNA vaccines paper submitted to Lancet ID (David M. and Bethany B. are coauthors)
- Myocarditis post mRNA paper being finalized for resubmission to JAMA
- No VaST meeting on Monday, next meeting Nov 8

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

-Narayan explained that authorization for ages 5-11 for Pfizer is imminent. Our plan for surveillance is to do daily reviews for all serious reports for kids initially.

-CDC will be focusing on myo/pericarditis using their case definition, and also look at other AESIs

-FDA may run some of the J&J myo/pericarditis cases by CDC which are uncertain as to meeting case definitions

-J&J myo/pericarditis dose 1 cases were 121 cases total as of 10/21/21, 57 verified, 44 met case definition

-To end on a crazy note, Steve Kirsch wrote an editorial saying John Su should be fired.... smh

Thanks!

Jane

Jane

From: "Nair, Narayan" [redacted] "Jason, Christopher"
To: "Thompson, Deborah" [redacted] "Welsh, Kerry" [redacted] "Alimchandani, Meghna" [redacted] "Bazel, Samaneh" [redacted]
Subject: RE: Data Mining for Pfizer Bivalent: Ischemic Stroke
Date: Tue, 28 Feb 2023 16:12:18 -0000
Importance: Normal
Attachments: VAST_20230227_Forshee_Slides_draft_confidential.pdf
Inline-Images: image007.png; image008.png; image009.jpg; image010.jpg; image011.jpg; image012.jpg; image013.jpg

Thanks Deb for sharing this. I will let leadership know. The last update I had from the VSD was that the signal had been attenuating. For BEST, there has been intense interest in this potential safety issue. I have attached some slides that provide detailed data. There has been no signal found in multiple data bases for non-hemorrhagic stroke. In addition, to BEST, the VA and Foreign active surveillance databases have not found anything related to stroke. However, they are planning a dedicated epi study to evaluate this.

Please don't share the slides.

Narayan

From: Thompson, Deborah [redacted]
Sent: Tuesday, February 28, 2023 10:55 AM
To: Nair, Narayan [redacted]; Alimchandani, Meghna [redacted]; Welsh, Kerry [redacted]; Jason, Christopher [redacted]; Bazel, Samaneh [redacted]

Subject: Data Mining for Pfizer Bivalent: Ischemic Stroke

Hi Narayan, Meghna, Kerry, Chris, and Sam,

While doing my weekly surveillance review for the Pfizer bivalent COVID-19 vaccine, ischemic stroke appeared as a new data mining finding with an EB05>2 for US serious, although the EB05=1.01 for overall US:

| Drug | Event | US EB05 20230224 | US Serious EB05 20230224 | US Fatal EB05 20230224 | US Infant EB05 20230224 | US Child EB05 20230224 | US Teen EB05 20230224 | US Adult EB05 20230224 | US Adult2 EB05 20230224 | US Adult3 EB05 20230224 | US Female EB05 20230224 | US Male EB05 20230224 |
|------------------------------------|-------------------------------|------------------|--------------------------|------------------------|-------------------------|------------------------|-----------------------|------------------------|-------------------------|-------------------------|-------------------------|-----------------------|
| COVID19 (PFIZER-BIONTECH BIVALENT) | Incorrect product formulation | 1.965 | | 0.855 | 6.099 | 3.335 | 2.815 | 2.022 | 1.232 | 0.909 | 1.849 | 1.969 |
| COVID19 (PFIZER-BIONTECH BIVALENT) | Ischaemic stroke | 1.01 | 2.066 | 0.86 | 0.855 | 0.62 | 0.707 | 0.53 | 0.53 | 1.029 | 0.807 | 0.98 |
| COVID19 (PFIZER-BIONTECH BIVALENT) | Off label use | 2.773 | 0.977 | 0.86 | 0.86 | 0.62 | 0.654 | 1.275 | 1.788 | 3.074 | 2.609 | 1.969 |
| COVID19 (PFIZER-BIONTECH BIVALENT) | Product preparation error | 1.91 | | 0.86 | 0.564 | 1.227 | 1.063 | 5.39 | 1.103 | 1.525 | 1.36 | 2.205 |
| COVID19 (PFIZER-BIONTECH BIVALENT) | Product use issue | 2.844 | 1.047 | 0.854 | 0.564 | 0.53 | 0.743 | 1.381 | 2.534 | 2.384 | 2.569 | 1.964 |

The QQ-LL report for Pfizer bivalent for ischemic stroke shows a total of 53 reports (41 US and 12 foreign).

Among the 41 US reports:

- 39 (95.1%) non-fatal serious/OMIC reports and 2 (4.9%) death reports
- 19 (46.3%) females and 22 (53.7%) males
- Median age=69 years (range=20-90 years)
- Median onset=21 days post-vax (range=0-128 days)
- US reporting rate=1.19 reports per million doses administered ([CDC COVID Data Tracker: Vaccinations in the US](#))

I've also attached the recent IR response from Pfizer, which evaluated thromboembolic events (TEE) following the Pfizer bivalent vaccine and concluded that there is no evidence that TEE, including ischemic stroke, are a safety signal or risk of the bivalent vaccine.

I'm wondering if you are aware of any updates from CDC VSD or BEST on the monitoring/assessment of ischemic stroke following the Pfizer bivalent vaccine?

Please let me know if you have any questions or need any additional information.

Thanks,

Deb
 Deb Thompson, MD, MSPH, FACP
 Medical Officer

Center for Biologics Evaluation and Research
 Office of Biostatistics and Pharmacovigilance
 U.S. Food and Drug Administration





Update on Original COVID-19 Vaccine and COVID-19 Vaccine, Bivalent Safety

Richard Forshee
Deputy Director, FDA/CBER/OBPV

VaST
February 27, 2023

PSI-HHS-000001160423



Outline

- **CDER Active Surveillance Program (BEST Initiative)**
- Bivalent COVID-19 mRNA Vaccines Safety Surveillance
- Conclusion

PSI-HHS-000001160424



BEST Initiative Data Sources

| Data Source* | Database Type | No. Patients Covered (Millions) | Time Period Covered |
|--|-------------------|---------------------------------|---------------------|
| CMS- Medicare | Claims | 105 | 2005 - present |
| MarketScan Commercial and Medicare Supplemental | Claims | 254 | 1999 - 2019 |
| MarketScan Medicaid | Claims | 48 | 1999 - 2019 |
| MarketScan Commercial (IBM) | Claims | 65 | 2016 - present |
| Blue Health Intelligence | Claims | 93 | 2016 - present |
| Optum - Adjudicated | Claims | 66 | 1993 - present |
| Optum - Pre adjudicated | Claims | 31 | 2017 - present |
| HealthCore | Claims | 70 | 2010 - present |
| CVS Health | Claims | 41 | 2018 - present |
| OneFlorida Clinical Research Consortium - Medicaid | Claims | 6.7 | 2012 - present |
| OneFlorida Clinical Research Consortium - EHR | EHR | 5.6 | 2012 - present |
| Optum EHR | EHR | 102 | 2007 - 2020 |
| MedStar Health Research Institute | EHR | 6 | 2009 - present |
| PEDSnet | EHR | 6.2 | 2009 - present |
| IBM CED | Linked EHR Claims | 5.4 | 2000 - present |
| Optum Integrated Claims - EHR | Linked EHR Claims | 25 | 2007 - 2020 |

*Data lag varies based on data source, ranges from a few days to a few months.

PSI-HHS-000001160425

Rapid Cycle Analysis (RCA) Data Sources



| Claims Data Source | Age (years) | Population Enrolled (million) |
|--------------------|-------------|-------------------------------|
| CMS Medicare | 65+ | 36 |
| | 0-4 | 1.2 |
| | 5-17 | 3.1 |
| DP 1 | 18-64 | 14.8 |
| | 0-4 | 1.0 |
| | 5-17 | 2.6 |
| DP 2 | 18-64 | 11.6 |
| | 0-4 | 1.4 |
| | 5-17 | 3.7 |
| DP 3 | 18-64 | 17.1 |

PSI-HHS-000001160426

Immunization Information Systems (IIS)



- Confidential, population-based, computerized databases that record immunization doses administered by participating providers to persons in U.S. public health jurisdictions
- Supplements claims-based COVID-19 vaccine administration data
- Undercapture of COVID-19 vaccines in claims databases due to vaccines administered without insurance reimbursement



Phases of Vaccine Active Surveillance



Descriptive Monitoring provides descriptive statistics of vaccine doses and selected adverse events.



Signal Detection performs sequential testing, while vaccine doses accumulate, to identify potential safety risks early; does not prove causal relationship.



Signal Evaluation uses more robust study designs to evaluate potential safety signals.



Outline

- CBER Active Surveillance Program (BEST Initiative)
- **Bivalent COVID-19 mRNA Vaccines Safety Surveillance**
- Conclusion

PSI-HHS-000001160429



COVID-19 Bivalent mRNA Vaccines Rapid Cycle Analyses Administered Doses By Age Group

| Age Groups (years) | BNT162b2 (# vaccinations) | mRNA-1273 (# vaccinations) | Total (# vaccinations) |
|-----------------------|------------------------------|-------------------------------|---------------------------|
| 5/6-17 ¹ | 196,992 | 13,016 | 210,008 |
| 18-35 ¹ | 442,870 | 211,694 | 654,564 |
| 36-64 ¹ | 1,248,430 | 654,220 | 1,902,650 |
| 65+ ² | 4,265,244 | 3,042,074 | 7,307,318 |

1. Data cuts: CVS data through 10/2022, HealthCore data through 11/2022, Optum data through 12/2022

2. Data cuts: CMS data through 12/2022

COVID-19 Bivalent mRNA Vaccines Safety Monitoring



- **FDA Study Design:** Rapid Cycle Analysis (RCA) near real-time surveillance
 - No causal association established
- **Population:** 6 month-4/5 years, 5/6-17 years, 18-64 years*, ≥65 years
- **Exposure:** mRNA-1273.222 and BNT162b2 COVID-19 vaccines
 - Bivalent booster: original SARS-CoV-2 virus and Omicron variants BA.4 and BA.5.
- **Statistical Method:** MaxSPRT
- **Comparator:** Historical rates

*For the myocarditis/pericarditis outcome, the study population was additionally split into 18-35 and 36-64 year age groups. **PHHS-000001160431**



FDA Adverse Events Monitored

| Adverse Events Monitored in Adult and Pediatric Populations | |
|---|---|
| Acute Myocardial Infarction | Hemorrhagic Stroke |
| Anaphylaxis | Immune Thrombocytopenia |
| Appendicitis | Multisystem Inflammatory Syndrome |
| Bell's Palsy | Myocarditis/Pericarditis (Myo-/Pericarditis)* |
| Common Site Thrombosis with Thrombocytopenia | Narcolepsy |
| Disseminated Intravascular Coagulation | Non-hemorrhagic Stroke |
| Deep Vein Thrombosis | Pulmonary Embolism |
| Encephalitis/Encephalomyelitis | Transverse Myelitis |
| Guillain-Barre Syndrome | Unusual Site Thrombosis (Broad) with Thrombocytopenia |

| Adverse Events Monitored in Pediatric Populations Only |
|--|
| Seizure/Febrile Seizure |
| Kawasaki Disease |
| Multisystem Inflammatory Syndrome in children (MIS-C) |

*This includes 4 myo-/pericarditis outcome definitions varying care settings (all settings vs. IP/OP-ED) and risk windows (1-7 vs. 1-21 days). These AEs have not been associated with COVID-19 vaccines based on available pre-licensure evidence. **PSI-HHS-000001160432**



Signals Detected

| Adverse Event (AE) | Medicare Population ¹ (Ages 65+) | Adult Population ² (Ages 18-64) | Pediatric Population ² (Ages 5-17/6-17) |
|--|--|---|---|
| Acute Myocardial Infarction | No | No | Descriptive Only |
| Anaphylaxis | No | No | No |
| Appendicitis | No | No | No |
| Disseminated Intravascular Coagulation | No | No | No |
| Deep Vein Thrombosis | No | No | No |
| Bell's Palsy | No | No | No |
| Encephalomyelitis/Encephalitis | No | No | No |
| Guillain-Barré Syndrome | No | No | Descriptive Only |
| Hemorrhagic Stroke | No | No | Descriptive Only |
| Myocarditis/Pericarditis | No | BNT162b2 Bivalent (18-35) | No |
| Common Site Thrombosis with Thrombocytopenia | No | No | No |
| Uncommon Site Thrombosis with Thrombocytopenia Syndrome | No | No | Descriptive Only |
| Narcolepsy | No | No | No |
| Non-Hemorrhagic Stroke | No | No | No |
| Pulmonary Embolism | No | No | No |
| Transverse Myelitis | No | No | Descriptive Only |
| Immune Thrombocytopenia | No | No | No |
| Febrile Seizures | N/A | N/A | Descriptive Only |
| Seizures/Convulsions | N/A | N/A | No |
| Kawasaki disease | N/A | N/A | Descriptive Only |
| Multisystem Inflammatory Syndrome | Descriptive Only | Descriptive Only | Descriptive Only |

1. Data cuts: CMS 12/2022

2. Data cuts: CVS Health data through 10/2022; HealthCore data through 11/2022, Optum data through 12/2022
AEs and the associated vaccine brand with a safety signal are noted.

N/A indicates neither descriptive monitoring nor sequential testing is being conducted in the indicated age group for a given AE. NO indicates no descriptive monitoring nor sequential testing is being conducted in the indicated age group for a given AE.

PSL-HHS-000001160433

Adverse Events that Completed Surveillance Period

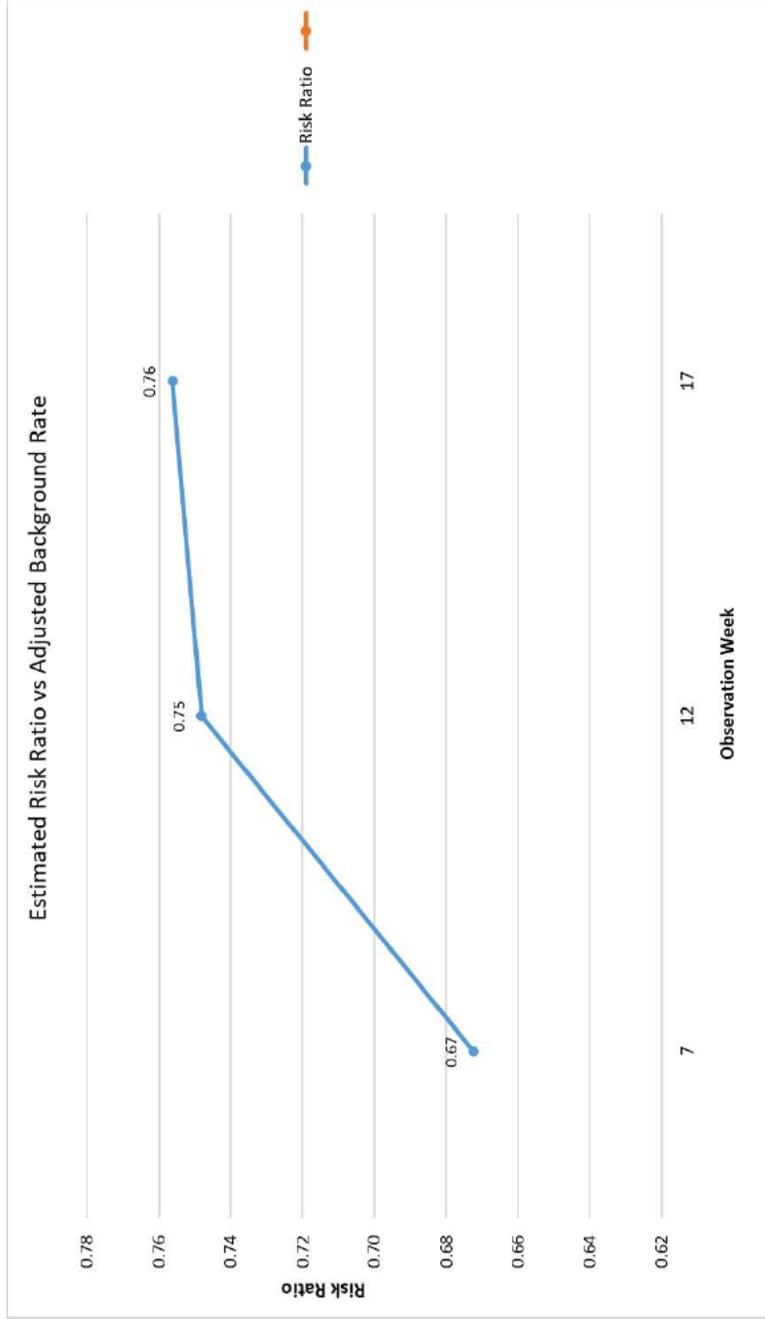


| Adverse Event (AE) | Ages 65+ years |
|--|---------------------|
| Acute Myocardial Infarction | BNT162b2, mRNA-1273 |
| Deep Vein Thrombosis | BNT162b2, mRNA-1273 |
| Bell's Palsy | BNT162b2 |
| Common Site Thrombosis with Thrombocytopenia | BNT162b2 |
| Non-Hemorrhagic Stroke | BNT162b2, mRNA-1273 |
| Pulmonary Embolism | BNT162b2 |

PSI-HHS-000001160434



Risk Ratio Non-hemorrhagic Stroke for Pfizer Bivalent Compared to Historical Rates (2019)



We reached the maximum length of surveillance without a ~~PSG~~ ~~HHS~~ ~~-000001160435~~



Concomitant Influenza Vaccination

- Approximately 4.25 million doses of the Pfizer-BioNTech bivalent vaccine have been administered in the CMS database in individuals 65 years and older
- 38% of the Medicare recipients who received a Pfizer bivalent COVID-19 booster received a seasonal influenza vaccination on the same day
- 78% received a seasonal influenza vaccination within +/- 42 days
- Further work to be done to segment out the different influenza vaccine types administered with the COVID-19 vaccines
- No signal seen at this time for non-hemorrhagic stroke

PSI-HHS-000001160436

COVID-19 Bivalent mRNA Vaccines RCA



Summary

- This is a large-scale signal detection study of two COVID-19 mRNA bivalent vaccines conducted in multiple claims databases.
- RCA surveillance detected a signal for myocarditis/pericarditis following BNT162b2 bivalent vaccine doses among 18-35 year olds.
- Among adults 65 years and older, several AEs have completed the surveillance period.
- Signal detection studies do not establish a causal relationship and further evaluation of signals is required in more robust studies.
- Surveillance is ongoing and expanded to < 5 year olds.

PSI-HHS-000001160437

Data Suggesting Absence of Safety Risk for the Bivalent Boosters in Age 65y+



- 1) No excess reports of stroke from VAERS
- 2) CMS database with about 4.25 million doses shows no increase in stroke
- 3) VA database run shows no increase in stroke on preliminary query
- 4) Various countries in Europe as well as Israel indicate no increased risk of stroke in their surveillance systems
- 5) Pfizer notes no increase in signal in their global safety database or when comparing the monovalent to bivalent vaccines

In any case, a formal epidemiologic study is being initiated by FDA to prepare for potential vaccine coadministration in 2023-2024

PSI-HHS-000001160438



Self-Controlled Analysis of Potential Adverse Events

PSI-HHS-000001160439



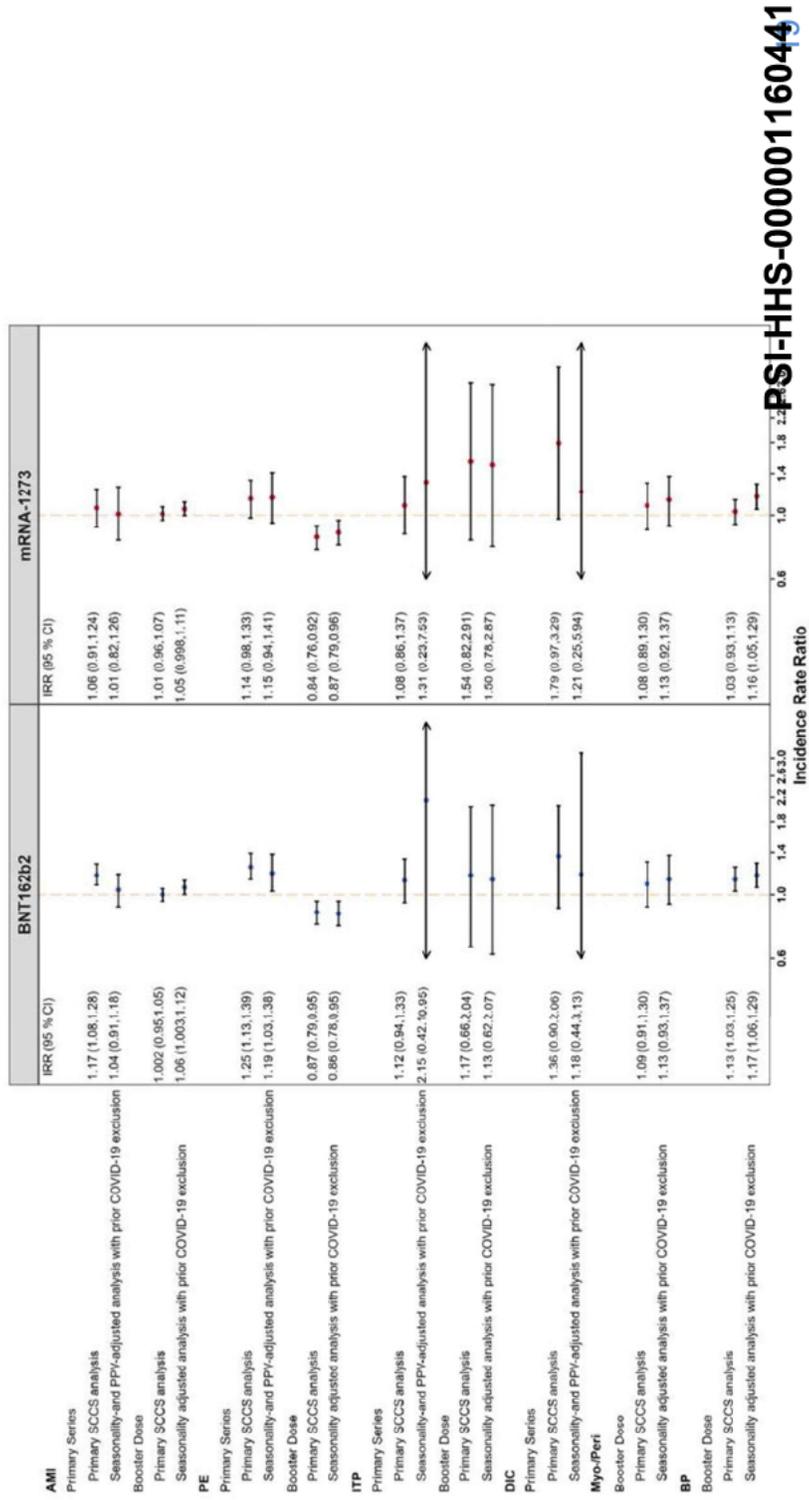
Self-Controlled Analysis of AEs Identified in Sequential Analysis

- “Evaluation of Potential Adverse Events Following COVID-19 mRNA Vaccination Among Adults Aged 65 Years and Older: A Self-Controlled Study in the U.S”
- Available at: <https://doi.org/10.1101/2023.01.19.23284803>

PSI-HHS-000001160440



Forest Plot of Results



PSI-HHS-000001160441



Conclusion

- We did not find an increased risk for AMI, ITP, DIC, and Myo/Peri;
- The results were not consistent for PE;
- There was a small elevated risk of BP after exposure to COVID-19 mRNA vaccines.
- These results support the favorable safety profile of COVID-19 mRNA vaccines administered in the elderly.

Acknowledgements



- Steven A. Anderson
- CBER Surveillance Team: Azadeh Shoaibi, Hui-Lee Wong, Tainya C. Clarke, Joyce Obidi, Joann F. Gruber, Patricia C. Lloyd, Sylvia Cho
- CBER OBPV
- Federal Partners: CMS, VA, CDC
- FDA Partners: Acumen, Blue Health Intelligence, CVS Health, HealthCore, IBM, IQVIA, OHDSI, Optum, RTI Health Solutions



PSI-FHS-000001160443
www.destinitive.org

From: "Markowitz, Lauri (CDC/DDID/NCIRD/DVD)" [REDACTED]
To: "Anderson, Steven (FDA/CBER)" [REDACTED], "Beresnev, Tatiana (NIH) [C]" [REDACTED], "Bridges, Carolyn (CDC/DDID/NCIRD/OD) (CTR)" [REDACTED], "Broder, Karen (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Clark, Matthew (IHS/AKA/AO)" [REDACTED], "Collins, Limone" [REDACTED], "Cunningham, Fran" [REDACTED], "Daley, Matt" [REDACTED], "Edwards, Kathy" [REDACTED], "Farizo, Karen (FDA/CBER)" [REDACTED], "Forshee, Richard (FDA/CBER)" [REDACTED], "Gee, Julianne (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Godfrey, Monica (CDC/DDID/NCIRD/DVD)" [REDACTED], "Hamburger, Tanya (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Hause, Anne M. (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Helfand, Rita (CDC/DDID/NCEZID/OD)" [REDACTED], "Hopkins, Bob" [REDACTED], "Jackson, Lisa" [REDACTED], Jennifer Nelson [REDACTED], "Joseline Zafack" [REDACTED], "Kelman, Jeffrey (CMS/CM)" [REDACTED], "Lee, Grace" [REDACTED], "Lu, Yun (CBER) (FDA/CBER)" [REDACTED], "MacNeil, Jessica R. (CDC/DDID/NCIRD/OD)" [REDACTED], Margaret Ryan [REDACTED], Margaret Ryan [REDACTED], "Marquez, Paige L. (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Marshall, Valerie (HHS/OASH)" [REDACTED], "McNally, Veronica" [REDACTED], "McNeil, Michael (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Meyer, Sarah (CDC/DDID/NCIRD/ISD)" [REDACTED], "Moorer, Alanna (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Moro, Pedro (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Myers, Tanya R. (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Nair, Narayan (FDA/CBER)" [REDACTED], "Oliver, Sara Elizabeth (CDC/DDID/NCIRD/DVD)" [REDACTED], "Oster, Matt (CDC/DDID/NCBDDD/DBDID) (CTR)" [REDACTED], "Patricia Whitley-Williams" [REDACTED], "Riley, Laura" [REDACTED], "Scarborough, Sierra (CDC/DDID/NCIRD/OD) (CTR)" [REDACTED], "Schechter, Robert" [REDACTED], "Sharan, Martha (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Shay, David (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Shimabukuro, Tom (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Shoaibi, Azadeh (FDA/CBER)" [REDACTED], "Styles, Timothy (HRSA)" [REDACTED], "Su, John (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Talbot, Keipp" [REDACTED], "Weintraub, Eric (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Wharton, Melinda (CDC/DDID/NCIRD/OD)" [REDACTED], "Whittaker, Christine (CDC/NIOSH/DSI)" [REDACTED], "Wong, Hui-Lee (FDA/CBER)" [REDACTED], "Woo, Jared (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Young, Mardia (CDC/DDID/NCEZID/DHQP) (CTR)" [REDACTED]

Subject: [EXTERNAL] VaST - Draft minutes and report from February 13, 2023 (CONFIDENTIAL)

Date: Fri, 17 Feb 2023 13:01:47 +0000

Importance: Normal

Attachments: 2023-02-13_-_VaST_minutes_draft_confidential.docx; 2023-02-13_-_VaST_Report_and_Data_Table_draft_confidential.docx

sender and know the content is safe.

Dear VaST members and participants,

Attached are the draft minutes and report from the VaST call this week. Please let us know if there are any corrections or edits.

Reminder - there is an ACIP meeting next week. The COVID-19 vaccine session is February 24.

The specific talks in the COVID-19 session are not included in the posted [agenda](#), but vaccine safety data will be presented and there will be a short VaST assessment.

Regards and many thanks to all,
Lauri Markowitz and Melinda Wharton

Lauri Markowitz, MD

VaST Co-Lead

CDC COVID-19 Response, Vaccine Task Force

Division of Viral Diseases

National Center for Immunization and Respiratory Diseases

Centers for Disease Control and Prevention

**VaST meeting notes
February 13, 2023
Confidential - DRAFT**

Presentations and verbal updates are briefly summarized in meeting notes. Chat notes not answered verbally on the call are available and some have been incorporated into the minutes.

Participants

Workgroup members: Matt Daley, Kathy Edwards, Grace Lee, Bob Hopkins (NVAC-chair), Lisa Jackson, Veronica McNally, Jennifer Nelson, Laura Riley, Rob Schechter, Keipp Talbot (VaST chair), Pat Whitley-Williams

Ex officio and liaison participants: Tatiana Beresnev (NIH), Matthew Clark (IHS), Karen Farizo (FDA), Jeff Kelman (CMS), Valerie Marshall (HHS), Timothy Styles (HRSA)

Federal Partners: Margaret Ryan (DoD)

CDC: Karen Broder, Monica Godfrey, Rita Helfand, Jessica MacNeil, Lauri Markowitz (CDC Co-lead), Paige Marquez, Pedro Moro, Sara Oliver, Sierra Scarbrough, Martha Sharan, David Shay, Tom Shimabukuro, John Su, Evelyn Twentyman, Eric Weintraub, Melinda Wharton (CDC Co-lead), Jared Woo

Technical SMEs: Steve Anderson (FDA), James Donahue (VSD), Rich Forshee (FDA), Kristin Goddard (VSD), Kayla Hanson (VSD), Nicky Klein (VSD), Ned Lewis (VSD), Yun Lu (FDA), Azadeh Shoaibi (FDA), Ousseny Zerbo (VSD)

Agenda

COVID 19 mRNA bivalent booster vaccine safety, Dr. Tom Shimabukuro and Dr. Nicky Klein

Administrative issues and announcements - Co-chairs and Co-leads

- Reminders about COI and confidentiality
- ACIP meeting February 22-24 – COVID-19 session on Friday, February 24
- Doses distributed: 958,658,535; Doses administered: 670,306,507 (last updated: February 9)
 - Doses distributed: Pfizer-BioNTech: 578,036,915; Pfizer-BioNTech(bivalent): 77,808,240; Moderna: 348,034,020; Moderna (bivalent): 36,978,000; Janssen/J&J: 31,502,000; Novavax: 1,085,600; Other: N/A
 - Doses administered: Pfizer-BioNTech: 399,620,284; Pfizer-BioNTech(bivalent): 33,740,344; Moderna: 250,820,655; Moderna (bivalent): 19,131,358; Janssen/J&J: 18,971,123; Novavax: 77,551; Other: 816,894
 - At least one dose: 269,208,743; Primary series: 229,820,324; Bivalent booster dose: 52,499,720
 - These data are posted on the CDC website and are updated regularly (https://covid.cdc.gov/covid-data-tracker/#vaccinations_vacc-total-admin-rate-total).

COVID 19 mRNA bivalent booster vaccine safety – Dr. Tom Shimabukuro (CDC) and Dr. Nicky Klein (VSD)

Dr. Klein presented an updated analysis of the signal for ischemic stroke/transient ischemic attack (TIA) following bivalent Pfizer-BioNTech booster vaccination in VSD for the ACIP presentation on February 24. The RCA signal was first detected in November 2022 (rate ratio >4) and the rate ratio has slowly attenuated, reaching 1.36 recently, and only intermittently meeting signal criteria. The supplemental

analysis comparing bivalent boosted to un-boosted concurrent comparators showed a rate ratio of 1.07 (95% CI: 0.89–1.28). Post-signal analysis evaluating concomitant high-dose or adjuvanted flu vaccine showed a rate ratio of 1.65 (95% CI 1.02–2.72; p-value 0.04). Bivalent Pfizer-BioNTech booster vaccination without concomitant flu vaccine showed a rate ratio of 1.19 (95% CI 0.87 – 1.62). Supplemental analyses suggested comparison interval (22–42 days) rates were lower than expected. The conclusions and discussion noted possible unmeasured confounding that could be contributing to these findings.

Discussion and questions

1. In the chart reviewed cases, was co-administration with high dose or adjuvanted flu vaccine?
 - Nearly all of the confirmed cases that had co-administration were co-administered with high dose.
2. Why were the risk intervals defined as 1-21 days and 22-42 days when cases cluster 11-22 days after vaccination?
 - The risk interval of 1-21 days and 22-42 days have been the standard risk intervals for VSD. The clustering/graphs are done after the signal was identified. The RCA is not designed to be a definitive study; further work to address this can be done in a follow-up study.
 - The temporal analysis has never crossed day 21.
3. Are there data on race/ethnicity, realizing that the absolute numbers are small.
 - There are race/ethnicity data on the cases in the back-up slides.
4. Were chart reviews done on all patients with a stroke outcome?
 - No, only the cases identified in December in the clustering interval in KPNC. VSD is planning to review a random sample of chart reviews across sites (~100 patients).
5. VaST members discussed providing context around the VSD signal for presentation to ACIP. It was suggested to include data from other surveillance systems and that FDA presentation will immediately follow the VSD presentation at ACIP.
 - It needs to be noted that VSD definition of stroke includes TIA and FDA does not.
6. Are there any proposed hypotheses for non-causal association?
 - There are additional considerations regarding non-causal association on slide 25.
7. What are the vaccination coverage rates for CMS data?
 - In VSD coverage is ~47% and in the FDA analysis CMS coverage appears to be much lower. FDA will have coverage numbers for FFS Medicare ready for ACIP.

**Combined Systems Safety Monitoring Report
February 13, 2023
Confidential – DRAFT**

The VaST session on February 13, 2023 included an update of the Vaccine Safety Datalink (VSD) ischemic stroke/transient ischemic attack (TIA) signal assessment and a preview of findings for the February ACIP meeting next week.

Background: VaST first reviewed VSD data on the ischemic stroke/TIA signal at the January 9, 2023 VaST call. Those data were through December 31, 2022. At that call, VaST also heard a verbal report that in the CMS rapid cycle analysis there was no statistical signal for ischemic stroke after bivalent Pfizer-BioNTech or Moderna COVID-19 booster vaccination. Also, in a verbal report from the VA rapid cycle analysis, there was no statistical signal for ischemic stroke/TIA after bivalent Pfizer-BioNTech or Moderna COVID-19 booster vaccination. Data from [VSD](#) and from [CMS](#) were presented to VRBPAC on January 26.

VSD analyses have been updated with data through February 4, 2023. Some of the previous presentation and analyses were modified following feedback from VaST at the January call.

Ischemic stroke in \geq 65-year age group

- In the VSD rapid cycle analysis, there is a statistical signal (detected November 27, 2022) for ischemic stroke/TIA after bivalent Pfizer-BioNTech COVID-19 booster vaccination during the 1–21 days (risk interval) after vaccination vs. a comparison period of 22–42 days after vaccination. The rate ratio has slowly attenuated from 1.92 to 1.36 and recently has only intermittently met signaling criteria.
 - There was temporal clustering of ischemic stroke/TIA codes in days 13–22 following receipt of the bivalent Pfizer-BioNTech COVID-19 booster vaccine.
 - Among 24 initial cases from the Kaiser Permanente Northern California that were chart reviewed, 22 were confirmed as incident cases.
 - In a secondary analysis, when the comparison group was drawn from persons eligible to receive a bivalent Pfizer-BioNTech COVID-19 booster dose but had not received it, there was no statistical signal for ischemic stroke/TIA (adjusted rate ratio 1.07).
 - Supplemental analyses suggest comparison interval (22–42 days) rates were lower than expected.
 - There was no statistical signal for ischemic stroke/TIA after bivalent Moderna COVID-19 booster vaccination; in VSD there were fewer bivalent Moderna COVID-19 booster doses administered compared with bivalent Pfizer-BioNTech booster doses.
- During the sample chart review of cases in the risk interval, it was noted that many adults aged \geq 65 years had concomitant administration of bivalent Pfizer-BioNTech COVID-19 booster vaccine and influenza vaccine (most received high-dose).
 - Analyses evaluating concomitant administration of bivalent Pfizer-BioNTech COVID-19 booster vaccine and high-dose or adjuvanted influenza vaccine showed a rate ratio of 1.65 (95% CI 1.02–2.72; p-value 0.04).
 - Analyses evaluating bivalent Pfizer COVID-19 booster vaccination without concomitant high-dose or adjuvanted influenza vaccine showed a rate ratio of 1.19 (95% CI 0.87–1.62).

- Separate analyses did not detect an elevated rate ratio for ischemic stroke/TIA after influenza vaccine alone.

Ischemic stroke in 18–64-year age group

- In the rapid cycle analysis, there was no statistical signal for ischemic stroke/TIA after bivalent Pfizer-BioNTech COVID-19 booster or bivalent Moderna COVID-19 booster vaccination.

Myocarditis following bivalent COVID-19 booster vaccination

- While not part of the primary presentation to VaST, back-up slides included data on myocarditis/pericarditis. VaST noted that there were limited doses administered to males in the age groups of most interest (30–39 years: n=82,191 doses; 18–29 years: n=50,687 doses; 12–17 years: n=48,066 doses). There was one case in a male (18–29 year age group) and no cases in females.

VaST concluded that:

- The statistical signal among persons aged ≥ 65 years for ischemic stroke/TIA following bivalent Pfizer-BioNTech COVID-19 booster vaccination in VSD is based on limited data and has been attenuating over time. A signal has not been observed in two other active vaccine safety monitoring systems in the United States, nor in data from other countries. The US systems differ from each other in their definition of stroke (VSD includes TIA while CMS does not) and comparator groups.
- Previous surveillance in the VSD and other systems found no evidence of increased risk of ischemic stroke/TIA after the primary series or monovalent COVID-19 booster vaccines for either Pfizer-BioNTech or Moderna products.
- VaST would like to see other data on concomitant administration of bivalent COVID-19 booster and influenza vaccination. It is unclear whether the increased rate ratio is due to one or the other vaccines, to concomitant administration, or to other factors such as unmeasured confounding.
- VaST highlighted several areas for further exploration:
 - Assess the impact of concomitant/recent respiratory viral infections (e.g., COVID-19, influenza) on risk of ischemic stroke/TIA.
 - The presentation highlighted some potential drivers for the observed lower incidence of ischemic stroke/TIA in the vaccinated comparator group, which could be contributing to the increased rate ratio. These should be explored further.
- VaST will continue to review data from additional analyses planned from VSD, CMS and the VA.
- Current data in VSD, based on small numbers, do not raise additional concerns about myocarditis following bivalent COVID-19 booster vaccination.

Table 1. COVID-19 vaccine monitoring systems reviewed by the VaST – Pfizer BioNTech (recommended for use in persons age ≥ 6 months)

Red indicates updated or new data this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|----------------------|--|--|--|---|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Population data through 1/23/22 | All health events, adverse events of special interest ^a | US population | 313.0 million Pfizer-BioNTech doses administered | Descriptive and empirical Bayesian data mining and other | | Anaphylaxis associated with vaccination, first detected by reports from UK and early reporting in the US; assessed by follow-up with providers, chart review, CISA consultations; clinical guidance changed (initially in December 2020 and last updated March 5, 2021) |
| 6 month-4 year primary series data through 7/31/22 | All health events, adverse events of special interest ^a | | 692,485 doses administered | | 321 VAERS reports, 6 serious <ul style="list-style-type: none"> Fever was the most frequently reported AE Administration errors were the next most frequently reported AE | Additional data needed VaST members expressed concerns about administration errors, how to prevent them |
| 5-11-year-old primary series data through 4/24/22 | All health events, adverse events of special interest ^a | | 17,859,728 doses administered | | 9,001 reports; 97% non-serious <ul style="list-style-type: none"> Median age 8 years Sex: 47% male; 47% female 20 verified myocarditis reports <ul style="list-style-type: none"> Overall reporting rate: 0.94 per 1 million doses administered < 1 per million for males following dose 1 and females following dose 1 and 2 2.2 per million for males following dose 2 | No concerns raised |

| | | | | | | |
|---|--|--|--|--|---|--|
| 5-11-year-old first booster dose data through 7/31/22 | All health events, adverse events of special interest ^a | | 466,716 booster doses administered | | 581 reports; 99% non-serious <ul style="list-style-type: none"> • Median age 9 years • Sex: 51% male; 47% females Administration errors are the most common reports | No concerns raised |
| First booster dose data for persons aged ≥12 years through 4/11/22 | | | 93,118,318 1st mRNA COVID-19 booster vaccinations administered | | 47,014 non-serious reports following 1 st booster of mRNA COVID-19 vaccination <ul style="list-style-type: none"> • Headache, pyrexia, and pain: 3 most common non-serious 5,049 serious reports following 1 st booster mRNA COVID-19 vaccination | No concerns raised |
| Second booster dose data for persons aged ≥50 years through 7/10/22 | | | 16,961,827 2 nd mRNA COVID-19 booster vaccinations administered | | 8,073 non-serious reports following 2 nd booster mRNA COVID-19 vaccination <ul style="list-style-type: none"> • COVID-19, fatigue, and headache: 3 most common non-serious 442 serious reports following 2 nd booster mRNA COVID-19 vaccination | No concerns raised |
| Bivalent booster dose data for persons ≥ 12 years through 9/25/22 | All health events, adverse events of special interest ^a | | 4.7 million persons aged 12+ years received Pfizer-BioNTech bivalent booster vaccination | | 1,236 non-serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> • Vaccination errors were the most commonly reported AE (30%) 33 serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> • 3 reports of myocarditis, 2 pericarditis, and 3 deaths | No concerns raised but further review needed after more doses administered |
| Co-administration data through 6/30/2022 | All health events, adverse events of special interest ^a | | | | 1,663 reports following co-administration with a Pfizer-BioNTech vaccination 1,332 non-serious reports and 253 serious reports across both mRNA vaccines | No concerns raised but further review needed after more doses administered |

| | | | | | | |
|---|--|--|--|--|---|---|
| <p>Myocarditis/pericarditis first booster data through 5/26/22</p> | | | <p>93.4 million 1st mRNA COVID-19 booster vaccinations administered</p> | | <ul style="list-style-type: none"> 47 death reports – causes of death consistent with all-cause mortality for age groups | <p>There appears to be some risk of myocarditis/pericarditis after a booster dose. Further analyses ongoing.</p> |
| <p>Myocarditis/pericarditis primary series data through 5/26/22</p> | | | <p>398.4 million mRNA COVID-19 primary series vaccinations administered</p> | | <p>Reporting rates of myocarditis/myopericarditis among males 12-49 years in 7-day window following dose 2 exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined</p> <ul style="list-style-type: none"> 15.3 in males aged 12-15 years 24.1 in males aged 16-17 years 9.9 in males aged 18-24 years 4.8 in males aged 25-29 years | <p>Warning added to EUA fact sheets June 25, and information provided in update of CDC’s clinical guidance and MMWR article. Information included in FDA materials after full approval on Aug 22. Further work being done to define myocarditis risk.</p> |
| <p>Myocarditis/pericarditis 5-17-year-old primary series data through 5/26/22</p> | | | <p>54.9 million doses administered (Dose 1: 27.7; Dose 2: 23.3; Dose 3: 3.8 million)</p> | | <p>972 preliminary myocarditis reports</p> <p>635 verified reports met the CDC case definition for myocarditis.</p> <ul style="list-style-type: none"> .2 reporting rate, males aged 5-11 years following dose 1 | <p>No new concerns raised</p> |

| | | | | | | |
|---|--|--|--|--|--|---------------------------------|
| | | | | | <ul style="list-style-type: none"> 2.6 reporting rate, males aged 5-11 years following dose 2 | |
| <p>MOVING data for persons aged 12-29 years presented on 12/12/22</p> | | | | | <p>60 myocarditis patients interviewed, across all vaccines, 1-year post-myocarditis dx</p> <p>63 physicians interviewed, across all vaccines, 1-year post-myocarditis dx</p> <p>83% of healthcare providers indicated the patient was fully or probably recovered</p> | <p>Further follow-up needed</p> |
| <p>Tinnitus and hearing loss data through 11/6/22</p> | | | | | <p>7,026 tinnitus reports to VAERS</p> <ul style="list-style-type: none"> 21.6 reports per million doses administered (18+ years) <p>197 sudden hearing loss reports</p> <ul style="list-style-type: none"> 0.6 reports per million doses administered (18+ years) <p>949 reports of 'permanent disability' and 157 reports of 'hospitalization' for tinnitus or sudden hearing loss</p> | <p>No concerns raised</p> |
| <p>Pregnancy data through 7/29/22</p> | | | | | <p>4,487 pregnancy-related reports to VAERS (2,424 after Pfizer). Safety profile of pregnancy reports after COVID-19 vaccines appears reassuring, and primary series reports are comparable to booster doses.</p> | <p>No concerns raised</p> |

| | | | | | | |
|--|---|-----------------------------------|---|-------------|--|-------------------------------------|
| GBS data through 1/28/22 | | | | | 104 verified cases of GBS following Pfizer-BioNTech vaccination <ul style="list-style-type: none"> • Median age: 57.5 years • Hospitalized: 96 • Deaths: 3 <p>Observed number of confirmed GBS reports lower than than expected.</p> <p>For each vaccine, across all sex and age groups, the observed reporting rate for death events was much lower than the number of expected all cause deaths</p> | No concerns raised |
| Death reporting rates data through 11/17/21 | | | | | 558 reports of post-menopausal bleeding reported to VAERS after COVID-19 vaccine (239 after Pfizer). Few PMB cases were classified as serious VAERS reports (3 reported after Pfizer). | No concerns raised |
| Menstrual irregularities data through 1/7/22 | | | | | 10.8% serious reports and 89.2% non-serious reports | No concerns raised |
| VA ADERS Data through 4/03/2022 | All health events | VA employees and Veteran patients | 1.9M 1 st doses 1.8M 2 nd doses 848,841 booster doses | Descriptive | 12 cases myocarditis/pericarditis after Pfizer-BioNTech dose 1 | Follow-up and evaluation continuing |
| DoD VAERS Data through 12/31/2021 | All health events, adverse events of special interest | Active duty and beneficiaries | 4.1 million Pfizer-BioNTech vaccines administered | Descriptive | 41 cases of myocarditis/pericarditis after Pfizer-BioNTech dose 2 <ul style="list-style-type: none"> • 111.5 cases per million in males aged 12-17 years • 52.6 cases per million in males aged 18-24 years • 21.5 cases per million in males aged 25-39 years <p>Observed > expected in males (<17, 18-24, and 25-39) after dose 2</p> | |

| Indian Health Services (IHS) VAERS Presented on 2/06/23 | All health events, adverse events of special interest ^a | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 724 total AVE reports <ul style="list-style-type: none"> • 488 medically attended health impact events | No new concerns raised |
|---|--|---|---|-------------|---|------------------------|
| Active Surveillance | | | | | | |
| V-safe Booster dose data through 4/10/22 | | Vaccinees who enroll | 369,841 v-safe participants who received Pfizer-BioNTech primary series received booster doses (336,618 had Pfizer-BioNTech primary series) | Descriptive | Local and systemic reactions for persons aged 18+ years reported less frequently following booster dose than dose 2 for mRNA vaccines. Similar or slightly more reports or reactions among persons aged 12-17 years following booster compared to dose 2 for mRNA vaccines. | No concerns raised |
| 5-11-year data (primary series) through 4/24/22 | | | | | 49,396 participants with a Pfizer-BioNTech vaccination <ul style="list-style-type: none"> • Injection site pain most frequently reported reaction • Reactions were more frequently reported after dose 2 than 1 | No concerns raised |
| 6 month-4 year data through 7/10/22 | | Vaccinees who enroll | 14,036 v-safe participants who received Pfizer-BioNTech | Descriptive | Reactogenicity 6 months-2 years-olds: <ul style="list-style-type: none"> • 18.8% reported any injection site reaction following dose 1 and 18.3% following dose 2 • 55.7% reported any systemic reaction following dose 1 and 47.1% following dose 2 Reactogenicity 3-4 year-olds: <ul style="list-style-type: none"> • 38.3% reported any injection site reaction following dose 1 and 26.3% following dose 2 | No concerns raised |

| | | | | | | |
|---|-----------------------------|--|--------------------|--|---|---|
| <p>Pregnant women reactivity data through 2/13/22</p> | | | | | <ul style="list-style-type: none"> 31.5% reported any systemic reaction following dose 1 and 29.6% following dose 2 | <p>No concerns raised</p> |
| <p>Data through 4/10/22</p> | | | | | <p>6,338 pregnant participants reported a booster dose.</p> <ul style="list-style-type: none"> Patterns of reporting after receiving a booster dose while pregnant are consistent with the general population <p>5,052 pregnant participants reported homologous mRNA booster while pregnant.</p> <ul style="list-style-type: none"> Reporting frequency for some systemic reactions differ between dose 2 and booster dose, with some differences in frequency of reporting noted depending on whether participant was pregnant for both doses or only booster dose. | <p>No concerns raised</p> |
| <p>Menstrual irregularities data through 1/22</p> | | | | | <p>63,815 people across all vaccines reported responses likely related to menstruation</p> <ul style="list-style-type: none"> Common themes: menstrual timing and menstrual severity. | <p>No concerns raised</p> |
| <p>Bivalent booster dose data for persons ≥ 12 years through 9/25/22</p> | <p>Vaccinees who enroll</p> | <p>28,568 v-safe participants reported receiving Pfizer-BioNTech bivalent booster dose</p> | <p>Descriptive</p> | <p>Across both mRNA bivalent vaccines, reporting frequencies of reactions and health impacts were similar to those after 1st and 2nd booster vaccination</p> <p>Approximately one-third reported co-administration</p> | <p>No concerns raised but further review needed after more doses administered</p> | <p>No concerns raised but further review needed after more doses administered</p> |
| <p>Simultaneous booster and influenza vaccine study data through 5/1/22</p> | <p>Vaccinees who enroll</p> | <p>526,829 v-safe participants reported</p> | | <p>60,390 participants: simultaneous Pfizer-BioNTech booster and influenza</p> | | <p>No concerns raised but further review needed after more doses administered</p> |

| | | | | | | |
|--|--|---|--|--|--|--|
| <p>V-safe Pregnancy Registry Data through 8/1/22</p> | | <p>Vaccinees who enroll</p> | <p>receiving Pfizer-BioNTech booster dose</p> | <p>Descriptive</p> | <p>vaccinations; 466,439 participants: Pfizer-BioNTech booster alone Injection site and systemic reactions slightly more frequent following simultaneously administered. No evidence of a difference in severity. 22,951 total pregnancies. Pregnancy and neonatal outcome frequencies support safety of the COVID-19 vaccination.</p> | <p>Phase 2 infant and maternal follow-up through 12 months of age/pregnancy end to start soon.</p> |
| <p>Department of Veterans Affairs (VA) Active Surveillance System RCA data through 10/28/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients</p> | <p>2.0 million first doses administered; 1.9 million second doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>The only signal is for anaphylaxis following dose 1 of Pfizer-BioNTech.</p> | <p>No new concerns raised</p> |
| <p>RCA booster dose data through 3/26/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients who received a booster dose</p> | <p>838,337 Pfizer-BioNTech booster doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>No signals, including for myocarditis/pericarditis or anaphylaxis, but pericarditis cases observed among those ≥ 40 years</p> | <p>No concerns raised, but follow-up in other safety systems needed</p> |
| <p>Bivalent booster presented on 1/09/23</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients who received a booster dose</p> | <p>377k Pfizer-BioNTech bivalent doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>No signals observed; rate ratio for ischemic stroke/TIA following Pfizer-BioNTech bivalent vaccine <1</p> | <p>No concerns raised</p> |
| <p>Target trial emulation data presented on 9/19/22</p> | <p>All-cause mortality</p> | <p>Eligible Veterans</p> | <p>228,130 patients reached end of follow-up (100,253 Pfizer-BioNTech)</p> | <p>Discrete time logistic regression</p> | <p>Day 8 and day 28 all-cause mortality are statistically similar across all vaccines Day 60 all-cause mortality is significantly different across all vaccines <ul style="list-style-type: none"> • 11% reduction in risk in vaccinated group </p> | <p>No concerns raised</p> |
| <p>Vaccine Safety Datalink (VSD) RCA data through 1/15/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating</p> | <p>9.0 million doses of Pfizer-</p> | <p>Vaccinated concurrent comparison,</p> | <p><u>21-day risk interval - signaled</u></p> | <p>Further monitoring and analyses of other potential signals ongoing.</p> |

| | | | | | | | | | |
|--|--|---|---|-----------------------|---------------------|---|---|---------------------------------------|---|
| | | | | | | <ul style="list-style-type: none"> Myocarditis/pericarditis (combined dose 1&2 and dose 2 alone) VTE (combined dose 1&2 and dose 2 alone) AMI (dose 2) <p><u>42-days risk interval - signaled</u></p> <ul style="list-style-type: none"> Myocarditis/pericarditis (dose 2) Seizures (dose2) | | | Further monitoring and analyses of needed |
| First booster dose data through 8/13/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 2.8 million patients ≥18 years 265k patients 12-17 years 94,791 patients 5-11 years | BioNTech administered | sequential analyses | <p>No statistical signal for pre-specified outcomes for Pfizer-BioNTech boosters for patients aged 12+ years.</p> <ul style="list-style-type: none"> Myocarditis/pericarditis signal for combined Pfizer-BioNTech and Moderna analysis, elevated risk highest in adolescent and young adult males <p>No statistical signal for pre-specified outcomes for booster doses across mRNA vaccines for patients aged 5-11 years.</p> | Vaccinated concurrent comparison, sequential analyses | Further review and analysis is needed | |
| Bivalent dose data through 12/31/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 1.6 million patients received Pfizer-BioNTech bivalent booster dose | BioNTech administered | sequential analyses | <p>There was an elevated rate ratio for ischemic stroke/TIA in persons 18-64 years, but there was no signal</p> | Vaccinated concurrent comparison, sequential analyses | Further review and analysis is needed | |
| Bivalent dose ischemic stroke updated analysis data presented on 2/13/23 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 580k patients received Pfizer-BioNTech bivalent booster dose | BioNTech administered | sequential analyses | <p>There was a statistical signal for ischemic stroke/TIA in persons 65+ years; attenuated in recent weeks.</p> <ul style="list-style-type: none"> Rate ratio of 1.36 (CI: 1.05-1.76) | Vaccinated concurrent comparison, sequential analyses | Further review and analysis is needed | |

| | | | | | | |
|---|--|---|---|---|--|--|
| VSD simultaneous and co-administered vaccine data through 10/8/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | ~230,000 patients administered either bivalent mRNA booster | | Bivalent Pfizer-BioNTech and same-day co-administration of high-dose or adjuvanted flu vaccine, RR = 1.65 (95% CI 1.02-2.72). | No concerns raised but further review needed after more doses administered |
| 6 month-4-year primary series data through 8/13/22 | Pre-specified health outcomes ^a | | 31,784 first doses of Pfizer-BioNTech administered; 18,729 second doses of Pfizer-BioNTech administered | | No statistical signals identified | No concerns raised |
| 5-11-year primary series data presented 5/22 | | | 817,217 doses of Pfizer-BioNTech administered | | No statistical signals identified | No concerns raised |
| First booster dose data through 8/20/22 | | | 2.9 million doses of Pfizer-BioNTech booster administered following Pfizer-BioNTech primary series ages 5 and older | Vaccinated concurrent comparison, sequential analyses | <p><u>0-7 days risk-window</u></p> <ul style="list-style-type: none"> 7.21 (95% CI: 2.04-29.66) for myocarditis/pericarditis events in persons aged 12-17 years 4.81 (95% CI: 1.55-16.81) for myocarditis/pericarditis events in persons aged 18-39 years <p><u>0-21 days risk window</u></p> <ul style="list-style-type: none"> No point estimate (95% CI: 2.66-∞) for myocarditis/myopericarditis events in persons aged 40+ years <p><u>0-7 or 0-21 days risk window</u></p> | Finding consistent with past analyses |

| | | | | | | | |
|--|--|--|--|--|---|--|---|
| | | | | | | <ul style="list-style-type: none"> Rate ratios for pericarditis not significantly elevated among persons aged 40+ years | No new concerns raised |
| Head-to-Head myocarditis/pericarditis presented on 1/15/22 | | | | | | <p>Head-to-head comparisons: risk of myocarditis/pericarditis was higher after Moderna than after Pfizer. 1.61 adjusted rate ratio for persons aged 18-39 years</p> | No concerns raised |
| Tinnitus and hearing loss presented on 11/14/22 | | | | 4,068,513 doses of Pfizer-BioNTech; 2,467,865 booster doses of Pfizer-BioNTech following mRNA vaccines | Tree-scan, <i>ad hoc</i> temporal scans and descriptive | <p>No clusters of hearing-related outcomes for any tree scan analyses</p> <p><i>Ad hoc</i> temporal scan analysis - most likely cluster starts on Day 33, ~12 days after dose 2</p> <p>78/10,000 person-years after Pfizer-BioNTech</p> | No concerns raised Tinnitus after vaccination similar to recent global background incidence estimate |
| Tree scan analysis data presented 5/2/22 | | | | 4,068,513 doses of Pfizer-BioNTech; 1,142,736 booster doses of Pfizer-BioNTech following mRNA vaccines | | <p>Patients 12-17 years and 18-39 years had a signal for myocarditis/pericarditis, chest pain, and breathing abnormalities following dose 2 using the 7-day window.</p> | No additional serious adverse events identified using this data mining approach |
| KPSEM data through 12/13/21 | | | | 6,194 surveys completed for children aged 5-11 years | | <p>~1% of respondents reported tachycardia or chest pain 0-7 days following vaccination</p> <ul style="list-style-type: none"> No documented myocarditis cases in the medical records <p>6.9% reported 'other symptoms' following dose 1 and 1.3% reported seeking medical care for symptoms following dose 1</p> | No concerns raised |

| | | | | | |
|---|--|---|--|---|---|
| <p>Menstrual irregularities data through 12/2021</p> | <p>Post-menopausal bleeding</p> | <p>Women ≥45 years, KPNW</p> | <p>48,438 vaccinated women</p> | <p>5.6% reported 'other symptoms' following dose 2 and 1.4% reported seeking medical care for symptoms following dose 2</p> | <p>No concerns raised</p> |
| <p>Vaccine Safety Datalink (VSD) Mortality Study Vaccinated through 5/31/21 and death data through 7/31/21</p> | <p>Deaths</p> | <p>VSD sites enrolled in the mortality study; vaccinated before 5/31 and number of deaths before 7/31</p> | <p>3,453,126 vaccines administered</p> | <p>Matched cohort analysis</p> | <p>Individuals who received Pfizer-BioNTech COVID-19 vaccine had lower mortality risk after dose 1 and dose 2 vs unvaccinated comparators.</p> <ul style="list-style-type: none"> 0.41 (0.38-0.44) RR for mortality of Pfizer-BioNTech vaccine dose 1 recipients versus unvaccinated comparison group 0.34 (0.33-0.36) RR for mortality of Pfizer-BioNTech dose 2 recipients versus unvaccinated comparison <p>No concerns raised</p> |
| <p>Defense Medical Surveillance System (DMSS)^b</p> | <p>Pre-specified health outcomes^a</p> | | | | |
| <p>FDA - Centers for Medicare and Medicaid Services (CMS)^b Data presented on 3/14/22</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65+ enrolled in Fee-for-Service (FFS)</p> | <p>NA</p> | <p>Historical comparator and sequential analyses</p> | <p>RCA statistical signals reported to VaST previously for PE, AMI, DIC, ITP, investigated in self-controlled case series with post vacc control interval</p> <ul style="list-style-type: none"> Consistent evidence of an elevated risk of PE <p>Inconclusive evidence for AMI, ITP; Consistently elevated risk for PE; numbers too small for DIC</p> |

| | | | | | | |
|--|--|--|--|--|---|--|
| <p>Booster dose data through 3/5/22</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65+ enrolled in Fee-for-Service (FFS)</p> | <p>3.2 million patients who received Pfizer-BioNTech primary series received booster doses (23.1 million had Pfizer-BioNTech primary series)</p> | <p>Historical comparator analysis</p> | <p> <ul style="list-style-type: none"> Elevated risk for AMI that was attenuated after exclusion of cases with hx of COVID-19 disease in past year ITP: no elevated risk <p>Signal for Bell's palsy following Pfizer-BioNTech booster among those without a prior COVID-19 diagnosis</p> <ul style="list-style-type: none"> Signal for AMI, ITP, myocarditis/pericarditis, and PE following Pfizer-BioNTech booster in those with a prior COVID-19 diagnosis </p> | <p>Inconclusive evidence, further analysis of booster data is needed</p> |
| <p>Bivalent dose data presented on 1/09/23</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65+ enrolled in Fee-for-Service (FFS)</p> | <p>Historical comparator analysis</p> | <p>Historical comparator analysis</p> | <p>No signal for ischemic stroke following Pfizer-BioNTech bivalent booster vaccination</p> | <p>No concerns raised</p> |
| <p>FDA - BEST Initiative Myocarditis/pericarditis data presented on 3/14/22</p> | <p>Myocarditis/Pericarditis</p> | <p>5 FDA BEST partners; males 18-25 and 18-35 years</p> | <p>16.9 million doses administered</p> | <p>Retrospective comparator analysis</p> | <p>1.43 (95% CI: 0.88,2.34) incidence rate ratio when comparing Moderna vs Pfizer-BioNTech in males aged 18-25 years in IP/ED/OP settings. .88 (95% CI: 0.67,1.15) incidence rate for males aged 18-25 years in IP/ED/OP settings</p> | <p>Results do not support a significant risk difference between the 2 mRNA vaccines for males aged 18-25 years and 18-35 years</p> <p>IRRs attenuated for 18-35 years old and when restricted to IP/ED</p> |
| <p>FDA - BEST Initiative^b Optum Data through 11/13/21</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in Optum pre-adjudicated claims, 0-64 years</p> | <p>Total doses 5.0 million</p> | <p>Historical comparator and sequential analyses</p> | <p>RCA statistical signal for anaphylaxis in Optum data following Pfizer-BioNTech</p> | <p>Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing.</p> |

| FDA - BEST Initiative HealthCore Data through 10/4/21 | Pre-specified health outcomes ^a | Patients enrolled in BCBS 0-64 years | Total doses 5.5 million | Historical comparator and sequential analyses | RCA statistical signal for anaphylaxis in HealthCore data following Pfizer-BioNTech | Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing. |
|---|--|---|--|---|--|--|
| NPTC Vaccine Sentinel Survey Data presented on 2/6/23 | | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 1,063 total AVE reports <ul style="list-style-type: none"> • 457 medically attended health impact events • 23 potential AESIs | No concerns raised |
| Vaccine Trials (Manufacturer) | | | | | <ul style="list-style-type: none"> • See GRADE tables https://www.cdc.gov/vaccines/acip/recs/grade/table-refs.html | |

^aSee Table 5 for the complete list of health outcomes

^bData are currently being processed and will be reported when received

^cAt the time of vaccination

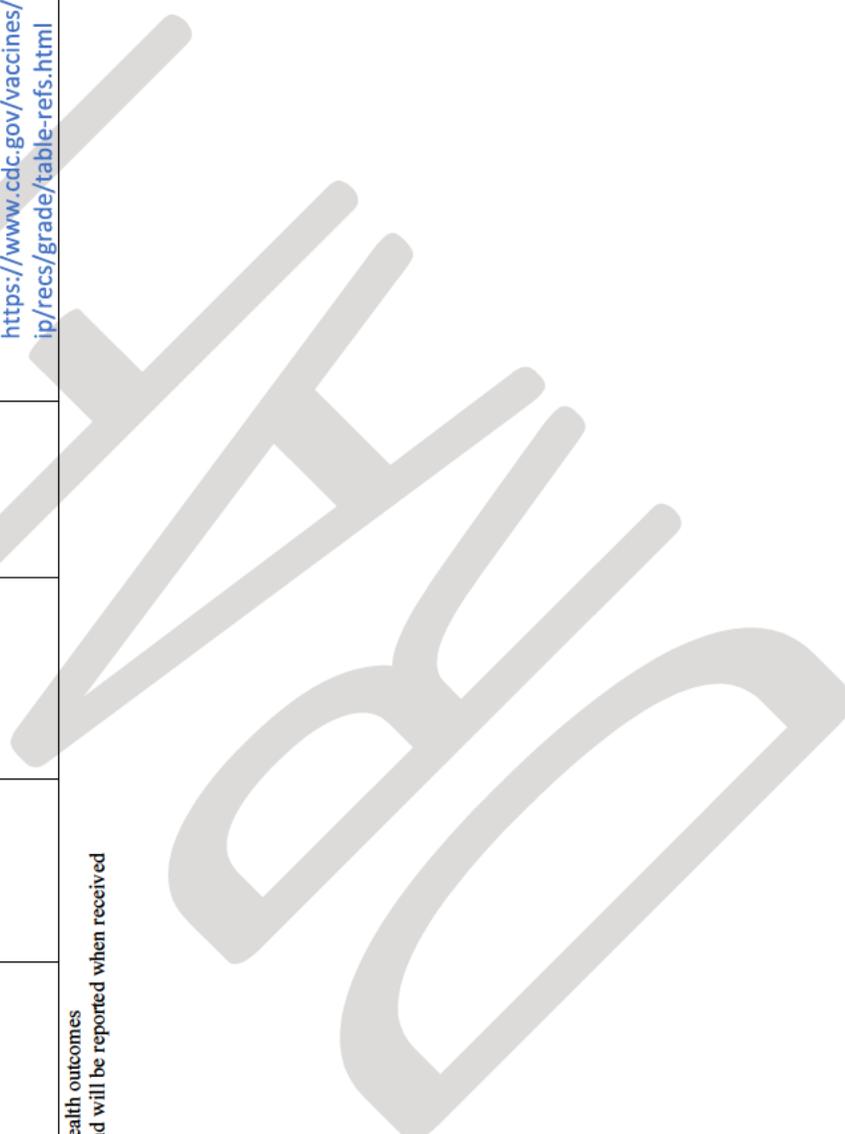


Table 2. COVID-19 vaccine monitoring systems reviewed by the VaST – Moderna (recommended for use in persons age ≥ 6 months)

Red indicates new results this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|----------------------|--|--|---|---|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Population data through 1/23/26 | All health events, adverse events of special interest ^a | US population | 203.0 million Moderna doses administered | Descriptive and empirical Bayesian data mining | | Anaphylaxis associated with vaccination, first detected by early reporting in US; assessed by follow-up with providers; chart review, CISA consultations; clinical guidance changed (initially in December 2020 and last updated March 5, 2021) |
| 6 month-5 year data through 7/31/22 | All health events, adverse events of special interest ^a | | 359,025 doses administered | | 346 VAERS reports, 6 serious <ul style="list-style-type: none"> Fever was the most frequently reported AE | Additional data are needed to derive any insights |
| First booster dose data for persons aged ≥12 years through 4/11/22 | | | 93,118,318 1 st mRNA COVID-19 booster vaccination administered | | 47,014 non-serious reports following 1 st booster of mRNA COVID-19 vaccination <ul style="list-style-type: none"> Headache, pyrexia, pain: 3 most common non-serious reports 5,049 serious reports following 1 st booster of mRNA COVID-19 vaccination | No concerns raised |
| Bivalent booster dose data for persons ≥ 18 years through 9/25/22 | All health events, adverse events of special interest ^a | | 2.6 million persons aged 18+ years received Moderna bivalent booster vaccination | | 1,236 non-serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> Vaccination errors were the most commonly reported AE (30%) 33 serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> 3 reports of myocarditis, 2 pericarditis, and 3 deaths | No concerns raised but further review needed after more doses administered |

| Co-administration data through 6/30/2022 | All health events, adverse events of special interest ^a | | | | 786 reports following co-administration with a Moderna vaccination 1,332 non-serious reports and 253 serious reports across both mRNA vaccines <ul style="list-style-type: none"> • 47 death reports – causes of death consistent with all-cause mortality for age groups | No concerns raised; but further review needed after more doses administered |
|--|--|--|--|--|--|--|
| Myocarditis/pericarditis first booster data through 5/26/22 | | | 93.4 million 1st mRNA COVID-19 booster vaccinations administered | | Reporting rates of myocarditis/myopericarditis among males 12-29 years in 7-day window following either booster dose exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined <ul style="list-style-type: none"> • 9.9 in males aged 18-24 years • 4.8 in males aged 25-29 years | There appears to be some risk of myocarditis/pericarditis after a booster dose. Further analyses ongoing. |
| Myocarditis/pericarditis primary series data through 5/26/22 | | | 398.4 million mRNA COVID-19 primary series vaccinations administered | | Reporting rates of myocarditis/myopericarditis among males 12-49 years in 7-day window following dose 2 exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined <ul style="list-style-type: none"> • 38.9 in males aged 18-24 years • 15.2 in males aged 25-29 years • 7.5 in males aged 30-39 years • 3.3 in males aged 40-49 years | Warning added to EUA fact sheets June 2021, and information provided in update of CDC’s clinical guidance and MMWR article. Information included in FDA materials after full approval on Aug 2021. Further work being done to define myocarditis risk. |
| MOVING data for persons aged 12-29 years presented on 12/12/22 | | | | | 60 myocarditis patients interviewed, across all vaccines, 1-year post-myocarditis dx | Further follow-up needed |

| | | | | | | | |
|---|--|--|--|--|---|---------------------------|--|
| <p>Tinnitus and hearing loss data through 11/6/22</p> | | | | | <p>63 physicians interviewed, across all vaccines, 1-year post-myocarditis dx</p> <p>83% of healthcare providers indicated the patient was fully or probably recovered</p> <p>5,280 tinnitus reports to VAERS</p> <ul style="list-style-type: none"> • 22.7 reports per million doses administered (18+ years) <p>125 sudden hearing loss reports</p> <ul style="list-style-type: none"> • 0.5 reports per million doses administered (18+ years) <p>601 reports of 'permanent disability' and 125 reports of 'hospitalization' for tinnitus or sudden hearing loss</p> <p>2 confirmed TTS reports to VAERS</p> | <p>No concerns raised</p> | |
| <p>TTS data through 8/4/21</p> | | | | | <p>No concerns raised</p> | | |
| <p>GBS data through 1/28/22</p> | | | | | <p>72 verified cases of GBS following Moderna vaccination</p> <ul style="list-style-type: none"> • Median age: 63.0 years • Hospitalized: 97 • Deaths: 5 <p>Observed number of confirmed GBS reports was lower than than expected.</p> <p>For each vaccine, across all sex and age groups, observed reporting rate for death events much lower than the number of expected all cause deaths</p> | <p>No concerns raised</p> | |
| <p>Death reporting rates data through 11/17/21</p> | | | | | <p>No concerns raised</p> | | |

| | | | | | | |
|---|--|---|--|-------------|---|-------------------------------------|
| Pregnancy data through 7/29/2022 | | | | | 4,487 pregnancy-related reports to VAERS (1,736 after Moderna). Safety profile of pregnancy reports after COVID-19 vaccines appears reassuring, and primary series reports are comparable to booster doses. | No concerns raised |
| Menstrual irregularities data through 1/7/22 | | | | | 558 reports of post-menopausal bleeding reported to VAERS after COVID-19 vaccine (145 after Moderna). Few PMB cases were classified as serious VAERS reports (2 reported after Moderna). | No concerns raised |
| VA ADERS Data through 4/03/2022 | All health events | VA employees and Veteran patients | 2.1M 1 st doses 2M 2 nd doses | Descriptive | 8% serious; 92% nonserious | No concerns raised |
| DoD VAERS Data through 12/31/2021 | All health events, adverse events of special interest | Active duty and beneficiaries | 2.2 million Moderna vaccines administered | Descriptive | 8 cases myocarditis/pericarditis after Moderna dose 1 23 cases with myocarditis/pericarditis after Moderna dose 2 <ul style="list-style-type: none"> • 104.5 cases per million in males aged 18-24 years • 22.7 cases per million in males aged 25-39 years Observed > expected in males (18-24, and 25-39) after dose 2 | Follow-up and evaluation continuing |
| Indian Health Services (IHS) VAERS Presented on 2/06/23 | All health events, adverse events of special interest ^a | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 612 total AVE reports <ul style="list-style-type: none"> • 426 medically attended health impact events | No new concerns raised |
| Active Surveillance | | | | | | |
| V-safe Booster dose data through 4/10/22 | | Vaccinees who enroll | 351,619 v-safe participants who received Moderna | Descriptive | Local and systemic reactions for persons aged 18+ years were reported less frequently following | No concerns raised |

| | | | | |
|--|-----------------------------|--|---|--|
| | | <p>booster dose than dose 2 for mRNA vaccines.</p> <p>Similar or slightly more reports or reactions among persons aged 12-17 years following booster compared to dose 2 for mRNA vaccines.</p> | | |
| <p>6 month-5 year data through 7/19/22</p> | <p>Vaccinees who enroll</p> | <p>primary series received booster doses (311,374 had Moderna primary series)</p> <p>7,879 v-safe participants who received Moderna</p> | <p>Descriptive</p> | <p>Reactogenicity 6 months-2 year-olds:</p> <ul style="list-style-type: none"> 19.0% reported any injection site reaction following dose 1 and 29.2% following dose 2 55.5% reported any systemic reaction following dose 1 and 59.8% following dose 2 <p>Reactogenicity 3-5 year-olds:</p> <ul style="list-style-type: none"> 31.9% reported any injection site reaction following dose 1 and 47.9% following dose 2 34.2% reported any systemic reaction following dose 1 and 51.8% following dose 2 |
| <p>Reactogenicity after booster doses in pregnant persons data through 2/13/22</p> <p>Data through 4/10/22</p> | | | <p>4,552 pregnant participants reported a booster dose.</p> <ul style="list-style-type: none"> Patterns of reporting after receiving a booster dose while pregnant are consistent with the general population <p>5,052 pregnant participants reported homologous mRNA booster while pregnant.</p> <ul style="list-style-type: none"> Reporting frequency for some systemic reactions differ between dose 2 and booster dose, with some differences in frequency of reporting noted depending on | <p>No concerns raised</p> <p>No concerns raised</p> |

| | | | | | | |
|--|----------------------|---|---|--|--|--|
| | | | | | whether participant was pregnant for both doses or only booster dose. | |
| Menstrual irregularities data through 1/22 | | | | | 63,815 people across all vaccines reported responses likely related to menstruation <ul style="list-style-type: none"> Common themes: menstrual timing and menstrual severity | No concerns raised |
| Bivalent booster dose data for persons ≥ 12 years through 9/25/22 | Vaccinees who enroll | 22,813 v-safe participants reported receiving Moderna bivalent booster dose | Descriptive | | Across both mRNA bivalent vaccines, reporting frequencies of reactions and health impacts were similar to those described after 1st and 2nd booster vaccination Approximately one-third reported co-administration | No concerns raised; but further review needed after more doses administered No concerns raised |
| Simultaneous booster and influenza vaccine study data through 5/1/22 | Vaccinees who enroll | 453,270 v-safe participants reported receiving Moderna booster dose | | | 30,633 participants: simultaneous Moderna booster and influenza vaccine; 422,637 participants: Moderna booster alone Injection site and systemic reactions slightly more frequent following simultaneous administration. No evidence of a difference in severity. | No concerns raised; but further review needed after more doses administered |
| V-safe Pregnancy Registry Data through 1/31/2022 | Vaccinees who enroll | 22,944 participants enrolled across all vaccines | Descriptive | | 22,951 total pregnancies. Pregnancy and neonatal outcome frequencies support safety of the COVID-19 vaccination. | Phase 2 infant and maternal follow-up through 12 months of age/pregnancy end to start soon. |
| Department of Veterans Affairs (VA) Active Surveillance System RCA data through 10/28/22 | Veteran Patients | 2.2 million first doses administered; 2.0 million second doses administered | Descriptive; historical comparator analysis | | The only signal is for anaphylaxis following dose 2 of Moderna vaccine | No new concerns raised |

| | | | | | | |
|--|--|---|--|---|--|--|
| RCA booster dose data through 3/26/22 | Pre-specified health outcomes ^a | Veteran Patients who received a booster dose | 955,454 booster doses administered | Descriptive; historical comparator analysis | No signals, including for myocarditis or pericarditis or anaphylaxis but pericarditis cases observed among those ≥40 years | No concerns raised, but followup in other safety systems needed |
| Bivalent booster presented on 1/09/23 | Pre-specified health outcomes ^a | Veteran Patients who received a booster dose | 376k Moderna bivalent doses administered | Descriptive; historical comparator analysis | No signals observed; rate ratio for ischemic stroke/TIA following Moderna bivalent booster vaccine <1 | No concerns raised |
| Target trial emulation data presented on 9/19/22 | All-cause mortality | Eligible Veterans | 228,130 patients reached end of follow-up (114,621 Moderna) | Discrete time logistic regression | Day 8 and day 28 all-cause mortality are statistically similar across all vaccines Day 60 all-cause mortality is significantly different across all vaccines <ul style="list-style-type: none"> • 11% reduction in risk in vaccinated group | No concerns raised |
| Vaccine Safety Datalink (VSD) RCA data through 1/15/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 5.9 million doses of Moderna administered | Vaccinated concurrent comparison, sequential analyses | <u>21-day risk interval - signaled</u> <ul style="list-style-type: none"> • No signal for myocarditis/pericarditis, AMI, seizures, VTE, PE, and Bell's Palsy <u>42-days risk interval - signaled</u> <ul style="list-style-type: none"> • AMI (dose 2) • No signal for myocarditis/pericarditis, AMI, seizures, VTE, PE, and Bell's Palsy | Further monitoring and analyses of other potential signals ongoing |
| 6 month-4-year primary series data through 8/13/22 | Pre-specified health outcomes ^a | | 334,466 first doses of Moderna administered; 17,940 second doses of Moderna administered | | No statistical signals identified | No concerns raised |

| First booster dose data through 8/13/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 2.3 million patients ≥18 years who received a Moderna booster dose | Vaccinated concurrent comparison, sequential analyses | No statistical signal for pre-specified outcomes for Moderna boosters for patients aged ≥12 years <ul style="list-style-type: none"> • Myocarditis/pericarditis signal for combined Pfizer-BioNTech and Moderna analysis; elevated risk highest in adolescent and young adult males No statistical signal for pre-specified outcomes for booster doses across mRNA vaccines for patients aged 5-11 years | Further monitoring and analyses of ongoing |
|--|--|---|---|---|---|--|
| Rate ratio data through 1/15/2022 | | | | | In 18-39 year-olds, the rate ratio for myocarditis/pericarditis was elevated after both Pfizer and Moderna during days 0-21 after vaccination, and especially during days 0-7 in males | |
| First booster dose data through 8/20/2022 | | | 2.0 million doses of Moderna booster administered following Moderna primary series; age 6 and older | Vaccinated concurrent comparison, sequential analyses | <u>0-7 days risk-window</u> <ul style="list-style-type: none"> • Rate ratio for myocarditis/pericarditis was 3.27 (0.82-14.23) in persons aged 18-39 years <u>0-7 or 0-21 days risk window</u> <ul style="list-style-type: none"> • Rate ratios for pericarditis not significantly elevated among persons aged 40+ years | Finding consistent with past analyses |
| Head-to-Head myocarditis/pericarditis presented on 1/15/22 | | | | | Head-to-head comparisons: risk of myocarditis/pericarditis was higher after Moderna than after Pfizer. | No new concerns raised |

| | | | | | | | |
|---|--|---|--|---|---|---|--|
| | | | | | | 1.61 adjusted rate ratio for persons aged 18-39 years | |
| Bivalent dose data through 10/8/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 91,626 persons received bivalent booster dose of Moderna | Vaccinated concurrent comparison, sequential analyses | There were no statistical signals for any pre-specified surveillance outcomes. <ul style="list-style-type: none"> 1 verified case of acute pericarditis in male aged 35-39 | No concerns raised but further review needed after more doses administered | |
| VSD simultaneous and co-administered vaccine data through 10/8/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | ~230,000 patients administered either bivalent mRNA booster | Descriptive and stratified RCA | No analyses, only vaccine doses administered presented | No concerns raised but further review needed after more doses administered o concerns raised. | |
| Tinnitus and hearing loss presented on 11/14/22 | | | 2,559,563 doses of Moderna; 1,873,849 booster doses of Moderna following mRNA vaccines | Tree-scan, <i>ad hoc</i> temporal scans and descriptive | No clusters of hearing-related outcomes for any tree scan analyses Most likely cluster starts on Day 41, ~13 days after dose 2 for most | No concerns raised Tinnitus after vaccination similar to recent global background incidence estimate | |
| Tree scan analysis data presented 5/2/22 | | | 2,559,563 doses of Moderna; 841,216 booster doses of Moderna following mRNA vaccines | | Patients 18-39 years and 40-64 years had a signal for urticaria and unspecified allergy following Moderna booster (following mRNA vaccines) in the 10-16 days window. | No additional serious adverse events identified using this data mining approach | |
| Menstrual irregularities data through 12/2021 | Post-menopausal bleeding | Women ≥45 years, KPNW | 48,438 vaccinated women | | 79 cases of post-menopausal bleeding identified (23 after Moderna). No cases had COVID-19 vaccine documented as a likely cause. | No concerns raised. | |
| Vaccine Safety Datalink (VSD) Mortality Study | Deaths | VSD sites enrolled in the mortality study; vaccinated | 2,604,066 vaccines administered | Matched cohort analysis | Individuals who received Moderna COVID-19 vaccine had lower mortality risk after dose 1 and dose 2 than unvaccinated comparators. | No concerns raised | |

| | | | | | | |
|---|--|---|---|--------------------------------|---|--|
| Vaccinated through 5/31/21 and death data through 7/31/21 | | before 5/31 and number of deaths before 7/31 | | | <ul style="list-style-type: none"> 0.34 (0.32-0.37) RR for mortality of Moderna vaccine dose 1 recipients versus unvaccinated comparison group 0.31 (0.30-0.33) RR for mortality of Moderna vaccine dose 2 recipients versus unvaccinated comparison group | |
| Defense Medical Surveillance System (DMSS)^b | Pre-specified health outcomes ^a | | | | | |
| FDA - Centers for Medicare and Medicaid Services (CMS)^b Data through 3/14/22 | Pre-specified health outcomes ^a | CMS population 65 and above enrolled in Fee-for-Service (FFS) | NA | Historical comparator analysis | RCA statistical signals reported to VaST previously for PE, AMI, DIC, ITP, investigated in self-controlled case series with post vacc control interval <ul style="list-style-type: none"> AMI, ITP: no evidence of risk PE: Elevated risk for PE; attenuated after exclusion of cases with hx of COVID-19 disease | No evidence of elevated risk for AMI or ITP; inconclusive evidence for PE; numbers too small for DIC |
| Booster dose data through 3/5/22 | Pre-specified health outcomes ^a | CMS population 65+ enrolled in Fee-for-Service (FFS) | 3.4 million who received Moderna primary series received booster doses (3.2 million had Moderna primary series) | Historical comparator analysis | No signals for following booster dose of Moderna in patients without a prior COVID-19 diagnosis Signal for AME and PE following Moderna booster dose in patients with a prior COVID-19 diagnosis | Inconclusive evidence, further analysis of booster data is needed for further discussion |
| Bivalent dose data presented on 1/09/23 | Pre-specified health outcomes ^a | CMS population 65+ enrolled in Fee-for-Service (FFS) | | Historical comparator analysis | No signal for ischemic stroke following Moderna bivalent booster vaccination | No concerns raised |

| | | | | | | |
|--|--|---|--|---|---|---|
| FDA - BEST Initiative Myocarditis/pericarditis data presented on 3/14/22 | Myocarditis/Pericarditis | 5 FDA BEST partners; males aged 18-25 and 18-35 years | 10.6 million doses administered | Retrospective comparator analysis | 1.43 (95% CI: 0.88,2.34) IRR comparing Moderna vs Pfizer-BioNTech in males aged 18-25 years in IP/ED/OP;1.27 (95% CI: 0.88,1.84) incidence rate for males aged 18-25 years in IP/ED/OP settings | Results do not support a significant risk difference between the 2 mRNA vaccines for males aged 18-25 years IRRs attenuated for 18-35 years old and when restricted to IP/ED |
| FDA - BEST Initiative^b Optum Data through 11/13/21 | Pre-specified health outcomes ^a | Patients enrolled in Optum pre-adjudicated claims, 0-64 years | Total doses 2.4 million | Historical comparator and sequential analyses | RCA statistical signal for anaphylaxis in Optum data following Moderna | No concerns raised Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing. |
| FDA - BEST Initiative HealthCore Data through 10/4/21 | Pre-specified health outcomes ^a | Patients enrolled in BCBS 0-64 years | Total doses 2.9 million | Historical comparator and sequential analyses | RCA statistical signal for anaphylaxis in HealthCore data following Moderna | No concerns raised Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing. |
| NPTC Vaccine Sentinel Survey Data presented on 2/6/23 | | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 617 total AVE reports <ul style="list-style-type: none"> • 195 medically attended health impact events • 18 potential AESIs | No concerns raised |
| Vaccine Trials (Manufacturer) | | | | | See GRADE tables https://www.cdc.gov/vaccines/acip/refs/grade/table-refs.html | |

^aSee Table 5 for the complete list of health outcomes

^bData are currently being processed and will be reported when received at the time of vaccination

Table 3. COVID-19 vaccine monitoring systems reviewed by the VaST – Janssen/Johnson & Johnson (recommended for use in persons age ≥ 18 years)

Red indicates new results this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|----------------------|---------------------------------------|--|--|---|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Population data through 11/7/21; TTS data through 12/6/21 | All health events, adverse events of special interest ^a | US population | 16.4 million total doses administered | Descriptive and empirical Bayesian data mining | 54 confirmed TTS reports to VAERS (37 in females) <ul style="list-style-type: none"> • 3.83/million doses administered • 10.60/million, females 30-39 yrs • 9.02/million, females 40-49 yrs | Further discussions by ACIP CVWG needed; earlier, warnings and other information had been provided in updated EUA and MMWR, and reflected in clinical guidance |
| TTS death data through 12/2/21 | | | | | 9 TTS deaths, all after dose 1 <ul style="list-style-type: none"> • Median age: 45 yrs (range: 28-62) • 7 female and 2 male • Median time from admission to death: 1 day (range: 0-2) | |
| Booster dose data through 11/5/21 | | | | | 201,653 additional Janssen doses administered <ul style="list-style-type: none"> • 58.5 non-serious reports per 100,000 doses • 2.0 serious reports per 100,000 doses | No concerns raised |
| Myocarditis/pericarditis data through 10/6/21 | | | | | 71 reports of myocarditis/pericarditis | No concerns raised |
| GBS data through 1/28/22 | | | | | 59 verified cases of GBS following Janssen/J&J vaccination <ul style="list-style-type: none"> • Median age: 57.0 years • Hospitalized: 80 • Deaths: 1 | Warning added to EUA fact sheets July 12, other information provided in CDC's clinical guidance. Further review and adjudication of cases needed in VAERS and investigation in different systems. |

| | | | | | | |
|---|--|---|--|-------------|--|------------------------|
| Pregnancy data through 2/11/22 | | | | | Observed number of GBS cases following Janssen/J&J vaccine was 2-3 times greater than expected in both post-vaccination intervals | No concerns raised |
| Death reporting rates data through 11/17/21 | | | | | 327 pregnancy-related reports to VAERS. Disproportional reporting for 'prolonged labor' after Janssen (n = 10, confounding factors present). For each vaccine, across all sex and age groups, the observed reporting rate for death events was much lower than the number of expected all cause deaths Bayesian data mining identified mortality due to COVID-19 disease (vaccine failure) following the Ad26.COV2.S vaccine | |
| Menstrual irregularities data through 1/7/22 | | | | | 558 reports of post-menopausal bleeding reported to VAERS after COVID-19 vaccine (44 after Janssen). Few PMB cases were classified as serious VAERS reports (1 reported after Janssen). No signals were detected | No concerns raised |
| VA ADERS Data through 4/03/22 | All health events | VA employees and Veteran patients | 329,701 vaccines administered | Descriptive | | No concerns raised |
| DoD VAERS Data through 12/31/21 | All health events, adverse events of special interest | Active duty and beneficiaries | 264 thousand Janssen/J&J vaccines administered | Descriptive | 4 cases with myocarditis/pericarditis after Janssen/J&J vaccine | No concerns raised |
| Indian Health Services (IHS) VAERS Presented on 2/06/23 | All health events, adverse events of special interest ^a | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 62 total AVE reports • 50 medically attended health impact events | No new concerns raised |

| Active Surveillance | | | | | | |
|--|--|----------------------|--|---|---|---|
| | | Vaccinees who enroll | 8,260 v-safe participants who received Janssen primary series booster doses (7,775 had Janssen for their primary series) | Descriptive | Currently not enough data to describe reactivity. | No concerns raised |
| V-safe Booster dose data through 4/10/22 | | | | | | |
| Menstrual irregularities data through 1/22 | | | | | 63,815 people across all vaccines reported responses likely related to menstruation <ul style="list-style-type: none"> Common themes: menstrual timing and menstrual severity | No concerns raised |
| V-safe Pregnancy Registry Data through 1/31/2022 | | Vaccinees who enroll | 22,944 participants enrolled across all vaccines | Descriptive | 22,951 total pregnancies. Pregnancy and neonatal outcome frequencies support safety of the COVID-19 vaccination. | Phase 2 infant and maternal follow-up through 12 months of age/pregnancy end to start soon. |
| Department of Veterans Affairs (VA) Active Surveillance System RCA data through 10/28/22 Target trial emulation data presented on 9/19/22 | Pre-specified health outcomes ^a | Veteran Patients | 380k doses of Janssen/J&J administered | Descriptive; historical comparator analysis | No signals for anaphylaxis, myocarditis/pericarditis, GBS, TTS, or other AESIs | No new concerns raised |
| | All-cause mortality | Eligible Veterans | 228,130 patients reached end of follow-up (10,853 Janssen/J&J) | Discrete time logistic regression | Day 8 and day 28 all-cause mortality are statistically similar across all vaccines Day 60 all-cause mortality is significantly different across all vaccines <ul style="list-style-type: none"> 11% reduction in risk in vaccinated group | No concerns raised |

| | | | | | | |
|---|--|--|--|--|---|--|
| <p>Vaccine Safety Datalink (VSD) Bell's palsy data through 1/15/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating health care organization</p> | <p>500,000 doses of Janssen/J&J administered</p> | <p>Vaccinated comparator analysis.</p> | <p>Bell's palsy signaled using a 42-day risk interval</p> | <p>Further analysis of Bell's palsy ongoing</p> |
| <p>GBS data through 9/25/21</p> | | | | | <p>10 confirmed cases of GBS following vaccination within 1-98 days</p> | <p>Further analysis of GBS following ongoing</p> |
| <p>Booster dose data through 4/12/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating health care organization</p> | <p>254,973 patients who received Janssen primary series received booster doses (70,607 had Janssen for their primary series)</p> | <p>Vaccinated concurrent comparison, sequential analyses</p> | <p>There were no signals for any prespecified outcomes for Janssen booster doses with Janssen as a primary series</p> | <p>No concerns raised</p> |
| <p>VSD simultaneous and co-administered vaccine data through 3/26/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating health care organization</p> | <p>15.9 million persons aged ≥5 years administered COVID-19 vaccine</p> | <p>Descriptive and stratified RCA</p> | <p>Small number of outcomes observed among persons who received simultaneous vaccines and/or co-admin vaccines when stratified by outcome, COVID-19 vaccine manufacturer and dose, vaccine family, and age group</p> <ul style="list-style-type: none"> • 1,925 persons received simultaneous vaccines and co-administered vaccines • 194,885 persons received any co-administered vaccine • 100,802 persons received any simultaneous vaccine | <p>No concerns raised. The next steps include conducting analysis comparing observed vs expected from non-simultaneous/-co-administered doses.</p> |

| | | | | | | |
|---|--|---|--|--|--|---|
| <p>Tinnitus and hearing loss presented on 11/14/22</p> | | | <p>417,854 doses of Janssen; 65,238 booster doses of Janssen following Janssen vaccines</p> | <p>Tree-scan, <i>ad hoc</i> temporal scans and descriptive</p> | <p>No clusters of hearing-related outcomes for tree scan analyses No likely cluster found 85/10,000 person-years after Janssen</p> | <p>No concerns raised Tinnitus after vaccination similar to recent global background incidence estimate</p> |
| <p>Tree scan analysis data presented 5/2/22</p> | | | <p>417,854 doses of Janssen; 75,489 booster doses of mRNA following Janssen; 30,452 booster doses of Janssen following Janssen</p> | | <p>Patients 65+ years had a signal for difficulty walking and muscle weakness following Janssen primary dose in the 1-2 day window.</p> | <p>No additional serious adverse events identified using this data mining approach</p> |
| <p>Menstrual irregularities data through 12/2021</p> | <p>Post-menopausal bleeding</p> | <p>Women ≥45 years, KPNW</p> | <p>48,438 vaccinated women</p> | | <p>79 cases of post-menopausal bleeding identified (3 after Janssen). No cases had COVID-19 documented as a likely cause.</p> | <p>No concerns raised</p> |
| <p>Vaccine Safety Datalink (VSD) Mortality Study Vaccinated through 5/31/21 and death data through 7/31/21</p> | <p>Deaths</p> | <p>VSD sites enrolled in the mortality study; vaccinated before 5/31 and number of deaths before 7/31</p> | <p>1,346,445 vaccines administered</p> | <p>Matched cohort analysis</p> | <p>Individuals who received Janssen/J&J COVID-19 vaccine had lower mortality risk after dose 1 and dose 2 than unvaccinated comparators. <ul style="list-style-type: none"> 0.54 (0.49-0.59) RR for mortality of Janssen/J&J vaccine dose 1 recipients versus unvaccinated comparison group </p> | <p>No concerns raised</p> |
| <p>Defense Medical Surveillance System (DMSS)^b</p> | <p>Pre-specified health outcomes^a</p> | | | | | |

| | | | | | | |
|---|--|--|---|--|--|---------------------------|
| <p>FDA - Centers for Medicare and Medicaid Services (CMS)^b Data through 3/14/2022</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65 and above enrolled in Fee-for-Service (FFS)</p> | <p>Total doses 487 thousand</p> | <p>Historical comparator analysis</p> | <p>RCA statistical signal for anaphylaxis in CMS data following Janssen</p> <ul style="list-style-type: none"> • AMI, PE: no evidence of risk • ITP and DIC: sample size too small to make any conclusions | <p>No concerns raised</p> |
| <p>FDA - BEST Initiative^b Optum Data through 11/13/21</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in Optum pre-adjudicated claims, 0-64 years</p> | <p>Total doses 260 thousand</p> | <p>Historical comparator and sequential analyses</p> | <p>No RCA statistical signals for in Optum data following Janssen</p> | <p>No concerns raised</p> |
| <p>FDA - BEST Initiative HealthCore Data through 10/4/21</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in BCBS 0-64 years</p> | <p>Total doses 338 thousand</p> | <p>Historical comparator and sequential analyses</p> | <p>No RCA statistical signals in HealthCore data following Janssen</p> | <p>No concerns raised</p> |
| <p>NPTC Vaccine Sentinel Survey Data presented on 2/6/23</p> | | <p>Persons who identify as American Indian/Alaska Native</p> | <p>2.34 million vaccines administered across all vaccines</p> | <p>Descriptive</p> | <p>69 total AVE reports</p> <ul style="list-style-type: none"> • 29 medically attended health impact events • 4 potential AESIs | <p>No concerns raised</p> |
| <p>Vaccine Trials (Manufacturer)</p> | | | | | <p>See GRADE tables https://www.cdc.gov/vaccines/acip/refs/grade/table-refs.html</p> | |

^aSee Table 5. for the complete list of health outcomes

^bData are currently being processed and will be reported when received

^cAt the time of vaccination

Table 4. COVID-19 vaccine monitoring systems reviewed by the VaST – Novavax (recommended for use in persons age ≥ 18 years)

Red indicates new results this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|---|---|--|---|--------------------------|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Dose data through 9/11/22 | All health events, adverse events of special interest ^a | US population | 24,125 total doses administered | Descriptive and empirical Bayesian data mining | 62 VAERS reports <ul style="list-style-type: none"> 5 serious reports No reports of death or myocarditis | Awaiting additional data |
| Active Surveillance | | | | | | |
| V-safe Dose data through 9/11/22 | | Vaccinees who enroll | 179 v-safe registrants reported receiving a dose of Novavax | Descriptive | Currently not enough data to describe reactivity. | Awaiting additional data |
| Vaccine Safety Datalink (VSD) Data through 9/11/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | <600 doses of Novavax administered | Vaccinated comparator analysis. | Currently not enough data to describe reactivity. | Awaiting additional data |
| Vaccine Trials (Manufacturer) | | | | | See GRADE tables https://www.cdc.gov/vaccines/acip/refs/grade/table-refs.html | |

^aSee Table 5. for the complete list of health outcomes

^bData are currently being processed and will be reported when received

^cAt the time of vaccination

Table 5. Health systems and pre-specified health outcomes

| | VAERS | VSD | VA | DMSS | CMS | BEST |
|--|------------------|----------------|----------------|------|----------------|----------------|
| Acute disseminated encephalomyelitis (ADEM) | X ^{1,2} | X | X | X | | |
| Acute myocardial infarction | X | X | X | X | X | X |
| Anaphylaxis | X | X ³ | X | X | X | X |
| Appendicitis | X | X | X | X | X | X |
| Acute respiratory distress syndrome (ARDS) | | X ³ | X | X | | |
| Arthritis and arthralgia (not osteoarthritis or traumatic arthritis) | X ¹ | | X | X | | |
| Ataxia | X ^{1,2} | | | | | |
| Autoimmune disease | X ¹ | | | | | |
| Bell's palsy | X | X | X | X | X | X |
| Chronic inflammatory demyelinating polyneuropathy (CIDP) | X ^{1,2} | | | | | |
| COVID-19 | X ¹ | | | | | |
| Death | X | | | X | | |
| Disseminated intravascular coagulation (DIC) | X | X | X | X | X | X |
| Encephalomyelitis/Encephalitis | | | | | X | X |
| Encephalitis | X | X | X | | | |
| Encephalomyelitis | X ^{1,2} | X | X | | | |
| Encephalopathy | X ^{1,2} | X | X | X | | |
| Guillain-Barré syndrome (GBS) | X | X | X | X | X | X |
| Immune thrombocytopenic purpura (ITP) | | X | X | X | X | X |
| Kawasaki disease | X | X | | | | |
| Meningitis | X ^{1,2} | | X | X | | |
| Meningoencephalitis | X ^{1,2} | X | X | X | | |
| Multiple sclerosis (MS) | X ^{1,2} | | | | | |
| Multisystem Inflammatory Syndrome in Adults (MIS-A) | X | X ³ | X ³ | X | X ⁶ | X ⁶ |
| Multisystem Inflammatory Syndrome in Children (MIS-C) | X | X ³ | | | | X ⁶ |
| Myelitis | X ^{1,2} | X | X | X | | |
| Myocarditis / pericarditis | X | X | X | X | X | X |
| Narcolepsy / cataplexy | X | X ³ | X | X | X ⁴ | X ⁴ |
| Non-anaphylactic allergic reactions | X ¹ | | | | | |

| | X ^{1,2} | | | | | | | |
|---|------------------|---|---|--|--|--|---|---|
| Optic neuritis (ON) | | | | | | | | |
| Seizures / convulsions (convulsion is now an LLT under PT seizure) | X | X | X | | | | | |
| Stroke | X | X | X | | | | | |
| Non-hemorrhagic stroke (NHS) | | | | | | | X | X |
| Hemorrhagic stroke (HS) | | | | | | | X | X |
| Thrombocytopenia | X | X | | | | | | |
| Thrombosis with thrombocytopenia syndrome (TTS) and/or CVST | X | X | | | | | X | X |
| Thrombosis at uncommon site (including intracranial, intraabdominal, portal, renal, or other veins) with thrombocytopenia | | | | | | | X | X |
| Thrombosis at common site (AMI, DVT, HS, NHS, or PE) with thrombocytopenia | | | | | | | X | X |
| Transverse myelitis (TM) | X | X | X | | | | X | X |
| Vaccination during pregnancy/adverse pregnancy outcomes | X | | | | | | | |
| Venous thromboembolism (VTE) | X | X | X | | | | X | X |
| Pulmonary embolism | - | X | X | | | | X | X |
| Deep vein thrombosis | - | - | - | | | | X | X |

¹Health outcomes are monitored, but adverse event reports are not abstracted

²Diagnoses are grouped and monitored as "Other clinically serious neurologic AEs" in VAERS

³Health outcomes are counted, and no sequential analysis is conducted

⁴Only includes narcolepsy

⁵Only list outcomes that are currently included in the RCA.

⁶Only included in the descriptive analysis not the RCA, as MIS requires a COVID-19 diagnosis and therefore historical rates cannot be estimated.

Page Image Missing

#236681.1

Page Image Missing

#236682.1

Page Image Missing

#236683.1

Page Image Missing

#236685.1

Page Image Missing

#236692.1

From: "Alimchandani, Meghna" [REDACTED]

To: "Forshee, Richard" [REDACTED]

Cc: "Nair, Narayan" [REDACTED]

Subject: RE: Safety of bivalent COVID-19 vaccines

Date: Wed, 10 May 2023 13:32:30 +0000

Importance: Normal

Attachments: 2023_03_Pfizer-BioNTech_COVID-19_Vaccine_Bivalent_Q.pdf; 2023_3_ModernaCOVID-19Bivalent_Q.pdf

Inline-Images: image001.png; image002.png; image003.jpg; image004.jpg; image005.jpg; image006.jpg; image007.jpg

Hello Rich,

We are sharing the most recent internal surveillance reports for the bivalent vaccines for your reference; thanks. (Note that these are internal analysis generated by the medical officers from VAERS queries.)

Thanks!

Best,
Meghna

From: Forshee, Richard [REDACTED]

Sent: Tuesday, May 2, 2023 1:23 PM

To: Alimchandani, Meghna [REDACTED]

Cc: Nair, Narayan [REDACTED]

Subject: RE: Safety of bivalent COVID-19 vaccines

Thank you, Meghna!

From: Alimchandani, Meghna [REDACTED]

Sent: Tuesday, May 2, 2023 1:11 PM

To: Forshee, Richard [REDACTED]

Cc: Nair, Narayan [REDACTED]

Subject: RE: Safety of bivalent COVID-19 vaccines

Hi Rich,

I believe the most recent memo documenting VAERS data for bivalent are in the decision memos (<https://www.fda.gov/media/167258/download> and <https://www.fda.gov/media/167306/download>).

- As of April 5, 2023, there were 35,690,430 booster doses of Pfizer-BioNTech COVID-19 Vaccine, Bivalent (Original and Omicron BA.4/BA.5) administered in the U.S.
 - In recipients of any age and all doses, the most frequently reported PTs from VAERS data were headache, pyrexia, fatigue, chills, pain, pain in extremity, nausea, dizziness, myalgia, and injection site pain.
- As of April 5, 2023, there were 20,076,974 booster doses of Moderna COVID-19 Vaccine, Bivalent (Original and Omicron BA.4/BA.5) administered in the U.S.
 - In recipients of any age and all doses, the most frequently reported PTs from VAERS data were headache, pyrexia, fatigue, chills, pain, pain in extremity, nausea, dizziness, myalgia, and injection site pain.

I'm checking with the BCS to see if we can get you a more detailed summary of bivalent safety data.

Thanks

PSI-HHS-00001168889

Meghna

From: Forshee, Richard [REDACTED]
Sent: Tuesday, May 2, 2023 12:23 PM
To: Nair, Narayan [REDACTED]; Alimchandani, Meghna [REDACTED]
Subject: Safety of bivalent COVID-19 vaccines

Hi Narayan and Meghna,

I've been asked to give a presentation about the safety of the bivalent COVID-19 vaccines. What sort of data do we have from VAERS?

Thanks,
--Rich

Richard Forshee, Ph.D. (he/him/his)
Deputy Director, CBER/OBPV

Typical Day at White Oak: Thursday

Center for Biologics Evaluation and Research
Office of Biostatistics and Pharmacovigilance
U.S. Food and Drug Administration



Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

APPROVED
By Samaneh Bazel at 1:53 pm, May 02, 2023

Quarterly Surveillance Report for Pfizer-BioNTech COVID-19 Vaccine, Bivalent
Surveillance Interval: January 01, 2023 – March 31, 2023

APPROVED
By Christopher Jason at 2:15 pm, May 02, 2023

1 PRODUCT DETAILS

The Pfizer-BioNTech COVID-19 Vaccine, Bivalent contains equal amounts of modRNA encoding the viral spike (S) glycoprotein of SARS-CoV-2 (original) and modRNA encoding the viral spike (S) glycoprotein of SARS-CoV-2 (Omicron BA.4/BA.5). As of 4/18/23, the Pfizer-BioNTech COVID-19 Vaccine, Bivalent is authorized for use for all doses administered to individuals 6 months of age and older. The monovalent Pfizer-BioNTech COVID-19 Vaccine is no longer authorized for use in the United States. Please see the latest EUA Letter of Authorization (LOA), and product EUA Fact Sheets for additional details.

2 REVIEW OF TABLES AND TRENDS

Please refer to the attachment at the end of the memo for the tables generated by Business Objects.

Reviewer comment:

Most U.S. reports for the Pfizer-BioNTech COVID-19 Vaccine, Bivalent were non-serious (n= 7301; 79%) during the surveillance period. Of note, foreign reports may be for the Pfizer-BioNTech COVID-19 Vaccine, Bivalent encoding Original/Omicron BA.1 (not authorized for use in the U.S.) or Original/Omicron BA.4/BA.5.

Majority of the most frequently reported PTs overall (Table 5) are consistent with PTs reported for the monovalent vaccine, PTs previously reported for the bivalent vaccine, and with the known safety profile for the monovalent and bivalent vaccine or signs/symptoms of COVID-19 or viral illness. However, cerebrovascular accident (CVA) was not noted in the most frequently reported PTs in previous surveillance reports. Most CVA reports were from US consumers with other pre-existing medical conditions and limited medical information:

Among all 116 events of CVA, 111 (95.7%) were reported as serious and 10 (8.6%) involved a fatality. The dates of vaccination ranged from 9/9/22 to 3/12/23, with majority between September and December 2022. Among events with available vaccination to symptom onset interval data (N = 104), the mean and median reported onset interval was 26 day(s) and 11 day(s) respectively. Upon manual review of US cases (n=90; 77.6%), 50 individuals reported a stroke event within 21 days after receiving the bivalent vaccine and 16 individuals between day 22 to day 41 post vaccination. Among events with available age

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

data (N = 90), the mean and median ages were 72 years and 73 years respectively. Majority of individuals were 65 years of age and older, but there were 16 individuals less than 65 years of age with an age range of 35 to 64 years.

A previous concern for increased rate of strokes post Pfizer Covid-19 vaccine, Bivalent in adults 65 years of age and older in the first 21 days after a Pfizer Bivalent booster dose as compared with days 22-42 following vaccination was first raised by the CDC on Jan 13, 2023, based on data from the Vaccine Safety Datalink (VSD).¹ However, this signal was not confirmed in multiple other safety databases, such as the sponsor's global safety database, the Centers for Medicare and Medicaid Services or Veterans Affairs databases, or in reports from other countries.¹ Further CDC analyses showed that the risk of stroke in the first 3 weeks after the booster dose was similar to unboosted comparators who have completed the primary series, and the risk of ischemic stroke in boosted individuals 22-42 days following vaccination was actually lower than the unboosted comparators in the same interval.² Subsequently there was an increase in the number of ischemic stroke reports submitted to VAERS, suggestive of stimulated reporting. However, no particular safety trends have been identified for ischemic stroke or cerebral/cerebellar infarct after Pfizer-BioNTech COVID-19 Vaccine, Bivalent to date.

Medication error PTs during this surveillance period were consistent with those previously reported and almost all reports were non-serious (Table 6). Among the 60 reports of "Product Use Issue" (18 serious), 23 were U.S reports (2 serious); both serious US cases were consumer reports that described co-administration of influenza vaccine as "off label use". All 16 serious foreign reports also described co-administration with influenza vaccine, with 11 reports describing "product use for unapproved combination." Of note per CDC guidelines the Pfizer-BioNTech bivalent vaccine may be co-administered with influenza vaccines. The EUA Fact Sheet for Healthcare Providers Administering Vaccine contains instructions for storage/handling, product preparation, and administration. The sponsor also monitors medication error reports and provides a summary to FDA in periodic safety update reports.

Review of PTs and/or reports within the SOC "Pregnancy, Puerperium, and Perinatal Conditions" did not suggest new safety concerns (Table 8). The safety of the vaccine in pregnancy is being studied in post-authorization studies conducted by the sponsor.

Review of the most frequent PTs for death reports (Table 9) was consistent with the previous surveillance quarter and did not reveal patterns suggesting new safety concerns. Review of the Business Objects query does not indicate the need for further regulatory action. Routine surveillance will continue.

3 DEATH REPORTS

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

There were a total of 154 deaths, including one pediatric death under 18 years of age during this surveillance period. All U.S. death reports (n=75; 49%) were individually reviewed during the surveillance period. Twelve (16%) reported at least one concomitant vaccine. Among events with available vaccination to symptom onset interval data (N = 64), the mean and median reported onset interval was 48 day(s) and 17 day(s) respectively with range of 0 to 167 days. Among events with available age data (N = 66), the mean and median ages were 75 years and 81 years respectively.

Deaths that were considered notable include deaths that in the reviewer’s judgment were suspicious for being due to the vaccine or did not have an alternate etiology and could plausibly be due to the vaccine.

Notable US Deaths

| ID | Age (years) | Sex | Adverse Event | Summary |
|----------|-------------|-----|--|--|
| ████████ | 61 | M | Death; Hyperviscosity Syndrome; Multiple Organ Dysfunction Syndrome | <p>Patient presented to ED with acute progressive lethargy and confusion, apparent hyperviscosity syndrome - multisystem organ failure, outcome of death. Onset 5-days post-4th dose. Died 6-days post-vax. Discharge dx: 1. COVID infection; 2. h/o recent COVID-19 vaccination; 3. Probable idiopathic capillary leak syndrome due to #1 or #2; 4. Profound circulatory shock due to #3; 5. Multisystem organ failure including metabolic acidosis, hypoxemic respiratory failure, and stroke due to #3 and #4.</p> <p><i>Reviewer comment: Case confounded by concurrent COVID-19 infection.</i></p> |
| ████████ | unk | M | Myocarditis, thrombosis, death | <p>Clinical course: the patient's father-in-law died of severe myocarditis and blood clots 1 month after receiving his 2nd Pfizer Covid booster shot. The patient was a “recovered cancer patient who had a perfectly clean bill of health and was just examined prior and had a heart that was in perfect shape.”</p> <p><i>Reviewer comment: Limited clinical details available which precludes further assessment at this time.</i></p> |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|--|----|---|--|---|
| | 13 | F | <p>Probable Infectious (viral or bacterial) myocarditis, Ventricular tachycardia, cardiac arrest</p> | <p>Patient with PMH iron deficiency, type 1 DM, vit D deficiency, experienced elevated blood sugars, chest pain and rapid heart rate, seen at ED with abnormal EKG (1st degree AV block and possible septal infarct), with eventual V-tach, and cardiac arrest. Onset of symptoms 18-days post- and death 22 days post-vaccination with 3rd dose. Autopsy was declined by family. Per post-mortem exam notes, cardiology was consulted with working COD as infectious myocarditis.</p> <p><i><u>Reviewer comment:</u> Due to concurrent type 1 DM with elevated glucose, and limited clinical details and postmortem exam, an infectious etiology for myocarditis is possible, but role of vaccine cannot be ruled out, given proximity of events to vaccination.</i></p> |
| | 80 | M | <p>GBS, respiratory failure, shock, cardiac arrest, death</p> | <p>Patient who received Pfizer bivalent vaccine and influenza vaccine on same day, developed fever, urinary incontinence, and headaches one day post vaccination with ensuing fatigue diagnosed as hypokalemia. Had recurrent fatigue, itchy skin daily headaches 2 weeks later with subsequent falls and behavior changes, with onset of respiratory difficulties and sensorimotor changes including movement difficulties, tingling in hands and dysphagia 3 weeks post vaccination. Was diagnosed with GBS that caused respiratory failure with eventual shock and cardiac arrest 27 days post vaccination.</p> <p><i><u>Reviewer comment:</u> GBS is unlabeled for Pfizer Covid vaccines and is an adverse event of special interest in post authorization studies being conducted by the sponsor, FDA's BEST, and CDC's VSD. At this time,</i></p> |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|--|--|--|--|---|
| | | | | <i>no signals have been reported for GBS from post-authorization studies. Surveillance will continue.</i> |
|--|--|--|--|---|

Reviewer comment: No patterns were identified that suggested new safety concerns requiring further regulatory action. Death reports will continue to be monitored.

4 OTHER MEDICALLY NOTABLE CASES

| ID | Age (years) | Sex | Adverse Event | Summary |
|---|-------------|-----|------------------------------------|---|
|  | 8 | F | Urticaria And Arthralgia/Arthritis | <p>8-year-old female with concerns for urticaria and arthralgia/arthritis. No systemic signs of fever. Concern for urticaria multiform or serum sickness-like reaction. Trigger could be COVID-vaccine. Other concerns could be acute rheumatoid arthritis. No other signs of sepsis or other concerns at this time. 12/27/2022: Labs with mild leukocytosis and elevated CRP, normal ESR. Patient developed low-grade fever in ED Rheumatology team consulted, recommended naproxen and PCP f/u. Patient tachycardic and hypotensive in setting of fever, given fluid bolus with improvement in HR but no change in BP. Question post-vaccine hyperinflammatory syndrome vs. serum sickness like reaction vs. urticaria multiforme. D/C summary reports that symptoms ultimately thought to be most likely due to serum sickness like reaction either due to viral illness (pt's brother presented with similar symptoms) or due to COVID booster. Treated with Zyrtec and NSAIDs and one dose dexamethasone. Improving at discharge.</p> <p><i>Reviewer comment: The patient's symptoms might be explained by viral</i></p> |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|--------------------------|----------|----------|--|---|
| | | | | <p><i>illness given her brother had similar symptoms. Continue routine surveillance.</i></p> |
| <p>[REDACTED]</p> | <p>8</p> | <p>M</p> | <p>TIA/cerebellar and occipital infarct; L vertebral artery dissection</p> | <p>Patient with PMH of COVID-19 in April 2022 experienced three TIA events (17Dec2022; 20Dec2022; 24Dec2022) + several small acute infarcts in Lt posterior Cerebellum. Additional acute infarct in occipital subcortical white matter. The patient was hospitalized for transient ischemic attack for 2 days. Polymerase chain reaction: (23Dec2022) Negative. Onset 2-days post-4th dose (bivalent) administered on 12/15/22. Primary symptom was weakness on left side and when prolonged occurrence took place on 23Dec2022. However, patient complaining of progressive headaches since few months prior to events and reportedly vomited the same day after vaccination. Head CT, MR Angio WNL. Sars-Cov-2 PCR and coagulopathy workup were all WNL. Family history includes sister with brain cavernous malformation and father with two small benign brain tumors. Pt d/c home on aspirin for one month with f/u appt with neuro, cardiology, and peds heme/onc. However, patient subsequently developed more TIAs on 1/28/23 and 2/23/23 with eventual diagnosis of dissection of left vertebral artery on CTA, 71 days post vaccination.</p> <p><i>Reviewer comment: Per MFR, no further information is expected for this report. This case was reviewed by division leadership. History of recurrent headaches prior to vaccination and unusual family history could suggest possible other etiologies for ensuing strokes and vomiting post vaccination could have</i></p> |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|---|----|---|----------------------------------|--|
| | | | | <p><i>precipitated insidious onset of vertebral artery dissection; however, the role of vaccine cannot be fully excluded in the face of close proximity to event onset. Ischemic stroke is being evaluated in CDC's VSD and FDA's BEST active surveillance systems. The sponsor was also asked to provide an assessment of thromboembolic events (TEE) following the bivalent vaccine and concluded there is no evidence that TEE, including ischemic stroke, is a safety signal or risk of the bivalent vaccine (STN 125742/245/2). To date there have been no other reports of ischemic stroke or vertebral artery dissection after the bivalent vaccine in individuals less than 18 years of age. DPV will continue to monitor.</i></p> |
| <p>██████████ ██████████ ██████████</p> | 38 | M | Acute leukemia, thrombocytopenia | <p>A 38-year-old male patient with hx of sickle cell anemia, received the Pfizer bivalent vaccine on 10/21/22 as dose 4 (booster) and was hospitalized with profound thrombocytopenia on 11/21/22 with onset of back pain and fatigue two weeks prior. Bone marrow biopsy concerning for acute leukemia and for 5 days. SARS-CoV-2 test: Negative.</p> <p><i><u>Reviewer comment:</u> Case is confounded due to PMH of sickle cell anemia which could increase risk of leukemia, however further medical records are not available. Will continue to monitor.</i></p> <p><u>(Increased risk of leukemia among sickle cell disease patients in California Blood American Society of Hematology (ashpublications.org)</u></p> <p><u>Sickle Cell Disease and The Risk for Acute Myeloid Leukemia - New Hope</u></p> |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|--|---|---|--|--|
| | 8 | F | <p>Myelin Oligodendrocyte Glycoprotein Antibody-Associated Disease (MOGAD)</p> | <p>Unlimited (newhopemedicalcenter.com)</p> <p>Patient with PMH ADHD and anxiety experienced severe headache 19-days post-3rd dose and subsequent seizure 3 days later and was diagnosed with Rhino/Enterovirus and Adenovirus. After several weeks of headaches, she was admitted to the hospital on a month later and underwent testing which diagnosed MOGAD, treated with steroid taper. Patient presented to ED again 2 months later with recurring acute on chronic headache. Repeat MRI showed some resolution of previous brain lesions, but possible new lesions and signal intensity in both optic nerves were noted. Patient admitted to neurology service. No follow up report available since February 2023.</p> <p><i>Reviewer comment: Initial presentation is confounded due to concurrent viral illness. However, role of vaccine cannot be ruled out due to proximity to symptom onset.</i></p> <p>Myelin oligodendrocyte glycoprotein antibody-associated disease in children: Are there MRI predictors of relapse? - PubMed (nih.gov)</p> <p>Myelin Oligodendrocyte Glycoprotein Antibody Disease Children's Hospital of Philadelphia (chop.edu)</p> <p>Myelin-oligodendrocyte glycoprotein antibody-associated disease - The Lancet Neurology</p> <p>MOG Antibody-Associated Disorders Following SARS-CoV-2 Vaccination: A Case Report and Literature Review - PubMed (nih.gov) Matsumoto Y et al.</p> |
|--|---|---|--|--|

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|--------|----|---|---|--|
| | | | | <p>“<i>MOG Antibody-Associated Disorders Following SARS-CoV-2 Vaccination: A Case Report and Literature Review.</i>” Front Neurol. 2022 Mar 1;13:845755. doi: 10.3389/fneur.2022.845755. PMID: 35299613; PMCID: PMC8922017.</p> |
| ██████ | 30 | M | Amnesia; Balance Disorder; Hypoaesthesia; Lumbar Puncture Abnormal; Magnetic Resonance Imaging Abnormal; Nerve Injury | <p>Patient report: “symptoms of numbness, abnormal balance, loss of memory shortly after receiving vaccine. Onset 2-days post-1st dose. I was admitted to hospital and underwent MRI and Lumbar puncture which determined there were abnormal findings suggestive of nerve damage. I was given IV high dose steroids for 5 days.”</p> <p><i>Reviewer comment: Limited clinical details preclude further assessment of this report. Re-review if medical records become available.</i></p> |
| ██████ | 37 | M | CVA | <p>Patient with hx of influenza-like illness experienced cryptogenic CVA that began at the time listed in form resulting in emergency transport via ambulance to an emergency room where the clot busting drug TNK was administered, and then resulting in a 3 day ICU admission. Onset 4-days post-vax. Positive bubble study on TEE – dx with patent foramen ovale (PFO), will f/u with interventional cardiologist for PFO closure.</p> <p><i>Reviewer comment: This report is confounded by the diagnosis of PFO which is a risk for stroke.</i></p> |
| ██████ | 39 | F | CVST | <p>Patient with no PMH and no meds, developed progressive headache and neck pain 2 – 3 days post vaccine, and had imaging almost a month later. Brain CT/MRI on 11/17/22 showed dural venous sinus thrombosis, treated with anticoagulation. Despite</p> |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | |
|------------|----|---|-------|--|
| | | | | <p>anticoagulation, 6 weeks later had massive PE that had an acute and chronic component. Unresponsive to thrombolytics and thrombectomy attempt. Required ECMO, still hospitalized (as of 2/2/23).</p> <p><i>Reviewer comment: Re-review if medical records become available, unable to assess further at this time.</i></p> |
| ██████████ | 14 | F | MOGAD | <p>Foreign report of patient with no PMH, received BNT162b2 omi ba.4-5 as dose 4 (booster) and developed difficulty walking due to pain in LEs 11 days later with subsequent urinary and defecation disorder, diagnosed as myelitis. Patient had abnormal brain and spine lesions noted 19 days after vaccination with a positive serum MOG antibody diagnosed as MOGAD. Patient was recovering 48 days after the vaccination with steroid treatment.</p> <p><i>Reviewer comment: Given inflammatory nature of MOGAD with close temporal association to vaccination, vaccine role cannot be ruled out.</i></p> |

Reviewer comment: Review of VAERS data and medically notable reports did not identify new safety concerns for Comirnaty during the surveillance period.

5 DATA MINING FINDINGS

Datamining for Pfizer-BioNTech COVID-19 Vaccine, Bivalent was conducted using the Empirica Signal Signals tab “US/World Signals Summary Table” on 4/19/23, with a data lock point of 4/14/23. Preferred terms (PTs) with corresponding EB05 values are shown in the table below. Notable/New PTs are discussed in reviewer comment below.

| Event | US EB05 20230414 | US N 20230414 | US Serious EB05 20230414 | US Fatal EB05 20230414 | US Fatal N 20230414 | World EB05 20230414 | World N 20230414 |
|-------------------|---------------------|------------------|-----------------------------------|------------------------------|---------------------------|---------------------------|---------------------|
| Blood test normal | 1.085 | 120 | 2.073 | | | 1.373 | 188 |

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

| | | | | | | | |
|--|-------|--|-------|-------|---|-------|------|
| Incorrect product formulation administered | 1.974 | | 0.783 | | | 2.486 | 1477 |
| Off label use | 2.69 | | 0.974 | 0.863 | 2 | 0.957 | 338 |
| Product preparation error | 1.71 | | 0.752 | | | 2.023 | 145 |
| Product use issue | 2.601 | | 1.049 | 0.857 | 1 | 4.223 | 266 |

Reviewer comment:

US PTs with an EB05 ≥ 2 included product use issue and off label use, which are consistent with previous review. Normal blood test is not an adverse event

Of note, Ischemic stroke appeared as a new data mining finding on February 02, 2023, for US serious reports with an EB05 ≥ 2, but not for overall US reports. This coincided with the previously discussed stimulated reporting of cases after CDC concern for a possible signal for increased risk of ischemic stroke in January 2023, which was resolved upon further analysis. No particular safety trends have been identified for ischemic stroke or cerebral/cerebellar infarct after Pfizer-BioNTech COVID-19 Vaccine, Bivalent to date. Additionally, the stroke signal has resolved from Empirica Signal as of 4/14/23.

6 CASE SERIES

Myocarditis/Pericarditis

In line with previous surveillance reports, a Business Objects AESI Screening Report query was run for Pfizer-BioNTech COVID-19 Vaccine, Bivalent for the current review period on 4/20/23 for reports related to myocarditis or pericarditis. The following PTs were included: autoimmune myocarditis; autoimmune pericarditis; chronic myocarditis; eosinophilic myocarditis; hypersensitivity myocarditis; immune-mediated myocarditis; myocarditis; myopericarditis; pericarditis; pericarditis adhesive; pericarditis constrictive; pleuropericarditis.

The query returned 89 reports (including 30 US reports; 33.7%) with 5 fatalities (5.6%), 3 of which were from US. Among reports with available vaccination to symptom onset interval data (N = 67), 36 cases (including 8 from US) occurred within 7 days of vaccination, with a mean and median reported onset interval of 20 day(s) and 4 day(s) respectively. Among reports with available age data (N = 54), the mean and median ages were 46 years and 46 years respectively, with an age range of 12 to 91 (including 6 adolescents, 4 of whom were from US. Among reports with available sex data (N = 85), 41 (48.2%) were female.

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

Reviewer comment: Post-EUA safety surveillance reports received by FDA and CDC identified increased reporting rates of myocarditis and pericarditis following the monovalent Pfizer-BioNTech COVID-19 Vaccine particularly within 7 days following administration of the second dose of the 2-dose primary series. Reporting rates for medical chart-confirmed myocarditis in VAERS following monovalent vaccination have been higher among males under 40 years of age than among females and older males and have been highest in males 12 through 17 years of age (Shimabukuro, 2022). Myocarditis and pericarditis are labeled events. The Sponsor is conducting post authorization/ post-marketing studies to assess known serious risks of myocarditis and pericarditis. Reports of myocarditis and pericarditis from this surveillance period do not suggest new safety concerns. Routine monitoring will continue.

7 NOTABLE PUBLICATIONS

A literature search of PubMed conducted on 4/7/23 for 'Pfizer-BioNTech COVID-19 Vaccine Bivalent' with a date range of 1/1/23 through 3/31/23 and yielded the following safety related articles:

Abara W.E. et al. "Reports of Guillain-Barré Syndrome After COVID-19 Vaccination in the United States." *JAMA Network Open*. 2023 Feb 1;6(2):e2253845. doi: 10.1001/jamanetworkopen.2022.53845. A retrospective cohort study using US VAERS reports between December 2020 to January 2022 to evaluate GBS reports and compare reporting patterns within 21 and 42 days after vaccination with Ad26.COV2.S (Janssen), BNT162b2 (Pfizer-BioNTech), and mRNA-1273 (Moderna) COVID-19 vaccines. The study found disproportionate reporting and imbalances after Ad26.COV2.S vaccination only, and no associations between mRNA COVID-19 vaccines and risk of GBS were observed.

Winokur, P. et al. "Bivalent Omicron BA.1-Adapted BNT162b2 Booster in Adults Older than 55 Years." *The New England journal of medicine* vol. 388,3 (2023): 214-227. doi:10.1056/NEJMoa2213082. In an ongoing phase 3 trial of adults older than 55 years who had previously received three 30-µg doses of the BNT162b2 vaccine, were randomized to receive a booster dose of the original monovalent BNT162b2, a BA.1 adapted monovalent BNT162b2, or a Bivalent BA.1 adapted BNT162b2. Per authors, "monovalent or bivalent omicron BA.1-adapted vaccines had a safety profile similar to that of BNT162b2 (30 µg), induced substantial neutralizing responses against ancestral and omicron BA.1 strains, and, to a lesser extent, neutralized BA.4, BA.5, and BA.2.75 strains."

Yonker L. M. et al. "Circulating Spike Protein Detected in Post-COVID-19 mRNA Vaccine Myocarditis." *Circulation*. Vol 147, No. 11. January 4, 2023. Immunoprofiling of 16 vaccinated adolescents and young adults who developed myocarditis between January 2021 through February 2022, revealed that the mRNA vaccine-induced immune responses did not differ between individuals who developed myocarditis and

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

individuals who did not. However, free spike antigen was detected in the blood of patients who developed post-mRNA vaccine myocarditis, but not in asymptomatic vaccinated control subjects. These results do not alter the risk-benefit ratio favoring vaccination against COVID-19 to prevent severe clinical outcomes given that postvaccine myocarditis is a rare complication and the study is limited by the relatively small sample size.

Reviewer comment: No further regulatory action is indicated based on the above literature search. DPV Leadership is aware of the Yonker et al article noted above and DPV will continue to monitor.

8 SPONSOR'S ABBREVIATED SUMMARY MONTHLY SAFETY REPORT

The sponsor submitted an Abbreviated Summary Monthly Safety Report (aSMSR) in PBRR format on 4/7/23 (STN 125742.290; reporting period 2/16/23 to 3/15/23), which included the monovalent and bivalent vaccines. During the reporting period for the aSMSR, no actions were taken for BNT162b2 vaccines for safety reasons. Per review memo: "during this reporting period, there has been no new significant safety information changing the characterization of this risk." (page 20) "The results are generally similar to those reported in the previous abbreviated SMSR #13 (reporting period 16 January 2023 through 15 February 2023). No newly elevated groups were identified as compared to the results in SMSR #13." (page 32).

Overview of signals addressed or under evaluation during the reporting interval: Menstrual Irregularities, status ongoing, category not yet determined; Myositis, status closed, category no risk. (pages 20 and 403). Routine monitoring will continue.

Reviewer comment: A separate memo summarizing the review findings from the aSMSR was prepared and uploaded to CBER Connect. No further regulatory action is indicated based upon the information provided in the aSMSR.

9 SUMMARY OF QUERY AND INFORMATION REQUESTS DURING THE SURVEILLANCE PERIOD

9.1 Query for Acute Disseminated Encephalomyelitis (ADEM) for Pfizer-BioNTech COVID-19 Vaccine, Monovalent and Bivalent

A request from MHRA regarding ADEM in February 2023 prompted a review of this AE. "A cumulative VAERS query was run using the QQ_LL query in Business Objects on January 20, 2023, for reports with the Preferred Term "Acute Disseminated Encephalomyelitis" (ADEM) for the Pfizer-BioNTech COVID-19 Vaccine (monovalent and bivalent) among individuals of all ages with the following U.S. VAERS event reports:

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

- 24 total U.S. events reported (23 following receipt of monovalent vaccine and 1 following bivalent vaccine)
- 22 (91.7%) non-fatal serious and 1 (4.2%) death
- 14 (58.3%) females and 10 (41.7%) males
- Median age=57 years (range=11-84 years, including one report of ADEM in a pediatric-aged individual; 2 events with age field=unknown)
- Median onset=8 days post-vaccination (range=1-78 days; 3 events with time to onset not reported)
- 9 (37.5%) events reported following dose 1; 5 (20.8%) events following dose 2; 1 (4.2%) event following dose 3; 1 (4.2%) event following dose 4; 0 (0%) events following dose 5; and 8 (33.3%) events with unknown dose number/dose not reported
- U.S. reporting rate of 0.06 events reported per million doses of monovalent Pfizer-BioNTech COVID-19 Vaccine administered and 0.03 events reported per million doses of bivalent Pfizer-BioNTech COVID-19 Vaccine administered (dose data from [CDC COVID Data Tracker: Vaccinations in the US](#), accessed on January 23, 2023)

VAERS query results showed reported events of ADEM that were temporally associated with receipt of the Pfizer-BioNTech COVID-19 Vaccine, monovalent and bivalent. Most events were non-fatal serious, and deaths were also reported; this is consistent with the condition of ADEM. A study examining ADEM in the U.S. found the incidence of ADEM associated pediatric hospitalizations to be 0.5 per 100,000 children per year during the study period from 2006 through 2014³. A population-based U.S. study in Minnesota among individuals of all ages found the incidence of ADEM to be increasing over time with an incidence of 0.1 per 100,000 person-years from 1995-2005 and 0.2 per 100,000 from 2006-2015⁴. The VAERS reporting rate of ADEM following the Pfizer-BioNTech COVID-19 Vaccine, monovalent and bivalent, is low in the context of background rates from the literature. Encephalomyelitis is an adverse event of special interest (AESI) being assessed in post-authorization safety studies being conducted by the sponsor, and in FDA BEST and CDC VSD COVID-19 safety surveillance studies.”

9.2 IR response to cumulative safety and causality assessment of thromboembolic events following receipt of the Pfizer-BioNTech COVID-19 Vaccine, Bivalent

The sponsor submitted an analysis of thromboembolic events post Pfizer-BioNTech COVID-19 monovalent and bivalent vaccines on 1/24/23 (STN #125742/ 245.2) in response to FDA information request. According to the sponsor, available safety data from medical literature, clinical trials, and spontaneous safety database did not identify a causal association with Comirnaty or the Bivalent BNT162b2 (Original and Omicron BA.4/BA.5) vaccine at the time of the query (DLP 12/15/22). Per sponsor, individual review of the cumulative thromboembolic event reports (those with sufficient evaluable detail) for the bivalent vaccine in the Pfizer safety database, noted “patients with risk factors for such events. No other notable trends in the cases (e.g., dose number, manufacturer, concomitant influenza vaccination) were identified.” Per sponsor there

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

was no evidence to date that thromboembolic events, including ischemic stroke, were a safety signal or risk of the bivalent Pfizer vaccine. These events will continue to be reviewed per routine pharmacovigilance.

Subsequently there was an internal DPV query on 3/1/23, looking at stroke events in individuals > 65 years of age after receipt of bivalent vaccine, but no safety signals were identified.

9.3 Query for Seizures in children less than 2 years and 2 – 4 years for Pfizer BioNTech COVID-19 Vaccine, Monovalent and Bivalent

FDA leadership requested a review of the adverse event of seizure associated with the Pfizer vaccines in children less than 4 years of age. A cumulative VAERS query was run using the QQ_LL query in Business Objects on February 21, 2023 for reports for the Pfizer-BioNTech COVID-19 Vaccine (monovalent and bivalent) among individuals ages less than 2 years of age and 2 years to 4 years of age. “The queries for both age groups returned no reports for the bivalent vaccine...an observed to expected (O/E) analysis did not show an elevated rate of seizures in individuals in either age group receiving the [Monovalent] vaccine compared to background rate.”

9.4 Chest Pain Query

A request for review of the adverse event of chest pain was submitted by Australia for the International Post-Market Surveillance (IPMS) Teleconference in March 2023. As part of review, a search in VAERS was run on March 16, 2023, using the PT “chest pain” for Pfizer COVID monovalent and bivalent vaccines in the US. There were 16,112 events for the Pfizer COVID monovalent vaccine, of which 5,974 were serious and 385 involved a fatality. Additionally there were 285 events for Pfizer COVID bivalent vaccine of which 92 were serious and 4 involved a fatality. “Comparing this to the search for the PT “non cardiac chest pain” in which the Pfizer COVID monovalent vaccine returned 52 events and bivalent vaccine returned no events, reveals the difficulty in using VAERS to explore chest pain as a symptom. Given the variety of underlying causes for chest pain unrelated to a specific syndrome, this is a diagnosis of exclusion difficult for postmarketing surveillance to assess.”

10 CONCLUSIONS

The results from this quarterly surveillance report do not indicate a need for regulatory action. Continue routine surveillance.

REFERENCES

1. “*CDC & FDA Identify Preliminary COVID-19 Vaccine Safety Signal for Persons Aged 65 Years and Older.*” January 13, 2023. **CDC.**
<https://stacks.cdc.gov/view/cdc/123602>

Pfizer-BioNTech COVID-19 Vaccine, Bivalent
EUA 27034

Samaneh Bazel

2. N Klein. "Update on Original COVID-19 Vaccine and COVID-19 Vaccine Bivalent Effectiveness and Safety." **Vaccines and Related Biological Products Advisory Committee (VRBPAC) Meeting**. January 26, 2023.
<https://www.fda.gov/media/165307/download>
3. Bhatt P et al. "Temporal Trends of Pediatric Hospitalizations with Acute Disseminated Encephalomyelitis in the United States: An Analysis from 2006 to 2014 using National Inpatient Sample." **J Pediatr**. 2019 Mar;206:26-32.e1. doi: 10.1016/j.jpeds.2018.10.044. Epub 2018 Dec 6. PMID: 30528761.
4. Dubey D et al. "Autoimmune encephalitis epidemiology and a comparison to infectious encephalitis." **Ann Neurol**. 2018 Jan;83(1):166-177. doi: 10.1002/ana.25131. PMID: 29293273; PMCID: PMC6011827.

VAERS Internal Surveillance Report

Surveillance Period (Completed Dates): 1/1/23 to 3/31/23

Report Run Date: 4/13/23

Vaccine Name: COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT))

Report By: Samaneh,Bazel

1. Event Counts by Location and Seriousness

| | All | Serious | Deaths | Life Threatening | Hospitalization | Disability | Birth Defect | OMIC |
|--------------|---------------|--------------|------------|------------------|-----------------|------------|--------------|--------------|
| US | 9,240 | 1,930 | 75 | 128 | 639 | 123 | 3 | 1,178 |
| Foreign | 2,318 | 2,313 | 79 | 127 | 422 | 213 | 0 | 1,607 |
| Total | 11,558 | 4,243 | 154 | 255 | 1,061 | 336 | 3 | 2,785 |

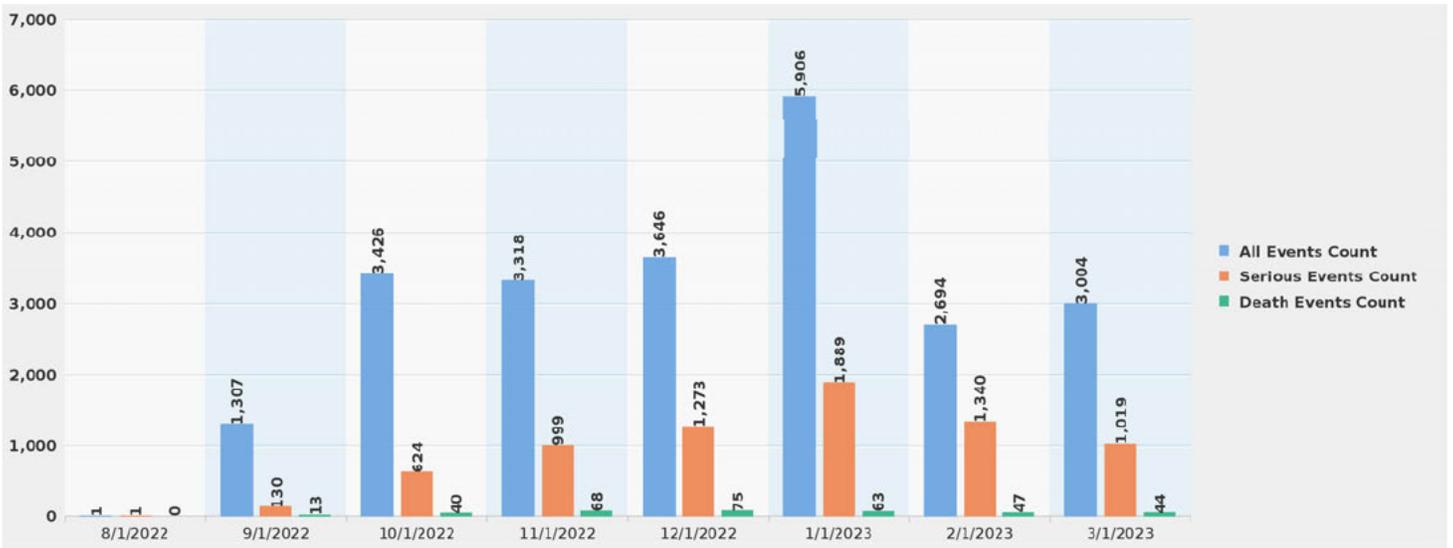
Note: One event can be counted in multiple serious subcategories if reported differently by multiple reporters

2. Event Counts by Age Group

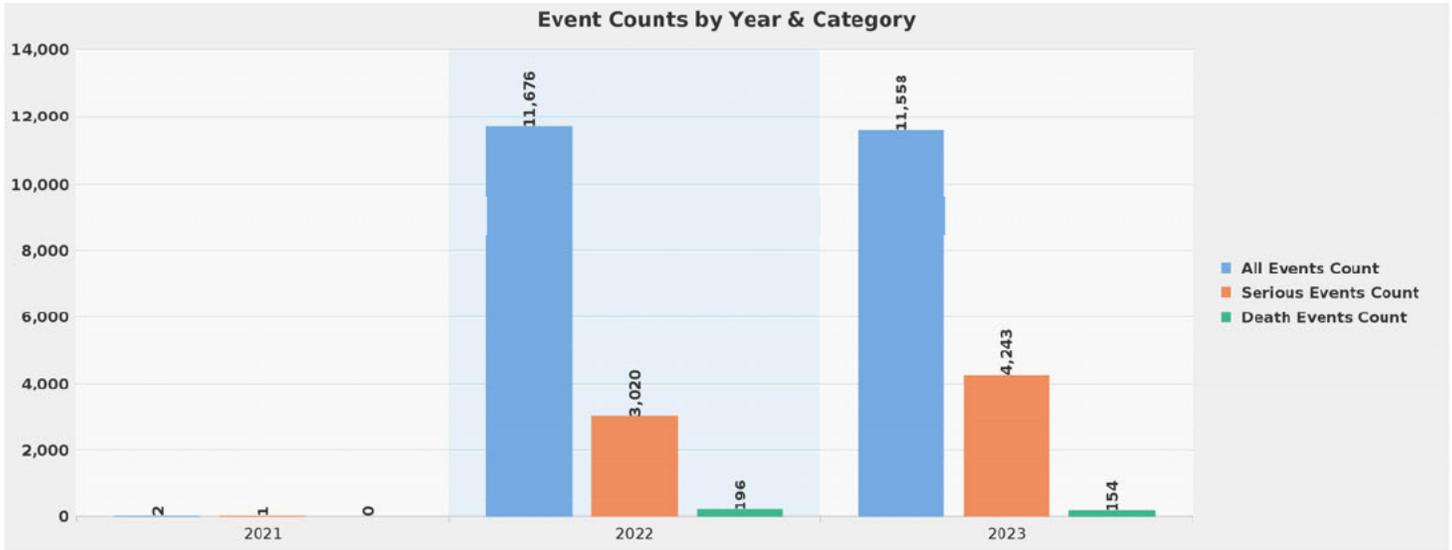
| | All | Serious | Deaths | Life Threatening | Hospitalization | Disability | Birth Defect | OMIC |
|-----------------|---------------|--------------|------------|------------------|-----------------|------------|--------------|--------------|
| <1 Year | 34 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 to <3 Years | 75 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 to <7 Years | 186 | 2 | 0 | 0 | 2 | 1 | 0 | 0 |
| 7 to <17 Years | 590 | 41 | 1 | 2 | 20 | 5 | 0 | 16 |
| 17 to <65 Years | 5,331 | 1,412 | 17 | 79 | 277 | 115 | 3 | 1,018 |
| >= 65 Years | 3,528 | 1,267 | 85 | 101 | 552 | 91 | 0 | 606 |
| Not Reported | 1,821 | 1,523 | 51 | 73 | 210 | 124 | 0 | 1,147 |
| Total | 11,558 | 4,243 | 154 | 255 | 1,061 | 336 | 3 | 2,785 |

Note: One event can be counted in multiple age bands and/or serious subcategories if reported differently by multiple reporters

3. Event Counts for Surveillance Period and Prior 12 Months



4. Event Counts for Surveillance Period Year and Prior 5 Years



5. Most Frequent Preferred Terms (PTs)

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| PRODUCT STORAGE ERROR | 2,852 | 3,613 | 1 | 2 | 1 | 0 | 0 |
| COVID-19 | 2,564 | 4,562 | 2 | 1 | -1 | 1,469 | 23 |
| HEADACHE | 1,147 | 2,416 | 3 | 3 | 0 | 438 | 3 |
| FATIGUE | 1,076 | 2,399 | 4 | 4 | 0 | 449 | 5 |
| DRUG INEFFECTIVE | 1,023 | 1,792 | 5 | 6 | 1 | 1,023 | 3 |
| PYREXIA | 960 | 2,260 | 6 | 5 | -1 | 395 | 9 |
| COUGH | 903 | 1,713 | 7 | 7 | 0 | 170 | 9 |
| NO ADVERSE EVENT | 682 | 1,631 | 8 | 9 | 1 | 1 | 1 |
| PAIN | 655 | 1,709 | 9 | 8 | -1 | 208 | 1 |
| MALAISE | 543 | 1,139 | 10 | 12 | 2 | 206 | 6 |
| OROPHARYNGEAL PAIN | 501 | 1,006 | 11 | 17 | 6 | 51 | 3 |
| DYSPNOEA | 485 | 1,010 | 12 | 16 | 4 | 317 | 20 |
| INCORRECT PRODUCT FORMULATION ADMINISTERED | 481 | 1,468 | 13 | 10 | -3 | 2 | 0 |
| CHILLS | 455 | 1,271 | 14 | 11 | -3 | 205 | 4 |
| INTERCHANGE OF VACCINE PRODUCTS | 418 | 818 | 15 | 18 | 3 | 397 | 8 |
| PAIN IN EXTREMITY | 418 | 1,087 | 15 | 13 | -2 | 241 | 3 |
| NAUSEA | 394 | 1,063 | 17 | 14 | -3 | 257 | 4 |
| RESPIRATORY TRACT CONGESTION | 384 | 745 | 18 | 20 | 2 | 20 | 1 |
| EXPIRED PRODUCT ADMINISTERED | 375 | 670 | 19 | 24 | 5 | 0 | 0 |
| DIZZINESS | 370 | 1,045 | 20 | 15 | -5 | 215 | 4 |
| ASTHENIA | 341 | 732 | 21 | 21 | 0 | 211 | 10 |
| RHINORRHOEA | 339 | 661 | 22 | 25 | 3 | 26 | 1 |
| ARTHRALGIA | 318 | 773 | 23 | 19 | -4 | 203 | 2 |
| MYALGIA | 275 | 699 | 24 | 22 | -2 | 173 | 1 |
| FEELING ABNORMAL | 265 | 653 | 25 | 26 | 1 | 81 | 4 |
| DIARRHOEA | 249 | 531 | 26 | 29 | 3 | 139 | 3 |
| CHEST PAIN | 248 | 511 | 27 | 31 | 4 | 179 | 3 |
| CONDITION AGGRAVATED | 239 | 530 | 28 | 30 | 2 | 143 | 10 |
| VOMITING | 214 | 560 | 29 | 28 | -1 | 132 | 4 |
| VACCINATION FAILURE | 211 | 374 | 30 | 37 | 7 | 211 | 2 |
| EXTRA DOSE ADMINISTERED | 207 | 413 | 31 | 36 | 5 | 1 | 0 |

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|-----------------------------|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| NASOPHARYNGITIS | 202 | 374 | 32 | 37 | 5 | 41 | 1 |
| PALPITATIONS | 194 | 347 | 33 | 41 | 8 | 136 | 2 |
| WRONG PRODUCT ADMINISTERED | 181 | 595 | 34 | 27 | -7 | 97 | 3 |
| LYMPHADENOPATHY | 170 | 417 | 35 | 35 | 0 | 115 | 0 |
| NASAL CONGESTION | 160 | 355 | 36 | 40 | 4 | 10 | 0 |
| INCORRECT DOSE ADMINISTERED | 150 | 675 | 37 | 23 | -14 | 25 | 0 |
| DECREASED APPETITE | 149 | 340 | 38 | 42 | 4 | 86 | 3 |
| RASH | 148 | 485 | 39 | 32 | -7 | 51 | 1 |
| HYPOAESTHESIA | 143 | 362 | 40 | 39 | -1 | 89 | 1 |
| PRURITUS | 139 | 425 | 41 | 34 | -7 | 70 | 0 |
| HEART RATE INCREASED | 132 | 300 | 42 | 44 | 2 | 82 | 4 |
| CHEST DISCOMFORT | 129 | 302 | 43 | 43 | 0 | 65 | 0 |
| THROAT IRRITATION | 125 | 267 | 44 | 47 | 3 | 10 | 0 |
| INSOMNIA | 122 | 256 | 45 | 48 | 3 | 66 | 0 |
| BACK PAIN | 116 | 285 | 46 | 45 | -1 | 75 | 2 |
| CEREBROVASCULAR ACCIDENT | 116 | 174 | 46 | 50 | 4 | 111 | 10 |
| VACCINATION SITE PAIN | 115 | 280 | 48 | 46 | -2 | 97 | 0 |
| INFLUENZA LIKE ILLNESS | 114 | 234 | 49 | 49 | 0 | 69 | 0 |
| SYNCOPE | 113 | 436 | 50 | 33 | -17 | 69 | 2 |
| Count: | 50 | | | | | | |

6. Most Frequent PTs Within HLGT 'MEDICATION ERRORS AND OTHER PRODUCT USE ERRORS AND ISSUES'

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| PRODUCT STORAGE ERROR | 2,852 | 3,613 | 1 | 1 | 0 | 0 | 0 |
| INCORRECT PRODUCT FORMULATION ADMINISTERED | 481 | 1,468 | 2 | 2 | 0 | 2 | 0 |
| EXPIRED PRODUCT ADMINISTERED | 375 | 670 | 3 | 4 | 1 | 0 | 0 |
| EXTRA DOSE ADMINISTERED | 207 | 413 | 4 | 6 | 2 | 1 | 0 |
| WRONG PRODUCT ADMINISTERED | 181 | 595 | 5 | 5 | 0 | 97 | 3 |
| INCORRECT DOSE ADMINISTERED | 150 | 675 | 6 | 3 | -3 | 25 | 0 |
| POOR QUALITY PRODUCT ADMINISTERED | 95 | 125 | 7 | 12 | 5 | 1 | 0 |
| INAPPROPRIATE SCHEDULE OF PRODUCT ADMINISTRATION | 92 | 256 | 8 | 10 | 2 | 7 | 0 |
| MEDICATION ERROR | 84 | 91 | 9 | 13 | 4 | 3 | 0 |
| PRODUCT ADMINISTERED TO PATIENT OF INAPPROPRIATE AGE | 74 | 327 | 10 | 7 | -3 | 3 | 0 |
| PRODUCT PREPARATION ISSUE | 64 | 298 | 11 | 8 | -3 | 0 | 0 |
| PRODUCT USE ISSUE | 60 | 264 | 12 | 9 | -3 | 45 | 3 |
| PRODUCT PREPARATION ERROR | 33 | 145 | 13 | 11 | -2 | 0 | 0 |
| PRODUCT ADMINISTRATION ERROR | 29 | 82 | 14 | 14 | 0 | 4 | 0 |
| VACCINATION ERROR | 19 | 36 | 15 | 15 | 0 | 0 | 0 |
| INCORRECT ROUTE OF PRODUCT ADMINISTRATION | 12 | 32 | 16 | 16 | 0 | 8 | 1 |
| CIRCUMSTANCE OR INFORMATION CAPABLE OF LEADING TO MEDICATION ERROR | 8 | 23 | 17 | 17 | 0 | 0 | 0 |
| PRODUCT ADMINISTERED AT INAPPROPRIATE SITE | 5 | 20 | 18 | 18 | 0 | 1 | 0 |
| WRONG TECHNIQUE IN PRODUCT USAGE PROCESS | 2 | 10 | 19 | 19 | 0 | 1 | 0 |
| CONTRAINDICATED PRODUCT ADMINISTERED | 1 | 1 | 20 | 23 | 3 | 1 | 0 |

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--------------------------------------|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| INCOMPLETE COURSE OF VACCINATION | 1 | 5 | 20 | 20 | 0 | 0 | 0 |
| PRODUCT PACKAGING CONFUSION | 1 | 2 | 20 | 21 | 1 | 0 | 0 |
| UNINTENTIONAL MEDICAL DEVICE REMOVAL | 1 | 1 | 20 | 23 | 3 | 0 | 0 |
| WRONG PATIENT RECEIVED PRODUCT | 1 | 2 | 20 | 21 | 1 | 0 | 0 |
| Count: | 24 | | | | | | |

7. Most Frequent PTs with Malfunction Flag = Yes

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|-----------------------|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| Count: | 0 | | | | | | |

8. Most Frequent PTs Within SOC 'PREGNANCY, PUERPERIUM AND PERINATAL CONDITIONS'

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|---|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| EXPOSURE DURING PREGNANCY | 16 | 38 | 1 | 1 | 0 | 5 | 0 |
| MATERNAL EXPOSURE DURING PREGNANCY | 13 | 21 | 2 | 2 | 0 | 11 | 0 |
| ABORTION SPONTANEOUS | 6 | 12 | 3 | 3 | 0 | 4 | 0 |
| FAILURE TO THRIVE | 4 | 7 | 4 | 4 | 0 | 3 | 1 |
| ANTIPHOSPHOLIPID SYNDROME | 2 | 3 | 5 | 9 | 4 | 2 | 1 |
| DELIVERY | 2 | 4 | 5 | 6 | 1 | 1 | 0 |
| MATERNAL EXPOSURE BEFORE PREGNANCY | 2 | 5 | 5 | 5 | 0 | 1 | 0 |
| MORNING SICKNESS | 2 | 2 | 5 | 11 | 6 | 1 | 0 |
| PREMATURE DELIVERY | 2 | 3 | 5 | 9 | 4 | 2 | 0 |
| SCALP HAEMATOMA | 2 | 2 | 5 | 11 | 6 | 2 | 1 |
| ABORTION INCOMPLETE | 1 | 2 | 11 | 11 | 0 | 0 | 0 |
| ABORTION MISSED | 1 | 4 | 11 | 6 | -5 | 0 | 0 |
| BIOCHEMICAL PREGNANCY | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| BRIEF RESOLVED UNEXPLAINED EVENT | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| CENTRAL NERVOUS SYSTEM LYMPHOMA | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| HAEMORRHAGE IN PREGNANCY | 1 | 1 | 11 | 17 | 6 | 0 | 0 |
| INDUCED LABOUR | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| LABOUR PAIN | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| MATERNAL EXPOSURE DURING BREAST FEEDING | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| PLACENTA PRAEVIA | 1 | 2 | 11 | 11 | 0 | 0 | 0 |
| PREGNANCY | 1 | 4 | 11 | 6 | -5 | 1 | 0 |
| PREMATURE SEPARATION OF PLACENTA | 1 | 2 | 11 | 11 | 0 | 1 | 0 |
| SUPPRESSED LACTATION | 1 | 2 | 11 | 11 | 0 | 1 | 0 |
| UTERINE HYPERTONUS | 1 | 1 | 11 | 17 | 6 | 1 | 0 |
| Count: | 24 | | | | | | |

9. Most Frequent PTs with Death

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta |
|-----------------------|---------------------|------------|-------------------|-----------------------|------------|
| COVID-19 | 23 | 42 | 1 | 1 | 0 |
| DYSпноEA | 20 | 47 | 10 | 13 | 3 |
| ASTHENIA | 10 | 20 | 17 | 18 | 1 |
| CONDITION AGGRAVATED | 10 | 24 | 24 | 24 | 0 |
| COUGH | 9 | 15 | 6 | 6 | 0 |
| PYREXIA | 9 | 26 | 5 | 4 | -1 |

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta |
|---------------------------------|---------------------|------------|-------------------|-----------------------|------------|
| INTERCHANGE OF VACCINE PRODUCTS | 8 | 19 | 12 | 15 | 3 |
| MALAISE | 6 | 26 | 8 | 9 | 1 |
| FATIGUE | 5 | 16 | 3 | 3 | 0 |
| CHILLS | 4 | 8 | 11 | 8 | -3 |
| DIZZINESS | 4 | 10 | 16 | 12 | -4 |
| FEELING ABNORMAL | 4 | 6 | 21 | 21 | 0 |
| NAUSEA | 4 | 11 | 14 | 11 | -3 |
| VOMITING | 4 | 14 | 25 | 22 | -3 |
| CHEST PAIN | 3 | 9 | 23 | 25 | 2 |
| DIARRHOEA | 3 | 5 | 22 | 23 | 1 |
| DRUG INEFFECTIVE | 3 | 4 | 4 | 5 | 1 |
| HEADACHE | 3 | 6 | 2 | 2 | 0 |
| OROPHARYNGEAL PAIN | 3 | 3 | 9 | 14 | 5 |
| PAIN IN EXTREMITY | 3 | 3 | 12 | 10 | -2 |
| ARTHRALGIA | 2 | 8 | 19 | 16 | -3 |
| MYALGIA | 1 | 4 | 20 | 19 | -1 |
| PAIN | 1 | 5 | 7 | 7 | 0 |
| RESPIRATORY TRACT CONGESTION | 1 | 3 | 15 | 17 | 2 |
| RHINORRHOEA | 1 | 3 | 18 | 20 | 2 |
| Count: | 25 | | | | |

Input Summary

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

*** Query Name: Query 1 ***

Select Only One Vaccine Name COVID19 (COVID19 (PFIZER-BIONTECH BIVALENT))

Report Date (Start): 1/1/2023 12:00:00 AM

Report Date (End): 3/31/2023 11:59:59 PM

Vaccination Date (Start) (Optional)

Vaccination Date (End) (Optional)

Vaccination Date Unknown Flag - If specifying vaccination dates, select 'Yes' to include reports with unknown vaccination dates (otherwise, leave blank) (Optional)

Age (Start) (Optional)

Age (End) (Optional)

Age unknown - If specifying age(s), select 'Unknown' here to include unknown ages (Optional)

Enter 'FR' to exclude foreign reports (otherwise, leave blank) (Optional)

PSI-HHS-000001168912

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

Kerry J. Welsh -S
Digitally signed by Kerry J. Welsh -S
Date: 2023.04.06 17:56:48 -04'00'

**Quarterly Surveillance Report for Moderna COVID-19 Vaccine, Bivalent
Surveillance Period: January 1, 2023 to March 31, 2023**

1. The Business Objects VAERS Internal Surveillance Report (VISR) query was run on April 5, 2023 for Moderna COVID-19 Vaccine, Bivalent for the surveillance period January 1, 2023 to March 31, 2023. All tables of report counts by age, location, most common PT, medication error and malfunction, and pregnancy are presented in Reports 1-9 in the attached document.

Reviewer Comment: Data in Business Objects queries do not suggest a new safety concern.

2. Death Reports

Deaths During Surveillance Period

The following death report was considered notable:

| ID | Age/Sex | COD | Case Summary |
|------------|---------|--------------------------------|---|
| ██████████ | 2y/F | Unknown, pending investigation | Patient was found dead 1 day after receiving Moderna COVID-19 Vaccine, Bivalent and annual Flulaval flu vaccine. Had a history of a seizure 6 months prior to death, EEG was performed at that time (no results included in VAERS). |

Reviewer Comments:

Death reports were individually reviewed, most death reports concern patients with COVID-19 infection or alternate/plausible etiologies for cause of death, the majority of death reports cannot be directly attributed solely to Moderna COVID-19 Vaccine, Bivalent.

3. Other Medically Notable Cases

| ID | Age/Sex | Case Summary |
|------------|---------|---|
| ██████████ | 38y/M | This report is from a non-study case report publication. Patient presented with a sudden central scotoma in the left eye 3 days after vaccination with second booster dose of Moderna COVID-19, Bivalent. No previous significant refractive error. Diagnosed with atypical bilateral serous retinal detachment with Roth spot, felt to be consistent with acute idiopathic maculopathy |
| ██████████ | 24y/M | Developed idiopathic transverse myelitis 30 days after vaccination, also had received an unknown formulation of influenza vaccine 2 days prior to development of symptoms. |
| ██████████ | 39y/M | History of high functioning autism, developed headache and photosensitivity 10 days after vaccination, MRI normal. 32 days after vaccination, developed confusion and left arm numbness. Repeat MRI revealed significant bilateral (right > left) medial temporal enhancing lesions, suggestive of encephalitis. Viral studies and quantiferon gold negative. Rheumatologic and oncologic workup was negative. Subsequent imaging showed improvement in brain lesions but discovered a cervical spine lesion. |
| ██████████ | 58y/F | Eleven days after vaccine, developed severe bullous urticaria, with large open ulcers all over the body including oral cavity, skin peeling, feet and toes |

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

| | | |
|--|--|--|
| | | blistering rash. Had persisted for 4 months at the time of the report. |
|--|--|--|

Reviewer Comments:

Report [REDACTED] is a foreign report. In the publication, the authors concluded that the vaccine was probably causal for the adverse event due to the temporal relationship and the absence of other potential causes. The remainder of the reports are domestic. With the second and third reports, alternate causes were not found according to the latest documents available within VAERS. FDA will continue close surveillance for any similar cases.

4. Data Mining Findings¹

Data mining for Moderna COVID-19 Vaccine, Bivalent was conducted using the Empirica 8.0 Signals run on April 5, 2023. The data lock point was March 31, 2023. The PTs with an EB05≥2 are shown below. PTs are discussed in reviewer comment below.

| Event | US EB05 20230331 | US Serious EB05 20230331 | US Fatal EB05 20230331 | US Infant EB05 20230331 | US Child EB05 20230331 | US Teen EB05 20230331 |
|--|---------------------|-----------------------------------|------------------------------|-------------------------------|------------------------------|-----------------------------|
| Accidental underdose | 9.675 | | | | 0.648 | 0.534 |
| Circumstance or information capable of leading to medication error | 2.014 | | | | 0.524 | |
| Device connection issue | 6.911 | | | | | |
| Incorrect dose administered | 3.072 | | | 0.874 | 1.08 | 0.772 |
| Incorrect product formulation administered | 1.25 | 0.747 | 0.857 | 1.922 | 1.47 | 2.587 |
| No adverse event | 2.488 | 0.735 | | 0.672 | 1.202 | 1.231 |
| Poor quality product administered | 2.009 | | | | 0.709 | 0.434 |
| Product temperature excursion issue | 2.725 | | | | 0.918 | 0.699 |
| Syringe issue | 2.679 | | | | | 0.519 |
| Underdose | 6.733 | | | | 0.335 | 1.069 |

¹ Data mining findings are subject to a number of potential limitations and are to be regarded as “hypothesis generating.” Data mining findings do not imply causality.

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

| | | | | | |
|----------------------------|--------------|--|-------|-------|-------|
| Wrong product administered | 2.173 | | 0.741 | 0.962 | 1.388 |
|----------------------------|--------------|--|-------|-------|-------|

Reviewer Comments:

Data mining findings demonstrate that PTs with disproportionate reports are in the category of medication errors and were not disproportionate in serious or fatal cases. No specific disproportionate reporting of medication errors were noted in the pediatric age group.

5. Publications

A literature search of PubMed on April 5, 2023 using the keywords, (mRNA-1273.222 OR Moderna bivalent) AND safety and a date range of January 1, 2023 to March 31, 2023 yielded two new articles relevant to safety in humans in the current review period. The titles and abstracts of the articles were reviewed, and articles relevant to safety are described in the table below.

| Article Citation | Safety Conclusions | Regulatory Implications |
|--|--|--|
| Jacobs JW, Booth GS, Adkins BD. Analysis of hematologic adverse events reported to a national surveillance system following COVID-19 bivalent booster vaccination. <i>Ann Hematol.</i> 2023 Apr;102(4):955-959. doi: 10.1007/s00277-023-05136-2. Epub 2023 Feb 16. PMID: 36795118; PMCID: PMC9933824. | In reviewing VAERS reports, adverse hematologic events are rare following vaccination with COVID-19 bivalent booster from all manufacturers (1.05 per million doses), and most cannot be definitively attributed to vaccination. Three reports of possible ITP and one report of possible VITT highlight the need for ongoing surveillance as new formulations are authorized. | Continue with pharmacovigilance activities as currently being performed. |
| Hause AM, Marquez P, Zhang B, Su JR, Myers TR, Gee J, Panchanathan SS, Thompson D, Shimabukuro TT, Shay DK. Safety Monitoring of Bivalent COVID-19 mRNA Vaccine Booster Doses Among Children Aged 5-11 Years - United States, October 12-January 1, 2023. <i>MMWR Morb Mortal Wkly Rep.</i> 2023 Jan 13;72(2):39-43. doi: 10.15585/mmwr.mm7202a5. PMID: 36634021; PMCID: PMC9869731. | Preliminary review of safety reporting to the VAERS database from the first 11 weeks after authorization of Moderna COVID-19 Local and systemic reactions reported after receipt of a bivalent booster dose are consistent with those reported after a monovalent booster dose; serious adverse events are rare. | Continue with pharmacovigilance activities as currently being performed. |

Additional Product Information

Monthly Summary Safety Reports 17, 18, and 19 were reviewed during the reporting period. There were no new safety issues identified in these three reports. Three validated signals, “Myositis”, “Amenorrhea [reevaluation],” and “Pemphigus and pemphigoid”), two of which (“Amenorrhea [reevaluation]” and “Pemphigus and pemphigoid”) were evaluated and closed as refuted signals.

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

Product Details

The Moderna COVID-19 Vaccine, Bivalent is a 50- μ g formulation which contains total mRNA encoding the SARS-CoV-2 spike glycoproteins (S-2P) from Omicron BA.4/.5 strain (25 μ g mRNA) and Wuhan-Hu-1 strain (25 μ g mRNA, Original strain). The Bivalent Vaccine is supplied in a multiple-dose vial with a dark blue cap and gray border; the label will specify that it is the “Bivalent” product. It is supplied as a frozen suspension that does not contain a preservative and must be thawed prior to administration.

Conclusion

The results from this quarterly surveillance report do not indicate a need for further regulatory action. Continue routine surveillance.

VAERS Internal Surveillance Report

Surveillance Period (Completed Dates): 1/1/23 to 3/31/23

Report Run Date: 4/5/23

Vaccine Name: COVID19 (COVID19 (MODERNA BIVALENT))

Report By: Sarada.Panchanathan

1. Event Counts by Location and Seriousness

| | All | Serious | Deaths | Life Threatening | Hospitalization | Disability | Birth Defect | OMIC |
|--------------|--------------|------------|-----------|------------------|-----------------|------------|--------------|------------|
| US | 5,161 | 470 | 51 | 51 | 328 | 59 | 0 | 68 |
| Foreign | 173 | 163 | 14 | 15 | 61 | 9 | 0 | 85 |
| Total | 5,334 | 633 | 65 | 66 | 389 | 68 | 0 | 153 |

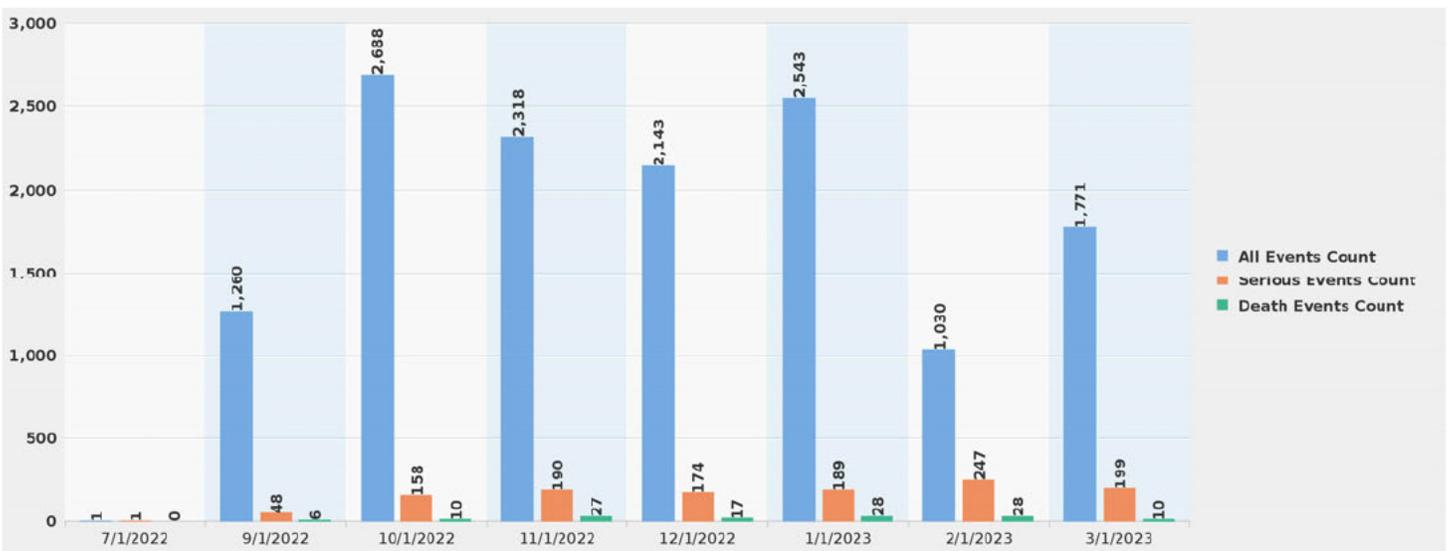
Note: One event can be counted in multiple serious subcategories if reported differently by multiple reporters

2. Event Counts by Age Group

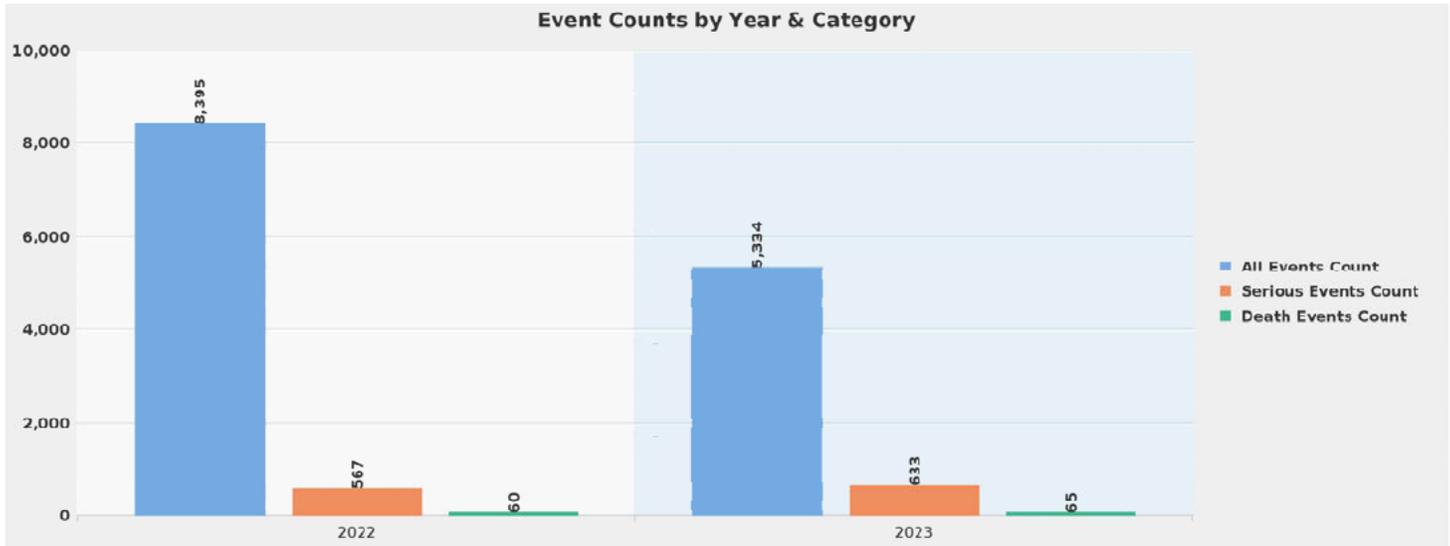
| | All | Serious | Deaths | Life Threatening | Hospitalization | Disability | Birth Defect | OMIC |
|-----------------|--------------|------------|-----------|------------------|-----------------|------------|--------------|------------|
| <1 Year | 22 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 to <3 Years | 63 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 3 to <7 Years | 79 | 4 | 0 | 0 | 3 | 0 | 0 | 1 |
| 7 to <17 Years | 88 | 31 | 0 | 1 | 15 | 1 | 0 | 14 |
| 17 to <65 Years | 2,055 | 187 | 13 | 22 | 100 | 34 | 0 | 50 |
| >= 65 Years | 1,907 | 319 | 34 | 35 | 259 | 27 | 0 | 28 |
| Not Reported | 1,121 | 91 | 17 | 8 | 12 | 6 | 0 | 60 |
| Total | 5,334 | 633 | 65 | 66 | 389 | 68 | 0 | 153 |

Note: One event can be counted in multiple age bands and/or serious subcategories if reported differently by multiple reporters

3. Event Counts for Surveillance Period and Prior 12 Months



4. Event Counts for Surveillance Period Year and Prior 5 Years



5. Most Frequent Preferred Terms (PTs)

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| NO ADVERSE EVENT | 1,550 | 3,726 | 1 | 1 | 0 | 0 | 0 |
| COVID-19 | 1,130 | 1,861 | 2 | 2 | 0 | 201 | 9 |
| EXPIRED PRODUCT ADMINISTERED | 865 | 1,421 | 3 | 3 | 0 | 0 | 0 |
| COUGH | 646 | 1,071 | 4 | 8 | 4 | 47 | 1 |
| PRODUCT STORAGE ERROR | 621 | 961 | 5 | 10 | 5 | 0 | 0 |
| PYREXIA | 566 | 1,325 | 6 | 5 | -1 | 71 | 1 |
| FATIGUE | 528 | 1,255 | 7 | 6 | -1 | 42 | 2 |
| UNDERDOSE | 470 | 1,371 | 8 | 4 | -4 | 0 | 0 |
| HEADACHE | 451 | 1,199 | 9 | 7 | -2 | 40 | 2 |
| PAIN | 403 | 1,035 | 10 | 9 | -1 | 30 | 1 |
| OROPHARYNGEAL PAIN | 371 | 645 | 11 | 13 | 2 | 9 | 0 |
| RESPIRATORY TRACT CONGESTION | 316 | 506 | 12 | 20 | 8 | 14 | 0 |
| MALaise | 281 | 534 | 13 | 17 | 4 | 23 | 1 |
| RHINORRHOEA | 252 | 435 | 14 | 23 | 9 | 5 | 0 |
| CHILLS | 212 | 716 | 15 | 11 | -4 | 18 | 0 |
| INCORRECT PRODUCT FORMULATION ADMINISTERED | 209 | 507 | 16 | 19 | 3 | 0 | 0 |
| DYSPNOEA | 195 | 460 | 17 | 22 | 5 | 73 | 9 |
| POOR QUALITY PRODUCT ADMINISTERED | 178 | 628 | 18 | 14 | -4 | 0 | 0 |
| PAIN IN EXTREMITY | 165 | 589 | 19 | 16 | -3 | 23 | 1 |
| NASOPHARYNGITIS | 153 | 241 | 20 | 36 | 16 | 4 | 0 |
| PRODUCT TEMPERATURE EXCURSION ISSUE | 152 | 618 | 21 | 15 | -6 | 0 | 0 |
| DIZZINESS | 146 | 533 | 22 | 18 | -4 | 35 | 1 |
| ASTHENIA | 143 | 355 | 23 | 29 | 6 | 52 | 3 |
| EXTRA DOSE ADMINISTERED | 143 | 326 | 23 | 32 | 9 | 0 | 0 |
| NAUSEA | 131 | 500 | 25 | 21 | -4 | 34 | 2 |
| WRONG PRODUCT ADMINISTERED | 131 | 416 | 25 | 25 | 0 | 0 | 0 |
| FEELING ABNORMAL | 127 | 367 | 27 | 26 | -1 | 12 | 0 |
| NASAL CONGESTION | 122 | 228 | 28 | 39 | 11 | 4 | 0 |
| ARTHRALGIA | 119 | 433 | 29 | 24 | -5 | 12 | 0 |
| CONDITION AGGRAVATED | 118 | 280 | 30 | 33 | 3 | 49 | 4 |

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| RASH | 105 | 358 | 31 | 27 | -4 | 14 | 0 |
| CHEST PAIN | 104 | 233 | 32 | 37 | 5 | 43 | 2 |
| MYALGIA | 103 | 356 | 33 | 28 | -5 | 17 | 1 |
| DIARRHOEA | 92 | 251 | 34 | 34 | 0 | 24 | 1 |
| INCORRECT DOSE ADMINISTERED | 88 | 654 | 35 | 12 | -23 | 1 | 0 |
| SNEEZING | 85 | 140 | 36 | 46 | 10 | 3 | 0 |
| VOMITING | 85 | 251 | 36 | 34 | -2 | 32 | 1 |
| CHEST DISCOMFORT | 83 | 192 | 38 | 41 | 3 | 24 | 0 |
| PRURITUS | 82 | 332 | 39 | 31 | -8 | 8 | 0 |
| EXPOSURE TO SARS-COV-2 | 81 | 117 | 40 | 48 | 8 | 3 | 0 |
| THROAT IRRITATION | 81 | 156 | 40 | 44 | 4 | 2 | 0 |
| URTICARIA | 74 | 230 | 42 | 38 | -4 | 5 | 0 |
| INJECTION SITE PAIN | 71 | 339 | 43 | 30 | -13 | 7 | 0 |
| INAPPROPRIATE SCHEDULE OF PRODUCT ADMINISTRATION | 68 | 189 | 44 | 42 | -2 | 0 | 0 |
| VACCINE BREAKTHROUGH INFECTION | 65 | 98 | 45 | 50 | 5 | 46 | 0 |
| MOBILITY DECREASED | 62 | 179 | 46 | 43 | -3 | 13 | 2 |
| ERYTHEMA | 60 | 206 | 47 | 40 | -7 | 5 | 1 |
| DECREASED APPETITE | 58 | 139 | 48 | 47 | -1 | 11 | 1 |
| IMMUNISATION REACTION | 55 | 144 | 49 | 45 | -4 | 2 | 0 |
| PRODUCTIVE COUGH | 54 | 113 | 50 | 49 | -1 | 3 | 0 |
| Count: | 50 | | | | | | |

6. Most Frequent PTs Within HLGT 'MEDICATION ERRORS AND OTHER PRODUCT USE ERRORS AND ISSUES'

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| EXPIRED PRODUCT ADMINISTERED | 865 | 1,421 | 1 | 1 | 0 | 0 | 0 |
| PRODUCT STORAGE ERROR | 621 | 961 | 2 | 2 | 0 | 0 | 0 |
| INCORRECT PRODUCT FORMULATION ADMINISTERED | 209 | 507 | 3 | 5 | 2 | 0 | 0 |
| POOR QUALITY PRODUCT ADMINISTERED | 178 | 628 | 4 | 4 | 0 | 0 | 0 |
| EXTRA DOSE ADMINISTERED | 143 | 326 | 5 | 7 | 2 | 0 | 0 |
| WRONG PRODUCT ADMINISTERED | 131 | 416 | 6 | 6 | 0 | 0 | 0 |
| INCORRECT DOSE ADMINISTERED | 88 | 654 | 7 | 3 | -4 | 1 | 0 |
| INAPPROPRIATE SCHEDULE OF PRODUCT ADMINISTRATION | 68 | 189 | 8 | 9 | 1 | 0 | 0 |
| CIRCUMSTANCE OR INFORMATION CAPABLE OF LEADING TO MEDICATION ERROR | 34 | 67 | 9 | 11 | 2 | 0 | 0 |
| PRODUCT ADMINISTERED TO PATIENT OF INAPPROPRIATE AGE | 23 | 123 | 10 | 10 | 0 | 1 | 0 |
| PRODUCT PREPARATION ERROR | 14 | 19 | 11 | 15 | 4 | 0 | 0 |
| PRODUCT ADMINISTRATION ERROR | 13 | 38 | 12 | 12 | 0 | 1 | 0 |
| TRANSCRIPTION MEDICATION ERROR | 13 | 13 | 12 | 18 | 6 | 0 | 0 |
| ACCIDENTAL UNDERDOSE | 11 | 311 | 14 | 8 | -6 | 0 | 0 |
| VACCINATION ERROR | 9 | 10 | 15 | 20 | 5 | 0 | 0 |
| PRODUCT USE ISSUE | 7 | 15 | 16 | 17 | 1 | 0 | 0 |
| INCORRECT ROUTE OF PRODUCT ADMINISTRATION | 6 | 30 | 17 | 13 | -4 | 0 | 0 |
| PRODUCT ADMINISTERED AT INAPPROPRIATE SITE | 5 | 27 | 18 | 14 | -4 | 1 | 0 |
| MEDICATION ERROR | 4 | 9 | 19 | 21 | 2 | 0 | 0 |
| ACCIDENTAL OVERDOSE | 3 | 13 | 20 | 18 | -2 | 0 | 0 |

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|--|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| PRODUCT ADMINISTRATION INTERRUPTED | 1 | 1 | 21 | 24 | 3 | 0 | 0 |
| PRODUCT DOSE OMISSION ISSUE | 1 | 2 | 21 | 23 | 2 | 0 | 0 |
| WRONG PATIENT RECEIVED PRODUCT | 1 | 4 | 21 | 22 | 1 | 0 | 0 |
| WRONG TECHNIQUE IN PRODUCT USAGE PROCESS | 1 | 19 | 21 | 15 | -6 | 0 | 0 |
| Count: | 24 | | | | | | |

7. Most Frequent PTs with Malfunction Flag = Yes

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|-----------------------|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| Count: | 0 | | | | | | |

8. Most Frequent PTs Within SOC 'PREGNANCY, PUERPERIUM AND PERINATAL CONDITIONS'

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta | Serious | Deaths |
|---|---------------------|------------|-------------------|-----------------------|------------|---------|--------|
| MATERNAL EXPOSURE DURING BREAST FEEDING | 15 | 16 | 1 | 2 | 1 | 1 | 0 |
| EXPOSURE DURING PREGNANCY | 10 | 25 | 2 | 1 | -1 | 1 | 0 |
| MATERNAL EXPOSURE DURING PREGNANCY | 6 | 14 | 3 | 3 | 0 | 0 | 0 |
| ABORTION SPONTANEOUS | 2 | 4 | 4 | 4 | 0 | 0 | 0 |
| DELIVERY | 1 | 2 | 5 | 6 | 1 | 1 | 0 |
| EXPOSURE VIA BREAST MILK | 1 | 3 | 5 | 5 | 0 | 1 | 0 |
| FOETAL DEATH | 1 | 2 | 5 | 6 | 1 | 0 | 0 |
| FOETAL HYPOKINESIA | 1 | 1 | 5 | 8 | 3 | 0 | 0 |
| FOETAL VASCULAR MALPERFUSION | 1 | 1 | 5 | 8 | 3 | 0 | 0 |
| MATERNAL EXPOSURE BEFORE PREGNANCY | 1 | 1 | 5 | 8 | 3 | 0 | 0 |
| PRE-ECLAMPSIA | 1 | 1 | 5 | 8 | 3 | 0 | 0 |
| PRETERM PREMATURE RUPTURE OF MEMBRANES | 1 | 1 | 5 | 8 | 3 | 0 | 0 |
| UMBILICAL CORD THROMBOSIS | 1 | 1 | 5 | 8 | 3 | 0 | 0 |
| Count: | 13 | | | | | | |

9. Most Frequent PTs with Death

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta |
|-----------------------|---------------------|------------|-------------------|-----------------------|------------|
| DEATH | 52 | 101 | 21 | 25 | 4 |
| COVID-19 | 9 | 17 | 1 | 1 | 0 |
| DYSPNOEA | 9 | 19 | 8 | 11 | 3 |
| ATRIAL FIBRILLATION | 5 | 6 | 23 | 24 | 1 |
| CONDITION AGGRAVATED | 4 | 10 | 13 | 14 | 1 |
| ASTHENIA | 3 | 7 | 11 | 13 | 2 |
| LETHARGY | 3 | 6 | 25 | 23 | -2 |
| CHEST PAIN | 2 | 5 | 14 | 17 | 3 |
| FATIGUE | 2 | 4 | 4 | 3 | -1 |
| HEADACHE | 2 | 2 | 5 | 4 | -1 |
| HEART RATE INCREASED | 2 | 4 | 24 | 21 | -3 |
| MOBILITY DECREASED | 2 | 3 | 18 | 19 | 1 |
| NAUSEA | 2 | 2 | 12 | 10 | -2 |
| COUGH | 1 | 5 | 2 | 5 | 3 |
| DECREASED APPETITE | 1 | 2 | 20 | 22 | 2 |
| DIARRHOEA | 1 | 3 | 16 | 15 | -1 |
| DIZZINESS | 1 | 2 | 10 | 9 | -1 |
| ERYTHEMA | 1 | 1 | 19 | 18 | -1 |

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

| MedDRA Preferred Term | All (Report Period) | All (Ever) | Period Rank (All) | Cumulative Rank (All) | Rank Delta |
|-----------------------|---------------------|------------|-------------------|-----------------------|------------|
| MALaise | 1 | 3 | 7 | 8 | 1 |
| MYALGIA | 1 | 1 | 15 | 12 | -3 |
| PAIN IN EXTREMITY | 1 | 2 | 9 | 7 | -2 |
| PAIN | 1 | 3 | 6 | 6 | 0 |
| PALPITATIONS | 1 | 2 | 21 | 20 | -1 |
| PYREXIA | 1 | 2 | 3 | 2 | -1 |
| VOMITING | 1 | 2 | 17 | 15 | -2 |
| Count: | 25 | | | | |

Input Summary

AUTHORIZED FOR PUBLIC RELEASE BY CHAIRMAN JOHNSON

*** Query Name:Query 1 ***

Select Only One Vaccine Name COVID19 (COVID19 (MODERNA BIVALENT))
Report Date (Start): 1/1/2023 12:00:00 AM
Report Date (End): 3/31/2023 12:00:00 AM
Vaccination Date (Start) (Optional)
Vaccination Date (End) (Optional)
Vaccination Date Unknown Flag - If specifying vaccination dates, select 'Yes' to include reports with unknown vaccination dates
(otherwise, leave blank) (Optional)
Age (Start) (Optional)
Age (End) (Optional)
Age unknown - If specifying age(s), select 'Unknown' here to include unknown ages (Optional)
Enter 'FR' to exclude foreign reports (otherwise, leave blank) (Optional)

PSI-HHS-000001168922

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

**Quarterly Surveillance Report for Moderna COVID-19 Vaccine, Bivalent
Surveillance Period: January 1, 2023 to March 31, 2023**

- The Business Objects VAERS Internal Surveillance Report (VISR) query was run on April 5, 2023 for Moderna COVID-19 Vaccine, Bivalent for the surveillance period January 1, 2023 to March 31, 2023. All tables of report counts by age, location, most common PT, medication error and malfunction, and pregnancy are presented in Reports 1-9 in the attached document.

Reviewer Comment: Data in Business Objects queries do not suggest a new safety concern.

2. Death Reports

Deaths During Surveillance Period

The following death report was considered notable:

| ID | Age/Sex | COD | Case Summary |
|------------|---------|--------------------------------|---|
| ██████████ | 2y/F | Unknown, pending investigation | Patient was found dead 1 day after receiving Moderna COVID-19 Vaccine, Bivalent and annual Flulaval flu vaccine. Had a history of a seizure 6 months prior to death, EEG was performed at that time (no results included in VAERS). |

Reviewer Comments:

Death reports were individually reviewed, most death reports concern patients with COVID-19 infection or alternate/plausible etiologies for cause of death, the majority of death reports cannot be directly attributed solely to Moderna COVID-19 Vaccine, Bivalent.

3. Other Medically Notable Cases

| ID | Age/Sex | Case Summary |
|------------|---------|---|
| ██████████ | 38y/M | This report is from a non-study case report publication. Patient presented with a sudden central scotoma in the left eye 3 days after vaccination with second booster dose of Moderna COVID-19, Bivalent. No previous significant refractive error. Diagnosed with atypical bilateral serous retinal detachment with Roth spot, felt to be consistent with acute idiopathic maculopathy |
| ██████████ | 24y/M | Developed idiopathic transverse myelitis 30 days after vaccination, also had received an unknown formulation of influenza vaccine 2 days prior to development of symptoms. |
| ██████████ | 39y/M | History of high functioning autism, developed headache and photosensitivity 10 days after vaccination, MRI normal. 32 days after vaccination, developed confusion and left arm numbness. Repeat MRI revealed significant bilateral (right > left) medial temporal enhancing lesions, suggestive of encephalitis. Viral studies and quantiferon gold negative. Rheumatologic and oncologic workup was negative. Subsequent imaging showed improvement in brain lesions but discovered a cervical spine lesion. |
| ██████████ | 58y/F | Eleven days after vaccine, developed severe bullous urticaria, with large open ulcers all over the body including oral cavity, skin peeling, feet and toes |

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

| | | |
|--|--|--|
| | | blistering rash. Had persisted for 4 months at the time of the report. |
|--|--|--|

Reviewer Comments:

Report [REDACTED] is a foreign report. In the publication, the authors concluded that the vaccine was probably causal for the adverse event due to the temporal relationship and the absence of other potential causes. The remainder of the reports are domestic. With the second and third reports, alternate causes were not found according to the latest documents available within VAERS. FDA will continue close surveillance for any similar cases.

4. Data Mining Findings¹

Data mining for Moderna COVID-19 Vaccine, Bivalent was conducted using the Empirica 8.0 Signals run on April 5, 2023. The data lock point was March 31, 2023. The PTs with an EB05≥2 are shown below. PTs are discussed in reviewer comment below.

| Event | US EB05 20230331 | US Serious EB05 20230331 | US Fatal EB05 20230331 | US Infant EB05 20230331 | US Child EB05 20230331 | US Teen EB05 20230331 |
|--|---------------------|-----------------------------------|------------------------------|-------------------------------|------------------------------|-----------------------------|
| Accidental underdose | 9.675 | | | | 0.648 | 0.534 |
| Circumstance or information capable of leading to medication error | 2.014 | | | | 0.524 | |
| Device connection issue | 6.911 | | | | | |
| Incorrect dose administered | 3.072 | | | 0.874 | 1.08 | 0.772 |
| Incorrect product formulation administered | 1.25 | 0.747 | 0.857 | 1.922 | 1.47 | 2.587 |
| No adverse event | 2.488 | 0.735 | | 0.672 | 1.202 | 1.231 |
| Poor quality product administered | 2.009 | | | | 0.709 | 0.434 |
| Product temperature excursion issue | 2.725 | | | | 0.918 | 0.699 |
| Syringe issue | 2.679 | | | | | 0.519 |
| Underdose | 6.733 | | | | 0.335 | 1.069 |

¹ Data mining findings are subject to a number of potential limitations and are to be regarded as “hypothesis generating.” Data mining findings do not imply causality.

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

| | | | | | |
|----------------------------|--------------|--|-------|-------|-------|
| Wrong product administered | 2.173 | | 0.741 | 0.962 | 1.388 |
|----------------------------|--------------|--|-------|-------|-------|

Reviewer Comments:

Data mining findings demonstrate that PTs with disproportionate reports are in the category of medication errors and were not disproportionate in serious or fatal cases. No specific disproportionate reporting of medication errors were noted in the pediatric age group.

5. Publications

A literature search of PubMed on April 5, 2023 using the keywords, (mRNA-1273.222 OR Moderna bivalent) AND safety and a date range of January 1, 2023 to March 31, 2023 yielded two new articles relevant to safety in humans in the current review period. The titles and abstracts of the articles were reviewed, and articles relevant to safety are described in the table below.

| Article Citation | Safety Conclusions | Regulatory Implications |
|--|--|--|
| Jacobs JW, Booth GS, Adkins BD. Analysis of hematologic adverse events reported to a national surveillance system following COVID-19 bivalent booster vaccination. <i>Ann Hematol.</i> 2023 Apr;102(4):955-959. doi: 10.1007/s00277-023-05136-2. Epub 2023 Feb 16. PMID: 36795118; PMCID: PMC9933824. | In reviewing VAERS reports, adverse hematologic events are rare following vaccination with COVID-19 bivalent booster from all manufacturers (1.05 per million doses), and most cannot be definitively attributed to vaccination. Three reports of possible ITP and one report of possible VITT highlight the need for ongoing surveillance as new formulations are authorized. | Continue with pharmacovigilance activities as currently being performed. |
| Hause AM, Marquez P, Zhang B, Su JR, Myers TR, Gee J, Panchanathan SS, Thompson D, Shimabukuro TT, Shay DK. Safety Monitoring of Bivalent COVID-19 mRNA Vaccine Booster Doses Among Children Aged 5-11 Years - United States, October 12-January 1, 2023. <i>MMWR Morb Mortal Wkly Rep.</i> 2023 Jan 13;72(2):39-43. doi: 10.15585/mmwr.mm7202a5. PMID: 36634021; PMCID: PMC9869731. | Preliminary review of safety reporting to the VAERS database from the first 11 weeks after authorization of Moderna COVID-19 Local and systemic reactions reported after receipt of a bivalent booster dose are consistent with those reported after a monovalent booster dose; serious adverse events are rare. | Continue with pharmacovigilance activities as currently being performed. |

Additional Product Information

Monthly Summary Safety Reports 17, 18, and 19 were reviewed during the reporting period. There were no new safety issues identified in these three reports. Three validated signals, “Myositis”, “Amenorrhea [reevaluation],” and “Pemphigus and pemphigoid”), two of which (“Amenorrhea [reevaluation]” and “Pemphigus and pemphigoid”) were evaluated and closed as refuted signals.

Moderna COVID-19 Vaccine, Bivalent STN#125752/EUA27073

Review Period: 1/1/23 – 3/31/23

FDA Authorization Date: 8/31/2022

Product Details

The Moderna COVID-19 Vaccine, Bivalent is a 50-µg formulation which contains total mRNA encoding the SARS-CoV-2 spike glycoproteins (S-2P) from Omicron BA.4/.5 strain (25µg mRNA) and Wuhan-Hu-1 strain (25µg mRNA, Original strain). The Bivalent Vaccine is supplied in a multiple-dose vial with a dark blue cap and gray border; the label will specify that it is the “Bivalent” product. It is supplied as a frozen suspension that does not contain a preservative and must be thawed prior to administration.

Conclusion

The results from this quarterly surveillance report do not indicate a need for further regulatory action. Continue routine surveillance.

From: "Thompson, Deborah" [redacted] "Welsh, Kerry" [redacted] *Jason, Christopher" [redacted]
To: "Nair, Narayan" [redacted] "Alimchandani, Meghna" [redacted] "Hazzel, Sumanesh" [redacted]
Subject: Data Mining for Pfizer Bivalent: Ischemic Stroke
Date: Tue, 28 Feb 2023 15:54:32 +0000
Importance: Normal
Attachments: Empirica_data_Pfizer_Bivalent_DLP_2.24.23.xls; QQ_LL_Pfizer_Bivalent_Ischemic_Stroke_Run_on_2.28.23.xlsx; 125742.245.2_response-20dec2023-is-safety-assessment.pdf
Inline-Images: image001.png; image002.jpg; image003.jpg; image004.jpg; image005.jpg; image006.jpg; image007.png

Hi Narayan, Meghna, Kerry, Chris, and Sam,

While doing my weekly surveillance review for the Pfizer bivalent COVID-19 vaccine, ischemic stroke appeared as a new data mining finding with an EB05>2 for US serious, although the EB05=1.01 for overall US:

| Drug | Event | US EB05 20230224 | US Serious EB05 20230224 | US Fatal EB05 20230224 | US Infant EB05 20230224 | US Child EB05 20230224 | US Teen EB05 20230224 | US Adult1 EB05 20230224 | US Adult2 EB05 20230224 | US Adult3 EB05 20230224 | US Female EB05 20230224 | US Male EB05 20230224 |
|------------------------------------|-------------------------------|------------------|--------------------------|------------------------|-------------------------|------------------------|-----------------------|-------------------------|-------------------------|-------------------------|-------------------------|-----------------------|
| COVID19 (PFIZER-BIONTECH-BIVALENT) | Incorrect product formulation | 1.865 | | | 6.689 | 3.335 | 2.815 | 2.022 | 1.232 | 0.909 | 1.849 | 1.969 |
| COVID19 (PFIZER-BIONTECH-BIVALENT) | Ischaemic stroke | 1.01 | 2.056 | 0.855 | | | | 0.707 | 0.53 | 1.029 | 0.807 | 0.98 |
| COVID19 (PFIZER-BIONTECH-BIVALENT) | Off label use | 2.773 | 0.377 | 0.96 | | 0.62 | 0.654 | 1.275 | 1.788 | 3.074 | 2.609 | 1.969 |
| COVID19 (PFIZER-BIONTECH-BIVALENT) | Product preparation error | 1.91 | | | 0.584 | 1.227 | 1.053 | 5.39 | 1.103 | 1.625 | 1.38 | 2.205 |
| COVID19 (PFIZER-BIONTECH-BIVALENT) | Product use issue | 2.844 | 1.047 | 0.854 | | 0.53 | 0.143 | 1.381 | 2.534 | 2.384 | 2.569 | 1.964 |

The QQ-LL report for Pfizer bivalent for ischemic stroke shows a total of 53 reports (41 US and 12 foreign).

Among the 41 US reports:

- 39 (95.1%) non-fatal serious/ONIC reports and 2 (4.9%) death reports
- 19 (46.3%) females and 22 (53.7%) males
- Median age=69 years (range=20-90 years)
- Median onset=21 days post-vax (range=0-128 days)
- US reporting rate=1.19 reports per million doses administered ([CDC COVID Data Tracker: Vaccinations in the US](#))

I've also attached the recent IR response from Pfizer, which evaluated thromboembolic events (TEE) following the Pfizer bivalent vaccine and concluded that there is no evidence that TEE, including ischemic stroke, are a safety signal or risk of the bivalent vaccine.

I'm wondering if you are aware of any updates from CDC VSD or BEST on the monitoring/assessment of ischemic stroke following the Pfizer bivalent vaccine?

Please let me know if you have any questions or need any additional information.

Thanks,

Deb Thompson, MD, MSPH, FACPM
 Medical Officer

Center for Biologics Evaluation and Research
 Office of Biostatistics and Pharmacovigilance
 U.S. Food and Drug Administration



Page Image Missing

#237078.1

Page Image Missing

#237083.1

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022



Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)

Response to FDA (CBER) Request for Information

Thromboembolic events and Pfizer-BioNTech Bivalent BNT162b2 (Original and Omicron BA.4/BA.5) COVID-19 Vaccine

24 January 2023

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

TABLE OF CONTENTS

LIST OF ABBREVIATIONS.....3

1. INTRODUCTION5

2. CBER REQUEST FOR INFORMATION5

3. RESPONSE.....5

 3.1. BACKGROUND.....5

 3.2. SAFETY DATABASE5

 3.2.1. Cases in Embolic and Thrombotic Events, Arterial SMQ.....6

 3.2.1.1. Cardiovascular Events (19 Cases).....7

 3.2.1.2. Cerebrovascular Events (6 Cases).....7

 3.2.1.3. Other Arterial Events (3 Cases)8

 3.2.2. Cases in Embolic and Thrombotic Events, Venous SMQ.....8

 3.2.2.1. Pulmonary Emboli and Limb Thrombosis (53 Cases).....8

 3.2.2.2. Cerebral Venous Sinus Thrombosis (2 Cases).....9

 3.2.2.3. Other Venous Events (4 Cases).....9

 3.2.3. Cases in Embolic and Thrombotic Events, Vessel Type Unspecified
 and Mixed Arterial and Venous SMQ10

 3.2.3.1. Arterial Events (34 Cases).....10

 3.2.3.2. Venous Events (13 Cases).....11

 3.2.3.3. Unspecified or Mixed Arterial-Venous Events (10 Cases).....12

 3.3. CLINICAL TRIAL DATA13

 3.4. LITERATURE13

 3.5. OBSERVED TO EXPECTED ANALYSES14

 3.6. SUMMARY AND CONCLUSION.....17

4. APPENDICES18

 4.1. Appendix 1: Death Cases - Arterial18

 4.2. Appendix 2: Death Cases - Venous.....18

 4.3. Appendix 3: Death Cases - Unspecified/Mixed.....18

 4.4. Appendix 4: PTs Used to Identify Spontaneously Reported Embolic and
 Thrombotic Events for O/E Analyses.....18

5. REFERENCES21

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

LIST OF ABBREVIATIONS

| Abbreviation | Definition |
|---------------------|--|
| ACCESS | vACcine Covid-19 monitoring readinESS |
| ACS | acute coronary syndrome |
| AESI | adverse events of special interest |
| AER | adverse event report |
| AF | atrial fibrillation |
| AMI | acute myocardial infarction |
| APF4 | antiplatelet factor 4 |
| ARS | Agenzia regionale di sanità |
| aSMSR | Abbreviated Summary Monthly Safety Report |
| BIFAP | Base de Datos para la Investigación Farmacoepidemiológica en Atención Primaria (Pharmacoepidemiologic Research in Public Health Systems) |
| BLA | Biologics License Application |
| BNT | BioNTech |
| CBER | Center for Biologics Evaluation and Research |
| CHF | congestive heart failure |
| CI | confidence interval |
| CNS | central nervous system |
| COPD | chronic obstructive pulmonary disease |
| COVID-19 | coronavirus disease 2019 |
| CPRD | Clinical Practice Research Datalink |
| CVST | cerebral venous sinus thrombosis |
| DCE-AU | Danish Centre for Environment and Energy- Aarhus University |
| DIC | disseminated intravascular coagulation |
| DM | diabetes mellitus |
| DVT | deep vein thrombosis |
| ED | emergency department |
| EEA | European Economic Area |
| FDA | Food and Drug Administration |
| GePaRD | German Pharmacoepidemiological Research Database |
| HC | high cholesterol |
| HCP | healthcare professional |
| HIT | heparin-induced thrombocytopenia |
| HTN | hypertension |
| ICU | intensive care unit |
| LL | lower limit |
| LMWH | low molecular weight heparin |
| LV | left ventricle |
| MAH | Marketing Authorization Holder |
| MedDRA | Medical Dictionary for Regulatory Activities |
| MRI | magnetic resonance imaging |

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

| Abbreviation | Definition |
|---------------------|--|
| NSAIDS | nonsteroidal anti-inflammatory drug |
| O/E | observed/expected |
| OSA | obstructive sleep apnea |
| PCR | polymerase chain reaction |
| PE | pulmonary emboli |
| PT | Preferred Term |
| PY | person-years |
| SIDIAP | Sistema d'Informació per al Desenvolupament de la Investigació en Atenció Primària (The Information System for Research in Primary Care) |
| SLE | systemic lupus erythematosus |
| SMQ | standardized MedDRA query |
| SNDS | Supplement to a New Drug Submission |
| TIA | transient ischemic attack |
| TTP | thrombotic thrombocytopenic purpura |
| TTS | thrombosis with thrombocytopenia syndrome |
| UL | upper limit |
| US | United States |
| UTI | urinary tract infection |
| VAERS | vaccine adverse event reporting system |

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

1. INTRODUCTION

Reference is made to the information request received by Pfizer via e-mail on 20 Dec 2022 from the FDA, CBER from Alexandria Edwards, PharmD. (CBER) to Gosia Mineo (Pfizer Inc) regarding arterial, venous and mixed thromboembolic events after receiving Bivalent BNT162b2 (Original and Omicron BA.4/BA.5) BNT COVID-19 mRNA vaccine. CBER requested we provide the results from this assessment in the next aSMSR which is due in January 2023. On 21 Dec 2022, Pfizer informed CBER that aSMSR 11 (16 Nov 2022 to 15 Dec 2022) was planned to be submitted on 06 Jan 2023 and proposed to provide the request for information in a separate report and submit it to the BLA 125742 by end of January 2023. CBER agreed to this proposal on 22 Dec 2022.

The FDA (CBER) requests in ***bold italics*** are followed by Pfizer-BioNTech's responses in plain text below.

2. CBER REQUEST FOR INFORMATION

We have received VAERS reports of embolic and thrombotic events, including ischemic stroke, transient ischemic attacks, cerebral venous sinus thrombosis (CVST) and pulmonary emboli (PE), for the Pfizer-BioNTech COVID-19 Vaccine, Bivalent. Three reports mention concern for vaccine-induced immune thrombotic thrombocytopenia (VITT) (VAERS #2502144, #2514229, and #2507203). Please perform a cumulative safety and causality assessment of embolic and thrombotic events following receipt of the Pfizer-BioNTech COVID-19 Vaccine, Bivalent.

3. RESPONSE

3.1. BACKGROUND

Thromboembolic events and TTS are AESI for Comirnaty (including the BNT16b2 bivalent vaccines) and are monitored by Pfizer on behalf of BioNTech. This document is written in response to the above FDA request and pertains to Bivalent BNT162b2 (Original and Omicron BA.4/BA.5) vaccine (herein referred to as *Bivalent Comirnaty*).

3.2. SAFETY DATABASE

Pfizer's safety database contains cases of adverse events reported spontaneously to Pfizer, cases reported by the health authorities, cases published in the medical literature, cases from Pfizer-sponsored marketing programs, non-interventional studies, and cases of serious adverse events reported from clinical studies regardless of causality.

The limitations of post-marketing adverse drug event reporting should be considered when interpreting these data:

Reports are submitted voluntarily, and the magnitude of underreporting is unknown. Some of the factors that may influence whether an event is reported include length of time since marketing, market share of the drug, publicity about a drug or an adverse event, seriousness of the reaction, regulatory actions, awareness by health professionals and consumers of adverse drug event reporting, and litigation.

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

Because many external factors influence whether or not an adverse event is reported, the spontaneous reporting system yields reporting proportions not incidence rates. As a result, it is generally not appropriate to make between-drug comparisons using these proportions; the spontaneous reporting system should be used for signal detection rather than hypothesis testing.

In some reports, clinical information (such as medical history, validation of diagnosis, time from drug use to onset of illness, dose, and use of concomitant drugs) is missing or incomplete, and follow-up information may not be available.

An accumulation of AERs does not necessarily indicate that a particular adverse event was caused by the drug; rather, the event may be due to an underlying disease or some other factor(s) such as past medical history or concomitant medication.

The safety database was searched for all cases reported cumulatively for BNT162b2, BNT162b2 OMI BA.4-5 (Bivalent Comirnaty) through 15 Dec 2022 with a PT falling into the MedDRA version 25.1 Embolic and thrombotic events SMQ. This SMQ consists of 3 “sub-SMQs:”

1. Embolic and thrombotic events, arterial SMQ (40 cases)
2. Embolic and thrombotic events, venous SMQ and (67 cases)
3. Embolic and thrombotic events, vessel type unspecified and mixed arterial and venous SMQ (75 cases)

Eighteen cases appeared in more than 1 of the 3 sub-SMQs. Seventeen of the 18 are in either the arterial or venous SMQ plus the vessel type unspecified/mixed SMQ and will be discussed in Section 3.2.3 with the unspecified/mixed cases. The remaining overlapping case (PV202200103847) is in both the venous and arterial SMQs and will be discussed in Section 3.2.1 with the arterial cases.

3.2.1. Cases in Embolic and Thrombotic Events, Arterial SMQ

Of the 40 total reports in this arterial SMQ, 14 overlapped with the other embolic and thrombotic SMQs: 4 overlapped with the venous SMQ, 13 overlapped with the unspecified/mixed SMQ and 3 overlapped with both the venous and the unspecified/mixed SMQ; leaving 27 unique cases.

The 27 cases (26 unique plus 1 overlapping with venous SMQ, AER PV202200103847), were reported between 17 Oct 2022 and 15 Dec 2022 and included 15 females and 11 males (1 case did not specify sex). Ages ranged from 37 to 94 years (mean 74.7); 1 case did not specify age. Fifteen cases were medically confirmed and 12 were not; all cases were classified as serious. Cases were reported from Germany (11), Japan (6), Spain, Italy, France (2 each) and Finland, Portugal, Sweden and US (1 each). Dose number was specified in all but 6 cases; 1 case occurred after Dose 2, twelve (12) occurred after Dose 4 and 8 occurred after Dose 5. A large majority of reporters were unable to specify the manufacturers of the

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

previous COVID-19 vaccine the patients received prior to Bivalent Comirnaty. There were 10 death reports; narrative line listings of the death cases are in [Appendix 1](#).

3.2.1.1. Cardiovascular Events (19 Cases)

- Nine AMIs and 2 ACSs ranging from the same day to 24 days after vaccination; outcomes were: 4 deaths (1 in patient with concomitant COVID-19), 3 recovering or recovered with sequelae, and 4 with unknown outcomes. All patients except 2 with no known or reported medical history, had risk factors for heart disease including known coronary artery disease, valvular cardiac disease, HTN, DM, HC, and atrial fibrillation. One of the 11 cases were notable for concurrent thrombocytopenia:
 1. An 88-year-old man with known COPD, CHF and LV dysfunction had an ACS occurring 24 days after Dose 4 (previous COVID-19 vaccine doses from an unknown manufacturer) and was also reported to have concurrent immune thrombocytopenia (platelet nadir 2000), treated with IVIg, corticosteroids and responding to rituximab (APF4 testing not mentioned); his hospital course was also complicated by a UTI and nosocomial pneumonia, however he was recovering from the thrombocytopenia and ACS at the time of the report.
- Five cases in which AMI or a cardiac event was assumed by the reporter because all outcomes were deaths with no autopsies in patients with risk factors for cardiac disease including known cardiac disorders, HTN, DM, HC; 1 patient had cirrhosis and hepatocellular carcinoma.
- One case of aortic dissection in a 79-year-old woman with known aortic dissection, HTN and SLE who died 1 day following Dose 4 (no autopsy performed but progression of aortic dissection was suspected).
- One case of stress cardiomyopathy (Takotsubo syndrome) in a 59-year-old woman with a history of migraine and 3 miscarriages who presented with chest pain 13 days following Dose 4 and had not recovered at the time of the report.

3.2.1.2. Cerebrovascular Events (6 Cases)

- Two cases of TIA, 1 in a 65-year-old man with DM on the same day as a booster (dose number not known) of Bivalent Comirnaty with no concomitant vaccinations reported and previous COVID-19 vaccine doses from an unknown manufacturer; and 2 in a 93-year-old man with known vascular disease and leukoencephalopathy who received Bivalent Comirnaty with no concomitant vaccinations as the Dose 2 of his primary series of COVID-19 vaccination (Dose 1 was Comirnaty) and was reported to have a TIA 1 day later. Both patients recovered.
- Four cases of ischemic stroke in women aged 37 to 88 years; 3 of whom had risk factors including HTN, TTP, previous stroke, valvular cardiac disease and DM (outcomes recovered, recovered with sequelae and recovering) and 1 with no

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

specified medical history (outcome unknown); the events occurred from the same day to 3 days following Dose 4 (4 cases) and Dose 5 (1 case). Two of the cases described a heterologous course of COVID-19 vaccination (Spikevax) and 2 had previous COVID-19 vaccine doses from an unknown manufacturer; none had concomitant influenza vaccination with Bivalent Comirnaty.

3.2.1.3. Other Arterial Events (3 Cases)

- Transient blindness in a 61-year-old woman with no medical history provided, occurring 1 day following Dose 4 and recovered in 4 days (not medically confirmed); previous COVID-19 vaccines were of an unknown manufacturer and no concomitant vaccinations with Bivalent Comirnaty reported
- Left axillary artery thrombus in a 94-year-old woman with a history of an unspecified cardiac disorder occurring 4 days following an unspecified dose, recovering at the time of the report; previous COVID-19 vaccines were of an unknown manufacturer and no concomitant vaccinations with Bivalent Comirnaty reported
- Retinal artery occlusion in a 71-year-old man with a history of HTN, occurring 6 days following Dose 4, recovered with sequelae; previous COVID-19 vaccines were of an unknown manufacturer and no concomitant vaccinations with Bivalent Comirnaty reported

3.2.2. Cases in Embolic and Thrombotic Events, Venous SMQ

Of the 67 total reports in this venous SMQ, 1 overlapped with the arterial SMQ, 4 overlapped with the unspecified/mixed SMQ and 3 overlapped with both the arterial and unspecified/mixed SMQ; leaving 59 unique cases.

The 59 cases were reported between 13 Oct 2022 and 14 Dec 2022 and included 32 females and 26 males (1 case did not specify sex). Ages ranged from 35 to 94 years (mean 69.1); 2 cases did not specify age. Forty-four (44) cases were medically confirmed and 15 were not; 55 cases were classified as serious and 4 as non-serious. Cases were reported from Germany and Spain (14 each), Italy (6), Denmark (5), Austria and the US (4 each), France (3), Japan, Luxembourg and Sweden (2 each), and Estonia, Portugal and Canada (1 each). Dose number was specified in all but 11 cases; 2 cases occurred after Dose 1, 6 cases occurred after Dose 3, 37 occurred after Dose 4 and 3 occurred after Dose 5. Many reporters were unable to specify the manufacturer of previous COVID-19 vaccines received prior to their booster doses with Bivalent Comirnaty; many reported heterogeneous COVID-19 vaccination courses, mainly with Spikevax. There were 5 death reports; narrative line listings of the death cases are in [Appendix 2](#).

3.2.2.1. Pulmonary Emboli and Limb Thrombosis (53 Cases)

- Thirty-one cases of PE ranging from the same day to 31 days after Bivalent Comirnaty; outcomes were: 5 deaths (patients ranged in age from 65 to 81 years and had significant comorbidities including COPD, OSA, DM, HTN, cancer (2), infection

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

and 1 patient was wheelchair bound with a neurodegenerative condition); 15 recovering or recovered, 3 not recovered and 8 with unknown outcomes. Many patients (except 9 with no known or reported medical history), had risk factors for thromboembolic events including previous thromboembolic events, COVID-19, genetic thrombophilia, malignancies, overweight/obesity, valvular cardiac conditions and estrogen use.

- Thirteen cases of extremity thrombosis included 5 leg thrombosis (4 of which were specifically noted as superficial), 5 leg DVTs, 1 saphenous vein thrombosis and 2 upper extremity DVTs. Patients ranged in age from 35 to 90 years of age. Five individuals had unknown outcomes, 6 were recovered or recovering and 2 had not recovered at the time of the reports. Medical history was not provided for 6 patients; the remainder had medical histories that included chronic venous insufficiency, obesity, DVT, COVID-19, HC and cancer.
- Nine cases described both extremity thrombosis and PE in individuals ranging in age from 55 to 84 years. Five of the individuals had clear risk factors for PE/DVT including prior PE, cancer, recent travel, and estrogen use. Outcome in 6 of the individuals was recovering, in 1 individual not recovered and in 2 individuals unknown.

3.2.2.2. Cerebral Venous Sinus Thrombosis (2 Cases)

- Two cases of CVST. A non-HCP case described a 39-year-old woman with unspecified medical history who was reported to have a CVST 13 days after Dose 4 with Bivalent Comirnaty. Previous COVID-19 vaccinations had been with Comirnaty (Doses 1 and 2) and Spikevax (Dose 3). No clinical details (including imaging reports) were provided. She had not recovered at the time of the report. In the second report, a 52-year-old woman with headache and sinusitis initially treated with NSAIDs and antibiotics was found to have CVST on MRI 7 weeks following Dose 3 with Bivalent Comirnaty. Primary COVID-19 vaccinations were from an unknown manufacturer. She was recovering at the time of the report.

3.2.2.3. Other Venous Events (4 Cases)

- Three non-medically confirmed cases described thrombosis in unspecified anatomic locations from 1 to 12 days after vaccination with Bivalent Comirnaty but provided little other information.
- One non-medically confirmed case described an ophthalmic vein thrombosis in a 59-year-old female with HTN occurring 23 days after Bivalent Comirnaty vaccination (dose number unspecified). Primary COVID-19 vaccination was from an unknown manufacturer; she was recovered with sequelae at the time of the report.

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

3.2.3. Cases in Embolic and Thrombotic Events, Vessel Type Unspecified and Mixed Arterial and Venous SMQ

Of the 75 total reports in this SMQ, 16 overlapped with the other embolic and thrombotic event SMQs: 12 were also in the arterial SMQ, 7 were in the venous SMQ and 3 were in all 3 of the SMQs; leaving 59 unique cases. All 75 cases are discussed in this section

The 75 cases (59 unique plus 16 overlapping with other embolic and thrombotic SMQs), were reported between 15 Sep 2022 and 14 Dec 2022 and included 39 females and 34 males (2 cases did not specify sex). Ages ranged from 42 to 89 years (mean 68.7); 9 cases did not specify age. Forty-three (43) cases were medically confirmed and 32 were not; all cases except 1 were classified as serious. Cases were reported from Germany (19), US and Japan (16 each), Spain (6), Italy (4), Denmark (3), Austria, Czech Republic, France (2 each) and Estonia, Finland, Norway, Portugal and Sweden (1 each).

Upon individual case review, 18 case narratives failed to describe details consistent with the occurrence of a thrombotic or embolic event. These cases were eliminated from further review.

In the remaining 57 cases, dose number was specified in 47 cases: 1 case occurred after Dose 1, 4 cases occurred after Dose 3, 29 after Dose 4 and 13 after Dose 5; 10 cases occurred after an unspecified dose number. Most cases did not provide the manufacturer of previous COVID-19 vaccines received (“manufacturer unknown”), a smaller number reported homologous dosing with BNT162b2 or Comirnaty and even fewer reported heterologous dosing. There were 7 death reports; narrative line listings of the death cases are in [Appendix 3](#)

3.2.3.1. Arterial Events (34 Cases)

- Thirty cases of cerebrovascular events (1 also reporting an MI), cerebral infarctions and/or cerebellar infarctions were presumed to be of arterial origin. None described accompanying venous events unless noted below. Time to onset from vaccination in these cases ranged from the same day to 17 days post-vaccination and, when specified, patients ranged in age from 43 to 89 years. One was reported after Dose 1, 4 were reported after Dose 3, 14 after Dose 4, 6 after Dose 5 and 5 after an unspecified chronologic dose. Fifteen of the reports provided no data on medical history, 2 reported that the patients had no relevant medical conditions and in the remaining 13 cases, all described risk factors for thromboembolic disease (such as known coronary artery disease, cerebral infarction, atrial fibrillation, previous DVT/PE, smoking, DM and HTN), except for a 64-year-old woman who was reported to have only asthma. Five of the 30 cases described concomitant influenza vaccination with the Bivalent Comirnaty dose; 8 described homologous COVID-19 vaccine use, 4 described heterologous COVID-19 vaccinations and 17 referred to an unknown manufacturer of the previous COVID-19 vaccines. One of the 30 cases was notable for concurrent thrombocytopenia:

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

1. A 65-year-old woman with HTN, DM and valvular cardiac disease (echocardiogram in 2019) was reported to have previous AstraZeneca (Dose 1) and Comirnaty (Dose 2) COVID-19 vaccines. She also had asymptomatic but PCR positive COVID-19, 8 days prior to receiving Dose 3 (Bivalent Comirnaty) and concomitant influenza (Seqirus) vaccination. Twenty-one days later she presented to the emergency room with hemiparesis and report of cough and congestion since the last vaccination. She underwent a CT angiography and was found to have thrombi in the aortic arch and descending aorta and an embolic left hemisphere ischemic stroke. A complicated hospitalization ensued, and she was reported to have a “multifactorial” thrombocytopenia (platelets ranged from 88 K to 114 K) and DIC. She died after 8 days in the hospital and the reported cause of death was thromboembolic stroke. It was not reported if an autopsy was performed.
- Four cardiac ischemic events occurred in this dataset. One case was already noted in the description of arterial cerebrovascular events above. The remaining 3 cases described a 64-year-old male smoker with HC and HTN who had Bivalent Comirnaty (Dose 4) and 16 days later was reported to have an AMI and cardiac failure with a ventricular thrombus; he recovered with sequelae; a 53-year-old male with Factor V Leiden mutation who had Bivalent Comirnaty (Dose 4) and unknown manufacturer of previous COVID-19 vaccinations, and 1 day later was reported to have an acute right coronary artery occlusion; he recovered. The remaining case was notable for concomitant thrombocytopenia:
 1. An 80-year-old woman with a history of HC and HTN and no history of known COVID-19 received Dose 5 of Bivalent Comirnaty and 1 day later was brought to the hospital for chest pain; she was reported to have had exertional chest pressure prior to this incident. She had received influenza vaccine (manufacturer Biken) 26 days prior to Dose 5 of Bivalent Comirnaty. She underwent a cardiac catheterization and coronary artery stent placement and was in the ICU for 2 days. She was reported to also have TTS (outcome unknown), however there are no clinical details, lab values (such as platelet count or APF4) or discussion of the basis for the diagnosis. She was recovering from the AMI at the time of the report.
 - One TIA was described in an 80-year-old woman with a history of carotid endarterectomy and stroke who had 3 previous Comirnaty vaccinations; she was reported to have a TIA (recovered) on the same day as Dose 4.

3.2.3.2. Venous Events (13 Cases)

- Ten reports described PEs, DVTs, or unspecified extremity thrombosis in patients ranging in age from 50 to 80 years of age. Time to onset ranged from the same day of vaccination to 14 days after vaccination (3 unspecified); and the events occurred after Dose 4 in 5 cases and Dose 5 in 2 cases (3 unspecified). One case of PE and 1 of

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

superficial thrombosis provided very little clinical detail; the remaining cases described patients with risk factors such as HTN, cancer, DM, obesity, history of thrombophlebitis and Factor V Leiden mutation. Three patients were recovered or recovering, 2 had not recovered at the time of the report and outcome was unknown in 5 cases. One case was notable for concomitant thrombocytopenia:

1. A 70-year-old man with a risk factor of prostate cancer on hormone therapy received Dose 5 of COVID-19 vaccination with Bivalent Comirnaty (previous COVID-19 vaccinations from an unknown manufacturer). The following day he noted exertional shortness of breath and 8 days after vaccination he was hospitalized and diagnosed with PE and DVT. His platelet count on the day of admission was 18.6 K and although he was reported to have TTS, it was noted that Anti-PF4 antibody and anti-HIT antibody tests were not performed. The patient had no history of heparin administration and DIC and antiphospholipid syndrome were reported to be excluded. The patient was recovering at the time of the report.
- Two reports described CNS venous thrombosis
 1. A 62-year-old woman with history of cerebrovascular arteriovenous malformation and previous cerebral thrombosis had an unspecified dose of Bivalent Comirnaty (primary COVID-19 vaccine series from an unknown manufacturer) and 3 days later was hospitalized for dizziness and cerebral thrombosis. CT and MRI results were not provided. She was started on unspecified anticoagulation therapy and outcome was not recovered at the time of the report.
 2. A 72-year-old woman with HC and HTN received Bivalent Comirnaty (Dose 4); previous COVID-19 vaccines included Spikevax for Doses 1, 2 and 3. Two days later, he was hospitalized due to a transient expressive “phatic” with impaired psychomotor skills. She was reported to have acute thrombosis of cortical veins (fronto-dorsal and parietal) bilaterally and subarachnoid hemorrhage. She was recovering at the time of the report.
 - One report described a thrombosed hemorrhoid in a 42-year-old male with rheumatoid arthritis and a recent 200 km bicycle ride, 6 days after Dose 4 of Bivalent Comirnaty (previous COVID-19 vaccines were from unknown manufacturer); outcome was unknown.

3.2.3.3. Unspecified or Mixed Arterial-Venous Events (10 Cases)

- Nine cases reported unspecified thromboembolic events or cerebrovascular disorders. Three of the 9 cases provided scant detail (PTs Thrombosis, Cerebrovascular disorder) precluding meaningful assessment (outcomes unknown). The remaining 6 cases described unspecified thromboembolic (1 cases), cerebrovascular or cardiovascular disorders (2 cases), DIC following streptococcal sepsis (1 case) and

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

intracranial hemorrhagic events (2 cases) in patients ranging in age from 66 to 87 years of age occurring from the same day up to 25 days after Bivalent Comirnaty vaccination. Five of the 6 cases provided medical histories such as stroke, cancer, HTN, DM, Parkinson's disease, atrial fibrillation, except for 1 case with no relevant medical history that would be considered a risk factor for thromboembolic events; 4 cases had an outcome of death and 2 of recovering. Four of the 6 cases described previous COVID-19 vaccinations from an unknown manufacturer. One of the 6 cases was notable for concomitant thrombocytopenia:

1. A 79-year-old woman with a history of breast cancer, lymph node excision and recurrent left upper extremity cellulitis received Dose 5 of COVID-19 vaccination with Bivalent Comirnaty (previous COVID-19 vaccines were from unknown manufacturer). The day after vaccination the patient had injection site pain, redness and swelling and malaise and the following day had lower extremity weakness. She presented to the ED and was found to have abnormal labs including platelet count of 7.2 K (nadir 1.9 K). She was hospitalized in the ICU for sepsis from cellulitis with purpura at the vaccination site on her left arm and redness spreading to her trunk. She died the following day of multi-organ failure from a severe invasive streptococcal infection. Autopsy was not performed.
- One case described both an arterial (cerebral infarction) and venous (PE) event and was also notable for thrombocytopenia.
 1. An 84-year-old woman with an extensive medical history including polyarthrosis, DVT and PE on anticoagulation received her influenza vaccine and Bivalent Comirnaty (COVID-19 vaccine Dose 4); previous vaccinations had been with Comirnaty. At the same time, she also switched from LMWH to edoxaban for anticoagulation. One day following vaccination, she was brought to the ED for disorientation. A head CT showed no acute hemorrhage or ischemia however there were subacute/chronic small infarcts in the regions of the anterior and posterior circulation that appeared to be of an embolic nature. Her hospitalization was complicated by stress cardiomyopathy and HIT and she died after 8 days of hospitalization. The reported cause of death was "multiple cerebral infarcts of embolic etiology within systemic coagulopathy."

3.3. CLINICAL TRIAL DATA

In the current Pfizer-run clinical trials studying Bivalent BNT162b2 (Original and Omicron BA.4/BA.5) vaccine, Study C4591044 and Study C4591048, there have been no thromboembolic events reported in participants to date.

3.4. LITERATURE

Pfizer searched the literature databases Medline, Biosis and Embase for bivalent BNT162b2 BA.4/BA.5 (Tozinameran/Famtozinameran) and embolic and thrombotic events, including

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

ischemic stroke, TIAs, CVST and PE as well as vaccine-induced immune thrombotic thrombocytopenia and TTS. No relevant articles were retrieved.

3.5. OBSERVED TO EXPECTED ANALYSES

The MAH conducted unadjusted O/E analyses for embolic and thrombotic cases reported cumulatively through 18 Dec 2022 in the US and EEA (US/EEA). Observed cases were defined using the PTs provided in [Appendix 4 Table 3](#). In [Table 1](#), O/E results using 21- and 42-day risk windows post Pfizer-BioNTech Bivalent Omicron BA.4/BA.5 vaccines are provided using select population-based background rates for calculation of the expected cases in the denominator and all spontaneous reports of observed cases reported in the US/EEA in the numerator. Analyses were restricted to the US/EEA only because data specific to the Pfizer-BioNTech Bivalent Omicron BA.4/BA.5 were available for these regions.

Sources of background incidence rates for embolic and thrombotic events are referenced in [Table 1](#). Where available, rates were obtained from the ACCESS project, as recommended by guidance from European Medicines Agency¹. ACCESS includes a consortium of 10 data sources from 7 European countries (Denmark, Germany, France, Italy, Netherlands, Spain, United Kingdom). These data sources include health insurance data (GePaRD, SNDS), hospitalization record linkage data (PHARMO, Danish registries [DCE-AU], SIDIAP, ARS), or data from general practitioners (CPRD, PEDIANET, BIFAP, FISABIO). A rate from a single data provider was selected for each AESI based on the range of observed values, type of care typically sought for the AESI (eg, hospital or general practitioner), and relevant characteristics of the databases, as described in the ACCESS User Guide.² In general, a data provider with a mid-range rate within these criteria was chosen for the primary overall analyses. Incidence rates were then averaged for the most recent 3 years of data available prior to 2020 within each data access provider. For AESIs not available through the ACCESS project, incidence rates were identified in the literature. If a plausible range of background rates was identified across multiple data sources, a single rate from the low end of the range was selected. This conservative approach is more likely to identify a signal than an approach using a higher background rate.

The expected case counts were calculated using the background incidence rates, the estimated number of Pfizer-BioNTech bivalent Omicron BA.4/BA.5 vaccine doses reported through 15 Dec 2022,^{3,4} and the length of risk windows. The estimate of administered doses does not reflect COVID-19 vaccine doses administered in countries that did not publicly report.

Based on the observed cases through 18 Dec 2022, selected background rates, and the estimated number of exposure PY through 15 Dec 2022, O/E ratios were well below 1 for both risk windows of 21- and 42-days. This suggests that the number of observed cases is not higher than expected in the absence of Pfizer-BioNTech Bivalent Omicron BA.4/BA.5 vaccines overall and within the queried strata.

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

Table 1. Observed to Expected (O/E) Analyses for Spontaneously Reported Cases of Embolic and Thrombotic Events After the Pfizer-BioNTech Bivalent Omicron BA.4/BA.5 Vaccine in US/EEA Countries, Cumulative Period Through 18 Dec 2022

| Stratification | Observed Cases | Time at risk (PY) ^a | Background Rate Per 100,000 PY | Expected Cases | O/E Ratio | 95% CI LL | 95% CI UL |
|--------------------------------------|----------------|--------------------------------|--------------------------------|----------------|-----------|-----------|-----------|
| 21-day risk window | | | | | | | |
| US/EEA | | | | | | | |
| Arterial thromboembolism, broad | 19 | 2,276,515 | 323.67 ⁵ | 7,368 | 0.003 | 0.002 | 0.004 |
| Arterial thromboembolism, narrow | 3 | 2,276,515 | 323.67 ⁵ | 7,368 | 0.000 | 0.000 | 0.001 |
| CVST | 1 | 2,276,515 | 0.76 ⁵ | 17 | 0.058 | 0.001 | 0.322 |
| Coronary artery disease | 1 | 2,276,515 | 175.95 ⁶ | 4,006 | 0.000 | 0.000 | 0.001 |
| DVT | 13 | 2,276,515 | 50.00 ⁷ | 1,138 | 0.011 | 0.006 | 0.020 |
| Ischemic stroke | 31 | 2,276,515 | 237.40 ⁵ | 5,404 | 0.006 | 0.004 | 0.008 |
| Limb ischemia | 1 | 2,276,515 | 260.00 ⁸ | 5,919 | 0.000 | 0.000 | 0.001 |
| Pulmonary embolus | 34 | 2,276,515 | 30.00 ⁷ | 683 | 0.050 | 0.034 | 0.070 |
| Thrombotic thrombocytopenia syndrome | 0 | 2,276,515 | 2.39 ⁵ | 54 | -- | -- | -- |
| Venous thromboembolism, broad | 61 | 2,276,515 | 209.38 ⁵ | 4,767 | 0.013 | 0.010 | 0.016 |
| Venous thromboembolism, narrow | 50 | 2,276,515 | 209.38 ⁵ | 4,767 | 0.010 | 0.008 | 0.014 |
| 42-day | | | | | | | |
| US/EEA | | | | | | | |
| Arterial thromboembolism, broad | 21 | 4,063,581 | 323.67 ⁵ | 13,153 | 0.002 | 0.001 | 0.002 |
| Arterial thromboembolism, narrow | 3 | 4,063,581 | 323.67 ⁵ | 13,153 | 0.000 | 0.000 | 0.001 |
| CVST | 2 | 4,063,581 | 0.76 ⁵ | 31 | 0.065 | 0.008 | 0.234 |
| Coronary artery disease | 1 | 4,063,581 | 175.95 ⁶ | 7,150 | 0.000 | 0.000 | 0.001 |
| DVTs | 14 | 4,063,581 | 50.00 ⁷ | 2,032 | 0.007 | 0.004 | 0.012 |
| Ischemic stroke | 33 | 4,063,581 | 237.40 ⁵ | 9,647 | 0.003 | 0.002 | 0.005 |
| Limb ischemia | 1 | 4,063,581 | 260.00 ⁸ | 10,565 | 0.000 | 0.000 | 0.001 |
| Pulmonary embolus | 37 | 4,063,581 | 30.00 ⁷ | 1,219 | 0.030 | 0.021 | 0.042 |
| Thrombotic thrombocytopenia syndrome | 0 | 4,063,581 | 2.39 ⁵ | 97 | -- | -- | -- |
| Venous thromboembolism, broad | 70 | 4,063,581 | 209.38 ⁵ | 8,508 | 0.008 | 0.006 | 0.010 |
| Venous thromboembolism, narrow | 56 | 4,063,581 | 209.38 ⁵ | 8,508 | 0.007 | 0.005 | 0.005 |

a. Exposure data through 15 Dec 2022

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

The MAH is also including observed versus expected analyses for ischemic stroke with detail by age stratification Table 2. All O/E ratios are well below 1 for both 21-day and 42-day risk windows.

Table 2. Observed to Expected (O/E) Analyses for Spontaneously Reported Cases of Ischemic Stroke After the Pfizer-BioNTech Bivalent Omicron BA.4/BA.5 Vaccine in US/EEA Countries, Cumulative Period Through 18 Dec 2022

| Stratification | Observed Cases | Time at risk (PY) ^a | Background Rate Per 100,000 PY | Expected Cases | O/E Ratio | 95% CI LL | 95% CI UL |
|-----------------|----------------|--------------------------------|--------------------------------|----------------|--------------|-----------|-----------|
| 21-day | | | | | | | |
| US/EEA | | | | | | | |
| <5 years | 0 | 0 | 1.93 | 0.00 | -- | -- | -- |
| 5-11 years | 0 | 27,448 | 1.93 | 0.53 | -- | -- | -- |
| 12_17 years | 0 | 50,403 | 1.93 | 0.97 | -- | -- | -- |
| 18_24 years | 0 | 60,915 | 5.27 | 3.21 | -- | -- | -- |
| 25_49 years | 3 | 429,459 | 18.96 | 81.43 | 0.037 | 0.008 | 0.108 |
| 50_59 years | 3 | 353,979 | 81.86 | 289.77 | 0.010 | 0.002 | 0.030 |
| 60+ years | 25 | 1,354,311 | 544.59 | 7375.37 | 0.003 | 0.002 | 0.005 |
| Overall, US/EEA | 31 | 2,276,515 | 237.40 | 5404.45 | 0.006 | 0.004 | 0.008 |
| 42-day | | | | | | | |
| US/EEA | | | | | | | |
| <5 years | 0 | 0 | 1.93 | 0.00 | -- | -- | -- |
| 5-11 years | 0 | 49,869 | 1.93 | 0.96 | -- | -- | -- |
| 12_17 years | 0 | 91,224 | 1.93 | 1.76 | -- | -- | -- |
| 18_24 years | 0 | 109,656 | 5.27 | 5.78 | -- | -- | -- |
| 25_49 years | 3 | 771,692 | 18.96 | 146.31 | 0.021 | 0.004 | 0.060 |
| 50_59 years | 4 | 626,942 | 81.86 | 513.21 | 0.008 | 0.002 | 0.020 |
| 60+ years | 26 | 2,414,198 | 544.59 | 13147.36 | 0.002 | 0.001 | 0.003 |
| Overall, US/EEA | 33 | 4,063,581 | 237.40 | 9646.94 | 0.003 | 0.002 | 0.005 |

a. Exposure data through 15 Dec 2022

There are several limitations to O/E analyses for signal detection. The observed case counts are likely to be underestimated due to the spontaneous reporting nature with passive safety surveillance. Additional reasons for underestimations include incomplete reporting and lags in reporting. Spontaneous reporting systems are prone to reporting bias whereby events that have been previously identified as potentially related to vaccine are more likely to be reported even if they do not meet the clinical definition. Conversely, events that have not been previously associated with a vaccine are more likely to be underreported due to lack of recognition of a potential association. Furthermore, some observed cases were missing time to onset information. Missing values were imputed according to the known distribution of time to onset among observed cases.

Regarding the expected case counts, estimates of both exposure to vaccine and the background rate have limitations. The exposure estimate assumes that the number of reported

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

vaccine administrations is complete and accurate when in fact not all countries administering vaccine have reported to the data source. Also, country-specific⁹ dose volume data are dynamic and specific to the date of download from the websites, and subject to retrospective updates at the country level. The expected count also assumes the background rates of the COVID-19 vaccinated population in the absence of vaccination is the same as those in the historical cohort. The background rates used in these analyses are derived from studies prior to the COVID-19 era and from individual countries. It is possible that the delivery of healthcare, population demographics, and the underlying health status of the populations used for the background rate estimates differ from those expected in the vaccinated population.

The risk windows for embolic and thrombotic events following Pfizer-BioNTech COVID-19 vaccines are unclear. Misspecification of risk windows could potentially under-estimate the risk estimates. We queried 21- and 42-day risk windows to cover a wide range of periods during which one is expected to be at risk of this acute event if there is a causal association between the event and vaccination.

3.6. SUMMARY AND CONCLUSION

Based on the totality of safety information available, thromboembolic events have not been identified as a risk with the use of Comirnaty (Original) vaccine.

The safety information available for Bivalent BNT162b2 (Original and Omicron BA.4/BA.5) vaccine and thromboembolic events at this time includes clinical trial data for ongoing Pfizer-run trials, in which thromboembolic events have not so far been reported, O/E analyses which are well below 1 for these events, and post-authorization reports from the Pfizer safety database which have not shown thromboembolic events to have an EB05>2. Upon individual review of the cumulative thromboembolic event reports for Bivalent Comirnaty in the Pfizer safety database, those providing sufficient detail generally describe patients with risk factors for such events. No other notable trends in the cases (eg, dose number, manufacturer, concomitant influenza vaccination) were identified. There is no evidence at this time that thromboembolic events, including ischemic stroke, are a safety signal or risk of Bivalent Comirnaty. These events will continue to be reviewed per routine pharmacovigilance.

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

4. APPENDICES

4.1. Appendix 1: Death Cases - Arterial

[Narrative Line Listing Arterial Embolic NLL](#)

4.2. Appendix 2: Death Cases - Venous

[Narrative Line Listing Venous Embolic_NLL](#)

4.3. Appendix 3: Death Cases - Unspecified/Mixed

[Narrative Line Listing Unspecified Mixed Embolic_NLL](#)

4.4. Appendix 4: PTs Used to Identify Spontaneously Reported Embolic and Thrombotic Events for O/E Analyses

| Table 3. Preferred Terms (PT) Used to Identify Spontaneously Reported Embolic and Thrombotic Events | |
|--|--|
| AESI | PTs |
| Arterial thromboembolism, broad | Administration site thrombosis, Adrenal thrombosis, Aneurysm thrombosis, Antiphospholipid syndrome, Aortic aneurysm thrombosis, Aortic embolus, Aortic thrombosis, Application site thrombosis, Arterial thrombosis, Arteriovenous fistula thrombosis, Arteriovenous graft site stenosis, Arteriovenous graft thrombosis, Atheroembolism, Atrial thrombosis, Basilar artery thrombosis, Blue toe syndrome, Brain stem embolism, Brain stem thrombosis, Cardiac ventricular thrombosis, Carotid arterial embolus, Carotid artery thrombosis, Cerebellar artery thrombosis, Cerebellar embolism, Cerebral artery embolism, Cerebral artery thrombosis, Cerebral microembolism, Cerebral septic infarct, Cerebral thrombosis, Cerebrospinal thrombotic tamponade, Coronary artery embolism, Coronary artery thrombosis, Coronary bypass thrombosis, Device related thrombosis, Disseminated intravascular coagulation, Disseminated intravascular coagulation in newborn, Embolia cutis medicamentosa, Embolic cerebellar infarction, Embolic cerebral infarction, Embolic stroke, Embolism, Embolism arterial, Femoral artery embolism, Graft thrombosis, Heparin-induced thrombocytopenia, Hepatic artery embolism, Hepatic artery thrombosis, Hepatic vascular thrombosis, Hypothenar hammer syndrome, Iliac artery embolism, Implant site thrombosis, Infective thrombosis, Infusion site thrombosis, Injection site thrombosis, Instillation site thrombosis, Intracardiac mass, Intracardiac thrombus, Intrapericardial thrombosis, Lamb's excrescences, Mahler sign, Medical device site thrombosis, Mesenteric artery embolism, Mesenteric artery thrombosis, Microembolism, Moyamoya disease, Ophthalmic artery occlusion, Ophthalmic artery thrombosis, Ophthalmic vascular thrombosis, Paraneoplastic thrombosis, Paroxysmal nocturnal haemoglobinuria, Peripheral artery thrombosis, Peripheral embolism, Post thrombotic retinopathy, Post thrombotic syndrome, Postoperative thrombosis, Precerebral artery embolism, Precerebral artery thrombosis, Prosthetic cardiac valve thrombosis, Renal artery thrombosis, Renal embolism, Renal vascular thrombosis, Renal-limited thrombotic microangiopathy, Retinal artery embolism, Retinal artery occlusion, Retinal artery thrombosis, Retinal vascular thrombosis, Septic embolus, Shunt thrombosis, Spinal artery embolism, Spinal artery thrombosis, Splenic artery thrombosis, Splenic embolism, Spontaneous heparin-induced thrombocytopenia syndrome, Subclavian artery embolism, Subclavian |

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

| | |
|--------------------------------------|---|
| | artery thrombosis, Thrombosis, Thrombosis in device, Thrombosis mesenteric vessel, Thrombotic cerebral infarction, Thrombotic microangiopathy, Thrombotic stroke, Truncus coeliacus thrombosis, Umbilical cord thrombosis, Vascular graft thrombosis, Vascular pseudoaneurysm thrombosis, Vascular stent thrombosis, Vertebral artery thrombosis |
| Arterial thromboembolism, narrow | Aneurysm thrombosis, Basilar artery thrombosis, Brain stem embolism, Brain stem thrombosis, Carotid arterial embolus, Carotid artery thrombosis, Cerebellar artery thrombosis, Cerebellar embolism, Cerebral artery embolism, Cerebral artery thrombosis, Cerebral microembolism, Cerebral septic infarct, Coronary artery embolism, Coronary artery thrombosis, Coronary bypass thrombosis, Embolic cerebellar infarction, Embolic cerebral infarction, Embolic stroke, Precerebral artery embolism, Precerebral artery thrombosis, Thrombotic cerebral infarction, Thrombotic stroke, Vertebral artery thrombosis |
| Coronary artery disease | Coronary artery disease |
| Cerebral venous sinus thrombosis | Cerebral venous sinus thrombosis, Cerebral venous thrombosis, Sigmoid sinus thrombosis, Superior sagittal sinus thrombosis, Transverse sinus thrombosis |
| Deep vein thrombosis | Deep vein thrombosis |
| Ischemic stroke | Basal ganglia infarction, Basal ganglia stroke, Basilar artery thrombosis, Brain stem stroke, Cerebral infarction, Cerebral thrombosis, Cerebral venous sinus thrombosis, Cerebrovascular accident, Ischaemic stroke, Lacunar infarction, Pituitary infarction, Thalamic stroke, Thrombotic stroke |
| Limb ischemia | Peripheral ischaemia |
| Pulmonary embolus | Pulmonary embolism |
| Thrombotic thrombocytopenia syndrome | Thrombosis with thrombocytopenia syndrome |
| Venous thromboembolism, broad | Administration site thrombosis, Adrenal thrombosis, Antiphospholipid syndrome, Application site thrombosis, Arterial thrombosis, Arteriovenous fistula thrombosis, Arteriovenous graft site stenosis, Arteriovenous graft thrombosis, Aseptic cavernous sinus thrombosis, Axillary vein thrombosis, Brachiocephalic vein thrombosis, Budd-Chiari syndrome, Catheter site thrombosis, Cavernous sinus thrombosis, Cerebral thrombosis, Cerebral venous sinus thrombosis, Cerebral venous thrombosis, Cerebrospinal thrombotic tamponade, Deep vein thrombosis, Deep vein thrombosis postoperative, Device related thrombosis, Disseminated intravascular coagulation, Disseminated intravascular coagulation in newborn, Embolic pneumonia, Embolism, Embolism venous, Graft thrombosis, Heparin-induced thrombocytopenia, Hepatic vascular thrombosis, Hepatic vein embolism, Hepatic vein thrombosis, Implant site thrombosis, Infective thrombosis, Infusion site thrombosis, Injection site thrombosis, Instillation site thrombosis, Jugular vein embolism, Jugular vein thrombosis, Lemierre syndrome, Mahler sign, Medical device site thrombosis, Mesenteric vein embolism, Mesenteric vein thrombosis, Metastatic pulmonary embolism, Microembolism, Obstetrical pulmonary embolism, Ophthalmic vein thrombosis, Ovarian vein thrombosis, Paget-Schroetter syndrome, Papillophlebitis, Paradoxical embolism, Paraneoplastic thrombosis, Paroxysmal nocturnal haemoglobinuria, Pelvic venous thrombosis, Penile vein thrombosis, Peripheral vein thrombosis, Peripheral vein thrombus extension, Portal pyaemia, Portal vein embolism, Portal vein thrombosis, Portosplenomesenteric venous thrombosis, Post procedural pulmonary embolism, Post thrombotic retinopathy, Post thrombotic syndrome, Postoperative thrombosis, Postpartum thrombosis, Postpartum venous thrombosis, Pulmonary artery thrombosis, Pulmonary embolism, Pulmonary microemboli, Pulmonary thrombosis, Pulmonary tumour thrombotic microangiopathy, Pulmonary veno-occlusive disease, Pulmonary venous thrombosis, Renal vascular thrombosis, Renal vein embolism, Renal vein |

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
 Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
 Response to FDA request for information (received 20 December 2022)
 Thromboembolic events

January 2022

| | |
|--------------------------------|---|
| | thrombosis, Retinal vascular thrombosis, Retinal vein occlusion, Retinal vein thrombosis, Septic embolus, Septic pulmonary embolism, Shunt thrombosis, Sigmoid sinus thrombosis, Spermatic vein thrombosis, Splenic thrombosis, Splenic vein thrombosis, Spontaneous heparin-induced thrombocytopenia syndrome, Stoma site thrombosis, Subclavian vein thrombosis, Superior sagittal sinus thrombosis, Thrombophlebitis, Thrombophlebitis migrans, Thrombophlebitis neonatal, Thrombophlebitis septic, Thrombosis, Thrombosis corpora cavernosa, Thrombosis in device, Thrombosis mesenteric vessel, Transverse sinus thrombosis, Umbilical cord thrombosis, Vaccination site thrombosis, Vascular graft thrombosis, Vascular stent thrombosis, Vena cava embolism, Vena cava thrombosis, Venous recanalisation, Venous thrombosis, Venous thrombosis in pregnancy, Venous thrombosis limb, Venous thrombosis neonatal, Vessel puncture site thrombosis, Visceral venous thrombosis |
| Venous thromboembolism, narrow | Axillary vein thrombosis, Brachiocephalic vein thrombosis, Catheter site thrombosis, Cerebral venous sinus thrombosis, Cerebral venous thrombosis, Deep vein thrombosis, Deep vein thrombosis postoperative, Embolic pneumonia, Jugular vein embolism, Jugular vein thrombosis, Lemierre syndrome, Metastatic pulmonary embolism, Obstetrical pulmonary embolism, Paget-Schroetter syndrome, Peripheral vein thrombosis, Peripheral vein thrombus extension, Post procedural pulmonary embolism, Pulmonary artery thrombosis, Pulmonary embolism, Pulmonary microemboli, Pulmonary thrombosis, Pulmonary tumour thrombotic microangiopathy, Pulmonary veno-occlusive disease, Pulmonary venous thrombosis, Sigmoid sinus thrombosis, Subclavian vein thrombosis, Superior sagittal sinus thrombosis, Thrombophlebitis, Thrombophlebitis migrans, Thrombophlebitis neonatal, Thrombophlebitis septic, Transverse sinus thrombosis, Venous thrombosis limb |

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

PFE/BNT COVID-19 mRNA Vaccine
Bivalent BNT162b2 (Original and Omicron BA.4/BA.5)
Response to FDA request for information (received 20 December 2022)
Thromboembolic events

January 2022

5. REFERENCES

- ¹ European Medicines Agency. Consideration on core requirements for PSURs of COVID19 vaccines. 8 Jul 2021. Available from: https://www.ema.europa.eu/en/documents/scientific-guideline/consideration-core-requirements-psurs-covid-19-vaccines_en.pdf. Accessed on: 17 Jan 2023.
- ² ACCESS background incidence rates of AESIs – User guide. Available from: http://www.encepp.eu/documents/ACCESSbackgroundrates-Userguide_000.pdf. Accessed on: 17 Jan 2023.
- ³ European Centre for Disease Prevention and Control. Data on COVID-19 vaccination in the EU/EEA. Available from: <https://www.ecdc.europa.eu/en/publications-data/data-covid-19-vaccination-eu-eea>. Accessed on: 20 Dec 2022.
- ⁴ Centers for Disease Control and Prevention. Demographic Characteristics of People Receiving COVID-19 Vaccinations in the United States. Available from: <https://covid.cdc.gov/covid-data-tracker/#vaccination-demographic>. Accessed on: 20 Dec 2022.
- ⁵ Willame C, Dodd C, Gini R, et al. Background rates of Adverse Events of Special Interest for monitoring COVID-19 vaccine, Narrow Algorithm ES SIDIAP PCHOSP. Available from: http://www.encepp.eu/phact_links.shtml. Updated March 2021. Accessed on: 27 Aug 2021
- ⁶ ACCESS Background rates of adverse events of special interest (AESIs) for COVID-19 vaccines, AESIs (narrow codes) FISABIO 2017-2019. Available from: http://www.encepp.eu/phact_links.shtml. Updated March 2021. Accessed on: 27 Aug 2021.
- ⁷ [Heit JA. Epidemiology of venous thromboembolism. Nat Rev Cardiol. 2015;12\(8\):464-74.](#)
- ⁸ Duff S, Mafilios MS, Bhounsule P, et al. The burden of critical limb ischemia: a review of recent literature. Vasc Health Risk Manag. 2019;15:187-208. Available on request.
- ⁹ COVID-19 Vaccine Tracker | European Centre for Disease Prevention and Control (europa.eu). Available at: <https://vaccinetracker.ecdc.europa.eu/public/extensions/COVID-19/vaccine-tracker.html#notes-tab>. Accessed on: 19 Jan 2023.

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

Document Approval Record

| | |
|------------------------|---|
| Document Name: | January 2023 Covid-19 Vaccine FDA Information Request_CBER_VA ERS reports of embolic and thrombotic events |
| Document Title: | January 2023 Covid-19 Vaccine FDA Information Request_CBER_VA ERS reports of embolic and thrombotic events |

| Signed By: | Date(GMT) | Signing Capacity |
|-------------------|----------------------|-------------------------|
| Maroko, Robert T | 24-Jan-2023 16:31:53 | Business Line Approver |

090177e19c748208\Approved\Approved On: 24-Jan-2023 16:31 (GMT)

From: "Markowitz, Lauri (CDC/DDID/NCIRD/DVD)" [REDACTED]
To: "Anderson, Steven (FDA/CBER)" [REDACTED], "Beresnev, Tatiana (NIH) [C]" [REDACTED], "Bridges, Carolyn (CDC/DDID/NCIRD/OD) (CTR)" [REDACTED], "Broder, Karen (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Clark, Matthew (IHS/AKA/AO)" [REDACTED], "Collins, Limone" [REDACTED], "Cunningham, Fran" [REDACTED], "Daley, Matt" [REDACTED], "Edwards, Kathy" [REDACTED], "Farizo, Karen (FDA/CBER)" [REDACTED], "Forshee, Richard (FDA/CBER)" [REDACTED], "Gee, Julianne (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Godfrey, Monica (CDC/DDID/NCIRD/DVD)" [REDACTED], "Hamburger, Tanya (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Hause, Anne M. (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Helfand, Rita (CDC/DDID/NCEZID/OD)" [REDACTED], "Hopkins, Bob" [REDACTED], "Jackson, Lisa" [REDACTED], Jennifer Nelson [REDACTED], "Joseline Zafack" [REDACTED], "Kelman, Jeffrey (CMS/CM)" [REDACTED], "Lee, Grace" [REDACTED], "Lu, Yun (CBER) (FDA/CBER)" [REDACTED], "MacNeil, Jessica R. (CDC/DDID/NCIRD/OD)" [REDACTED], Margaret Ryan [REDACTED], Margaret Ryan [REDACTED], "Marquez, Paige L. (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Marshall, Valerie (HHS/OASH)" [REDACTED], "McNally, Veronica" [REDACTED], "McNeil, Michael (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Meyer, Sarah (CDC/DDID/NCIRD/ISD)" [REDACTED], "Moorer, Alanna (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Moro, Pedro (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Myers, Tanya R. (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Nair, Narayan (FDA/CBER)" [REDACTED], "Oliver, Sara Elizabeth (CDC/DDID/NCIRD/DVD)" [REDACTED], "Oster, Matt (CDC/DDID/NCBDDD/DBDID) (CTR)" [REDACTED], "Patricia Whitley-Williams" [REDACTED], "Riley, Laura" [REDACTED], "Scarbrough, Sierra (CDC/DDID/NCIRD/OD) (CTR)" [REDACTED], "Schechter, Robert" [REDACTED], "Sharan, Martha (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Shay, David (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Shimabukuro, Tom (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Shoaibi, Azadeh (FDA/CBER)" <Azadeh.Shoaibi@fda.hhs.gov>, "Styles, Timothy (HRSA)" [REDACTED], "Su, John (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Talbot, Keipp" [REDACTED], "Weintraub, Eric (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Wharton, Melinda (CDC/DDID/NCIRD/OD)" [REDACTED], "Whittaker, Christine (CDC/NIOSH/DSI)" [REDACTED], "Wong, Hui-Lee (FDA/CBER)" [REDACTED], "Woo, Jared (CDC/DDID/NCEZID/DHQP)" [REDACTED], "Young, Mardia (CDC/DDID/NCEZID/DHQP) (CTR)" [REDACTED]

Subject: [EXTERNAL] VaST - Draft minutes and report from February 27, 2023 (CONFIDENTIAL)

Date: Fri, 3 Mar 2023 13:55:18 +0000

Importance: Normal

Attachments: 2023-02-27_-_VaST_minutes_draft_confidential.docx; 2023-02-27_-_VaST_Report_and_Data_Table_draft_confidential.docx

sender and know the content is safe.

Dear VaST members and participants,

Attached are the draft minutes and report from the VaST call this week. Please let us know if there are any corrections or edits.

The next VaST calls this month are:

March 20 – DoD summary presentation

March 27 – VSD summary presentation

Regards and many thanks to all,

Lauri Markowitz and Melinda Wharton

Lauri Markowitz, MD

VaST Co-Lead

CDC COVID-19 Response, Vaccine Task Force

Division of Viral Diseases

National Center for Immunization and Respiratory Diseases

Centers for Disease Control and Prevention

**VaST meeting notes
February 27, 2023
Confidential - DRAFT**

Presentations and verbal updates are briefly summarized in meeting notes. Chat notes not answered verbally on the call are available and some have been incorporated into the minutes.

Participants

Workgroup members: Kathy Edwards, Bob Hopkins (NVAC-chair), Veronica McNally, Jennifer Nelson, Laura Riley, Rob Schechter, Pat Whitley-Williams

Ex officio and liaison participants: Tatiana Beresnev (NIH), Matthew Clark (IHS), Karen Farizo (FDA), Jeff Kelman (CMS), Valerie Marshall (HHS), Timothy Styles (HRSA)

Federal Partners: Fran Cunningham (VA), Margaret Ryan (DoD)

CDC: Karen Broder, Julianne Gee, Monica Godfrey, Anne Hause, Rita Helfand, Lauri Markowitz (CDC Co-lead), Paige Marquez, Mike McNeil, Alanna Moorer, Pedro Moro, Sara Oliver, Sierra Scarbrough, David Shay, Tom Shimabukuro, John Su, Evelyn Twentyman, Eric Weintraub, Melinda Wharton (CDC Co-lead), Jared Woo

Technical SMEs: Steve Anderson (FDA), Rich Forshee (FDA), Yun Lu (FDA), Azadeh Shoaibi (FDA)

Agenda

Update on Original COVID-19 Vaccine and COVID-19 Vaccine Bivalent Safety, Dr. Rich Forshee, FDA

Administrative issues and announcements - Co-chairs and Co-leads

- Reminders about COI and confidentiality
- Next planned VaST meetings are on 3/20 and 3/27
- Doses distributed: 963,131,415; Doses administered: 671,582,379 (last updated: February 24)
 - Doses distributed: Pfizer-BioNTech: 580,931,295; Pfizer-BioNTech(bivalent): 79,726,460; Moderna: 349,537,120; Moderna (bivalent): 37,655,900; Janssen/J&J: 31,547,200; Novavax: 1,115,800; Other: N/A
 - Doses administered: Pfizer-BioNTech: 400,441,248; Pfizer-BioNTech(bivalent): 34,314,082; Moderna: 251,252,512; Moderna (bivalent): 19,444,661; Janssen/J&J: 18,979,373; Novavax: 79,668; Other: 829,578
 - At least one dose: 269,459,752; Primary series: 229,996,296; Bivalent booster dose: 53,350,658
 - These data are posted on the CDC website and are updated regularly (https://covid.cdc.gov/covid-data-tracker/#vaccinations_vacc-total-admin-rate-total).

Update on Original COVID-19 Vaccine and COVID-19 Vaccine Bivalent Safety – Dr. Rich Forshee (FDA)
Dr. Forshee presented a brief overview of the CBER Active Surveillance Program (BEST Initiative) and then summarized safety surveillance analyses for: 1) adverse events following bivalent COVID-19 mRNA vaccine booster doses in a rapid cycle analysis (RCA), and 2) self-controlled analysis for potential adverse events after monovalent COVID-19 mRNA vaccines.

In the RCA, several age groups were evaluated. There were 4.3 million persons aged 65+ years who received the bivalent Pfizer-BioNTech bivalent vaccine and 3.0 million persons aged 65+ years who

received the bivalent Moderna vaccine. There was no signal for non-hemorrhagic stroke (not including transient ischemic attack) or any other adverse event of special interest following the bivalent COVID-19 vaccine in the 65+ year population. In the database, 38% of Medicare recipients who received a bivalent Pfizer-BioNTech COVID-19 booster received a seasonal influenza vaccination on the same day. There was no signal for non-hemorrhagic stroke in the 65+ year population following concomitant influenza vaccination. In the 18–35 year-old population, there was a signal for myocarditis following bivalent Pfizer-BioNTech vaccination. FDA is planning a formal epidemiologic study of COVID-19 vaccine and influenza vaccine coadministration in 2023–2024.

The self-controlled analysis was conducted to follow-up potential associations for six adverse events following primary series and monovalent booster dose administration detected in the FDA RCA for the original monovalent COVID-19 mRNA vaccine in persons aged 65+ years. The self-controlled analysis did not find an increased risk for acute myocardial infarction, immune thrombocytopenia, disseminated intravascular coagulation, or myocarditis/pericarditis; results for pulmonary embolism were not consistent. There was a small, elevated risk of Bell’s palsy after COVID-19 mRNA vaccination. The results are currently on a pre-print server ([Evaluation of Potential Adverse Events Following COVID-19 mRNA Vaccination Among Adults Aged 65 Years and Older: A Self-Controlled Study in the U.S | medRxiv](#)).

Discussion and questions

1. Are statistical signals for myopericarditis attenuating going from primary series to the bivalent dose?
 - The sequential surveillance analysis isn’t designed to compare rates across different doses. The RCA analyzes outcomes compared to a threshold and cannot address trends or association.
2. Are there any hypotheses concerning the difference in findings between the first analyses for the monovalent COVID-19 vaccine primary series and in the self-controlled analyses?
 - It is possible that there is statistical noise, we may have not been able to control for time factors.
3. How appropriate are 2019 historical data for use during a pandemic with broad health effects?
 - FDA did a comprehensive study on historical rates before deciding to use 2019 data for sequential analysis. There were large variations in healthcare utilization compared to 2020.
4. Does FDA have plans to use other comparison groups for sequential analyses?
 - FDA is exploring use of concurrent comparator groups, similar to what is used in the Vaccine Safety Datalink.

**Combined Systems Safety Monitoring Report
February 27, 2023
Confidential – DRAFT**

The VaST session on February 27, 2023 included an FDA presentation on safety monitoring data for the bivalent COVID-19 vaccine booster vaccine and for the original COVID-19 vaccine.

Bivalent COVID-19 booster vaccination

VaST appreciated the overview of the FDA safety monitoring systems and the focused summary of the study design for the Rapid Cycle Analysis (RCA) near real-time surveillance in CMS, which uses historical comparators.

The RCA found a signal for myocarditis/pericarditis following the bivalent Pfizer-BioNTech COVID-19 booster among 18-35 year-olds. Among persons aged 65 years and older, there was no statistical signal for ischemic stroke after bivalent Pfizer-BioNTech or Moderna COVID-19 booster vaccination in any age group. (These data from CMS were presented to VRBPAC on January 26 and to ACIP on February 24.) The analysis in this age group also found no signal for any of the other prespecified outcomes. The following outcomes have completed their surveillance period: acute myocardial infarction, deep vein thrombosis, non-hemorrhagic stroke (for both bivalent Moderna and Pfizer BioNTech COVID-19 vaccine), Bell's palsy, pulmonary embolus, and common site thrombosis with thrombocytopenia (for bivalent Pfizer-BioNTech COVID-19 vaccine).

VaST felt the findings were reassuring regarding ischemic stroke in persons aged 65 years and older, particularly given the large number of bivalent COVID-19 vaccine booster doses in the CMS analysis (larger than for the Vaccine Safety Datalink [VSD]), but also expressed reservations recognizing the potential limitations given the historical comparators used. VaST members noted that FDA was discussing use of concomitant comparators, as does VSD, and felt that use of those would be helpful.

Although the CMS analysis did not find a statistical signal for ischemic stroke following bivalent Pfizer-BioNTech or Moderna COVID-19 booster vaccinations, due to the findings in VSD, FDA is planning a formal epidemiologic study regarding coadministration with influenza vaccine. VaST members felt this was an excellent next step and requested more information about plans for the study.

Evaluation of potential adverse events following monovalent COVID-19 mRNA vaccination among adults aged 65 years and older: a self-controlled study

Available at: <https://doi.org/10.1101/2023.01.19.23284803>

This study, now available as a pre-preprint, is a further evaluation of statistical signals identified by FDA earlier in CMS sequential analyses. In the further analyses presented to VaST, there was no increased risk for acute myocardial infarction, immune thrombocytopenia, myocarditis/pericarditis, or disseminated intravascular coagulation; results were not consistent for pulmonary embolus. There was a small, elevated risk of Bell's palsy after monovalent COVID-19 mRNA vaccine booster vaccination (both products).

VaST felt that a strength of the analysis is statistical power but a limitation is the lack of medical record review for Bell's palsy; review of a sample of records is still in progress. VaST requested information about whether other international safety monitoring systems had detected statistical signals for Bell's palsy.

Table 1. COVID-19 vaccine monitoring systems reviewed by the VaST – Pfizer BioNTech (recommended for use in persons age ≥ 6 months)

Red indicates updated or new data this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|----------------------|--|--|--|---|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Population data through 1/23/22 | All health events, adverse events of special interest ^a | US population | 313.0 million Pfizer-BioNTech doses administered | Descriptive and empirical Bayesian data mining and other | | Anaphylaxis associated with vaccination, first detected by reports from UK and early reporting in the US; assessed by follow-up with providers, chart review, CISA consultations; clinical guidance changed (initially in December 2020 and last updated March 5, 2021) |
| 6 month-4 year primary series data through 7/31/22 | All health events, adverse events of special interest ^a | | 692,485 doses administered | | 321 VAERS reports, 6 serious <ul style="list-style-type: none"> • Fever was the most frequently reported AE • Administration errors were the next most frequently reported AE | Additional data needed VaST members expressed concerns about administration errors, how to prevent them |
| 5-11-year-old primary series data through 4/24/22 | All health events, adverse events of special interest ^a | | 17,859,728 doses administered | | 9,001 reports; 97% non-serious <ul style="list-style-type: none"> • Median age 8 years • Sex: 47% male; 47% female 20 verified myocarditis reports <ul style="list-style-type: none"> • Overall reporting rate: 0.94 per 1 million doses administered • < 1 per million for males following dose 1 and females following dose 1 and 2 • 2.2 per million for males following dose 2 | No concerns raised |

| | | | | | | |
|---|--|--|--|--|--|--|
| 5-11-year-old first booster dose data through 7/31/22 | All health events, adverse events of special interest ^a | | 466,716 booster doses administered | | 581 reports; 99% non-serious <ul style="list-style-type: none"> • Median age 9 years • Sex: 51% male; 47% females Administration errors are the most common reports | No concerns raised |
| First booster dose data for persons aged ≥12 years through 4/11/22 | | | 93,118,318 1st mRNA COVID-19 booster vaccinations administered | | 47,014 non-serious reports following 1 st booster of mRNA COVID-19 vaccination <ul style="list-style-type: none"> • Headache, pyrexia, and pain: 3 most common non-serious 5,049 serious reports following 1 st booster mRNA COVID-19 vaccination | No concerns raised |
| Second booster dose data for persons aged ≥50 years through 7/10/22 | | | 16,961,827 2 nd mRNA COVID-19 booster vaccinations administered | | 8,073 non-serious reports following 2 nd booster mRNA COVID-19 vaccination <ul style="list-style-type: none"> • COVID-19, fatigue, and headache: 3 most common non-serious 442 serious reports following 2 nd booster mRNA COVID-19 vaccination | No concerns raised |
| Bivalent booster dose data for persons ≥ 12 years through 9/25/22 | All health events, adverse events of special interest ^a | | 4.7 million persons aged 12+ years received Pfizer-BioNTech bivalent booster vaccination | | 1,236 non-serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> • Vaccination errors were the most commonly reported AE (30%) 33 serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> • 3 reports of myocarditis, 2 pericarditis, and 3 deaths 1,663 reports following co-administration with a Pfizer-BioNTech vaccination | No concerns raised but further review needed after more doses administered |
| Co-administration data through 6/30/2022 | All health events, adverse events of special interest ^a | | | | 1,332 non-serious reports and 253 serious reports across both mRNA vaccines | No concerns raised but further review needed after more doses administered |

| | | | | | |
|---|--|--|--|--|---|
| | <ul style="list-style-type: none"> 47 death reports – causes of death consistent with all-cause mortality for age groups | | | | <p>There appears to be some risk of myocarditis/pericarditis after a booster dose. Further analyses ongoing.</p> |
| <p>Myocarditis/pericarditis first booster data through 5/26/22</p> | <p>Reporting rates of myocarditis/myopericarditis among males 12-29 years in 7-day window following either booster dose exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined</p> <ul style="list-style-type: none"> 15.3 in males aged 12-15 years 24.1 in males aged 16-17 years 9.9 in males aged 18-24 years 4.8 in males aged 25-29 years | <p>93.4 million 1st mRNA COVID-19 booster vaccinations administered</p> | | | <p>Warning added to EUA fact sheets June 25, and information provided in update of CDC’s clinical guidance and MMWR article. Information included in FDA materials after full approval on Aug 22. Further work being done to define myocarditis risk.</p> |
| <p>Myocarditis/pericarditis primary series data through 5/26/22</p> | <p>Reporting rates of myocarditis/myopericarditis among males 12-49 years in 7-day window following dose 2 exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined</p> <ul style="list-style-type: none"> 38.9 in males aged 18-24 years 15.2 in males aged 25-29 years 7.5 in males aged 30-39 years 3.3 in males aged 40-49 years | <p>398.4 million mRNA COVID-19 primary series vaccinations administered</p> | | | <p>No new concerns raised</p> |
| <p>Myocarditis/pericarditis 5-17-year-old primary series data through 5/26/22</p> | <p>972 preliminary myocarditis reports</p> <p>635 verified reports met the CDC case definition for myocarditis.</p> <ul style="list-style-type: none"> .2 reporting rate, males aged 5-11 years following dose 1 | <p>54.9 million doses administered (Dose 1: 27.7; Dose 2: 23.3; Dose 3: 3.8 million)</p> | | | |

| | | | | | | |
|---|--|--|--|--|--|---------------------------------|
| | | | | | <ul style="list-style-type: none"> 2.6 reporting rate, males aged 5-11 years following dose 2 | |
| <p>MOVING data for persons aged 12-29 years presented on 12/12/22</p> | | | | | <p>60 myocarditis patients interviewed, across all vaccines, 1-year post-myocarditis dx</p> <p>63 physicians interviewed, across all vaccines, 1-year post-myocarditis dx</p> <p>83% of healthcare providers indicated the patient was fully or probably recovered</p> | <p>Further follow-up needed</p> |
| <p>Tinnitus and hearing loss data through 11/6/22</p> | | | | | <p>7,026 tinnitus reports to VAERS</p> <ul style="list-style-type: none"> 21.6 reports per million doses administered (18+ years) <p>197 sudden hearing loss reports</p> <ul style="list-style-type: none"> 0.6 reports per million doses administered (18+ years) <p>949 reports of 'permanent disability' and 157 reports of 'hospitalization' for tinnitus or sudden hearing loss</p> | <p>No concerns raised</p> |
| <p>Pregnancy data through 7/29/22</p> | | | | | <p>4,487 pregnancy-related reports to VAERS (2,424 after Pfizer). Safety profile of pregnancy reports after COVID-19 vaccines appears reassuring, and primary series reports are comparable to booster doses.</p> | <p>No concerns raised</p> |

| | | | | | | |
|--|---|-----------------------------------|---|-------------|--|-------------------------------------|
| GBS data through 1/28/22 | | | | | 104 verified cases of GBS following Pfizer-BioNTech vaccination <ul style="list-style-type: none"> • Median age: 57.5 years • Hospitalized: 96 • Deaths: 3 <p>Observed number of confirmed GBS reports lower than than expected.</p> <p>For each vaccine, across all sex and age groups, the observed reporting rate for death events was much lower than the number of expected all cause deaths</p> | No concerns raised |
| Death reporting rates data through 11/17/21 | | | | | 558 reports of post-menopausal bleeding reported to VAERS after COVID-19 vaccine (239 after Pfizer). Few PMB cases were classified as serious VAERS reports (3 reported after Pfizer). | No concerns raised |
| Menstrual irregularities data through 1/7/22 | | | | | 10.8% serious reports and 89.2% non-serious reports | No concerns raised |
| VA ADERS Data through 4/03/2022 | All health events | VA employees and Veteran patients | 1.9M 1 st doses 1.8M 2 nd doses 848,841 booster doses | Descriptive | 12 cases myocarditis/pericarditis after Pfizer-BioNTech dose 1 | Follow-up and evaluation continuing |
| DoD VAERS Data through 12/31/2021 | All health events, adverse events of special interest | Active duty and beneficiaries | 4.1 million Pfizer-BioNTech vaccines administered | Descriptive | 41 cases of myocarditis/pericarditis after Pfizer-BioNTech dose 2 <ul style="list-style-type: none"> • 111.5 cases per million in males aged 12-17 years • 52.6 cases per million in males aged 18-24 years • 21.5 cases per million in males aged 25-39 years <p>Observed > expected in males (<17, 18-24, and 25-39) after dose 2</p> | |

| Indian Health Services (IHS) VAERS Presented on 2/06/23 | All health events, adverse events of special interest ^a | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 724 total AVE reports <ul style="list-style-type: none"> 488 medically attended health impact events | No new concerns raised |
|---|--|---|---|-------------|---|------------------------|
| Active Surveillance | | | | | | |
| V-safe Booster dose data through 4/10/22 | | Vaccinees who enroll | 369,841 v-safe participants who received Pfizer-BioNTech primary series received booster doses (336,618 had Pfizer-BioNTech primary series) | Descriptive | Local and systemic reactions for persons aged 18+ years reported less frequently following booster dose than dose 2 for mRNA vaccines. Similar or slightly more reports or reactions among persons aged 12-17 years following booster compared to dose 2 for mRNA vaccines. | No concerns raised |
| 5-11-year data (primary series) through 4/24/22 | | | | | 49,396 participants with a Pfizer-BioNTech vaccination <ul style="list-style-type: none"> Injection site pain most frequently reported reaction Reactions were more frequently reported after dose 2 than 1 | No concerns raised |
| 6 month-4 year data through 7/10/22 | | Vaccinees who enroll | 14,036 v-safe participants who received Pfizer-BioNTech | Descriptive | Reactogenicity 6 months-2 years-olds: <ul style="list-style-type: none"> 18.8% reported any injection site reaction following dose 1 and 18.3% following dose 2 55.7% reported any systemic reaction following dose 1 and 47.1% following dose 2 Reactogenicity 3-4 year-olds: <ul style="list-style-type: none"> 38.3% reported any injection site reaction following dose 1 and 26.3% following dose 2 | No concerns raised |

| | | | | | | |
|---|-----------------------------|--|--------------------|--|---|---|
| <p>Pregnant women reactivity data through 2/13/22</p> | | | | | <ul style="list-style-type: none"> 31.5% reported any systemic reaction following dose 1 and 29.6% following dose 2 | <p>No concerns raised</p> |
| <p>Data through 4/10/22</p> | | | | | <p>6,338 pregnant participants reported a booster dose.</p> <ul style="list-style-type: none"> Patterns of reporting after receiving a booster dose while pregnant are consistent with the general population <p>5,052 pregnant participants reported homologous mRNA booster while pregnant.</p> <ul style="list-style-type: none"> Reporting frequency for some systemic reactions differ between dose 2 and booster dose, with some differences in frequency of reporting noted depending on whether participant was pregnant for both doses or only booster dose. | <p>No concerns raised</p> |
| <p>Menstrual irregularities data through 1/22</p> | | | | | <p>63,815 people across all vaccines reported responses likely related to menstruation</p> <ul style="list-style-type: none"> Common themes: menstrual timing and menstrual severity. | <p>No concerns raised</p> |
| <p>Bivalent booster dose data for persons ≥ 12 years through 9/25/22</p> | <p>Vaccinees who enroll</p> | <p>28,568 v-safe participants reported receiving Pfizer-BioNTech bivalent booster dose</p> | <p>Descriptive</p> | <p>Across both mRNA bivalent vaccines, reporting frequencies of reactions and health impacts were similar to those after 1st and 2nd booster vaccination</p> <p>Approximately one-third reported co-administration</p> | <p>No concerns raised but further review needed after more doses administered</p> | <p>No concerns raised but further review needed after more doses administered</p> |
| <p>Simultaneous booster and influenza vaccine study data through 5/1/22</p> | <p>Vaccinees who enroll</p> | <p>526,829 v-safe participants reported</p> | | <p>60,390 participants: simultaneous Pfizer-BioNTech booster and influenza</p> | | <p>No concerns raised but further review needed after more doses administered</p> |

| | | | | | | |
|--|--|---|--|--|--|--|
| <p>V-safe Pregnancy Registry Data through 8/1/22</p> | | <p>Vaccinees who enroll</p> | <p>22,944 participants enrolled across all vaccines</p> | <p>Descriptive</p> | <p>vaccinations; 466,439 participants: Pfizer-BioNTech booster alone Injection site and systemic reactions slightly more frequent following simultaneously administered. No evidence of a difference in severity. 22,951 total pregnancies. Pregnancy and neonatal outcome frequencies support safety of the COVID-19 vaccination.</p> | <p>Phase 2 infant and maternal follow-up through 12 months of age/pregnancy end to start soon.</p> |
| <p>Department of Veterans Affairs (VA) Active Surveillance System RCA data through 10/28/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients</p> | <p>2.0 million first doses administered; 1.9 million second doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>The only signal is for anaphylaxis following dose 1 of Pfizer-BioNTech.</p> | <p>No new concerns raised</p> |
| <p>RCA booster dose data through 3/26/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients who received a booster dose</p> | <p>838,337 Pfizer-BioNTech booster doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>No signals, including for myocarditis/pericarditis or anaphylaxis, but pericarditis cases observed among those ≥ 40 years</p> | <p>No concerns raised, but follow-up in other safety systems needed</p> |
| <p>Bivalent booster presented on 1/09/23</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients who received a booster dose</p> | <p>377k Pfizer-BioNTech bivalent doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>No signals observed; rate ratio for ischemic stroke/TIA following Pfizer-BioNTech bivalent vaccine <1</p> | <p>No concerns raised</p> |
| <p>Target trial emulation data presented on 9/19/22</p> | <p>All-cause mortality</p> | <p>Eligible Veterans</p> | <p>228,130 patients reached end of follow-up (100,253 Pfizer-BioNTech)</p> | <p>Discrete time logistic regression</p> | <p>Day 8 and day 28 all-cause mortality are statistically similar across all vaccines Day 60 all-cause mortality is significantly different across all vaccines <ul style="list-style-type: none"> • 11% reduction in risk in vaccinated group </p> | <p>No concerns raised</p> |
| <p>Vaccine Safety Datalink (VSD) RCA data through 1/15/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating</p> | <p>9.0 million doses of Pfizer-</p> | <p>Vaccinated concurrent comparison,</p> | <p><u>21-day risk interval - signaled</u></p> | <p>Further monitoring and analyses of other potential signals ongoing.</p> |

| | | | | | | | | | |
|--|--|---|---|---|---|---|---|--|---|
| | | | | | <ul style="list-style-type: none"> Myocarditis/pericarditis (combined dose 1&2 and dose 2 alone) VTE (combined dose 1&2 and dose 2 alone) AMI (dose 2) <p><u>42-days risk interval - signaled</u></p> <ul style="list-style-type: none"> Myocarditis/pericarditis (dose 2) Seizures (dose2) | | | | Further monitoring and analyses of needed |
| First booster dose data through 8/13/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | BioNTech administered | sequential analyses | <p>No statistical signal for pre-specified outcomes for Pfizer-BioNTech boosters for patients aged 12+ years.</p> <ul style="list-style-type: none"> Myocarditis/pericarditis signal for combined Pfizer-BioNTech and Moderna analysis, elevated risk highest in adolescent and young adult males <p>No statistical signal for pre-specified outcomes for booster doses across mRNA vaccines for patients aged 5-11 years.</p> | Vaccinated concurrent comparison, sequential analyses | 2.8 million patients ≥18 years 265k patients 12-17 years 94,791 patients 5-11 years | | Further review and analysis is needed |
| Bivalent dose data through 12/31/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 1.6 million patients received Pfizer-BioNTech bivalent booster dose | Vaccinated concurrent comparison, sequential analyses | There was an elevated rate ratio for ischemic stroke/TIA in persons 18-64 years, but there was no signal | Vaccinated concurrent comparison, sequential analyses | 580k patients received Pfizer-BioNTech bivalent booster dose | | Further review and analysis is needed |
| Bivalent dose ischemic stroke updated analysis data presented on 2/13/23 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 580k patients received Pfizer-BioNTech bivalent booster dose | Vaccinated concurrent comparison, sequential analyses | There was a statistical signal for ischemic stroke/TIA in persons 65+ years; attenuated in recent weeks. | Vaccinated concurrent comparison, sequential analyses | 580k patients received Pfizer-BioNTech bivalent booster dose | | Further review and analysis is needed |

| | | | | | | |
|---|--|---|---|---|--|--|
| VSD simultaneous and co-administered vaccine data through 10/8/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | ~230,000 patients administered either bivalent mRNA booster | | Bivalent Pfizer-BioNTech and same-day co-administration of high-dose or adjuvanted flu vaccine, RR = 1.65 (95% CI 1.02–2.72). | No concerns raised but further review needed after more doses administered |
| 6 month-4-year primary series data through 8/13/22 | Pre-specified health outcomes ^a | | 31,784 first doses of Pfizer-BioNTech administered; 18,729 second doses of Pfizer-BioNTech administered | | No statistical signals identified | No concerns raised |
| 5-11-year primary series data presented 5/22 | | | 817,217 doses of Pfizer-BioNTech administered | | No statistical signals identified | No concerns raised |
| First booster dose data through 8/20/22 | | | 2.9 million doses of Pfizer-BioNTech booster administered following Pfizer-BioNTech primary series ages 5 and older | Vaccinated concurrent comparison, sequential analyses | <p><u>0-7 days risk-window</u></p> <ul style="list-style-type: none"> 7.21 (95% CI: 2.04-29.66) for myocarditis/pericarditis events in persons aged 12-17 years 4.81 (95% CI: 1.55-16.81) for myocarditis/pericarditis events in persons aged 18-39 years <p><u>0-21 days risk window</u></p> <ul style="list-style-type: none"> No point estimate (95% CI: 2.66-∞) for myocarditis/myopericarditis events in persons aged 40+ years <p><u>0-7 or 0-21 days risk window</u></p> | Finding consistent with past analyses |

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|---|
| | | | | | | | | <ul style="list-style-type: none"> Rate ratios for pericarditis not significantly elevated among persons aged 40+ years | No new concerns raised |
| Head-to-Head myocarditis/pericarditis presented on 1/15/22 | | | | | | | | <p>Head-to-head comparisons: risk of myocarditis/pericarditis was higher after Moderna than after Pfizer. 1.61 adjusted rate ratio for persons aged 18-39 years</p> | No concerns raised |
| Tinnitus and hearing loss presented on 11/14/22 | | | | | | | | <p>No clusters of hearing-related outcomes for any tree scan analyses</p> <p><i>Ad hoc</i> temporal scan analysis - most likely cluster starts on Day 33, ~12 days after dose 2</p> <p>78/10,000 person-years after Pfizer-BioNTech</p> | No concerns raised |
| Tree scan analysis data presented 5/2/22 | | | | | | | | <p>Tree-scan, <i>ad hoc</i> temporal scans and descriptive</p> <p>4,068,513 doses of Pfizer-BioNTech; 2,467,865 booster doses of Pfizer-BioNTech following mRNA vaccines</p> <p>4,068,513 doses of Pfizer-BioNTech; 1,142,736 booster doses of Pfizer-BioNTech following mRNA vaccines</p> | <p>Tinnitus after vaccination similar to recent global background incidence estimate</p> <p>No additional serious adverse events identified using this data mining approach</p> |
| KPSEM data through 12/13/21 | | | | | | | | <p>Patients 12-17 years and 18-39 years had a signal for myocarditis/pericarditis, chest pain, and breathing abnormalities following dose 2 using the 7-day window.</p> <p>~1% of respondents reported tachycardia or chest pain 0-7 days following vaccination</p> <ul style="list-style-type: none"> No documented myocarditis cases in the medical records <p>6.9% reported 'other symptoms' following dose 1 and 1.3% reported seeking medical care for symptoms following dose 1</p> | No concerns raised |

| | | | | | |
|---|--|--|---------------------------------|--|--|
| Menstrual irregularities data through 12/2021 | Post-menopausal bleeding | Women ≥45 years, KPNW | 48,438 vaccinated women | 5.6% reported 'other symptoms' following dose 2 and 1.4% reported seeking medical care for symptoms following dose 2 | No concerns raised |
| Vaccine Safety Datalink (VSD) Mortality Study Vaccinated through 5/31/21 and death data through 7/31/21 | Deaths | VSD sites enrolled in the mortality study; vaccinated before 5/31 and number of deaths before 7/31 | 3,453,126 vaccines administered | Matched cohort analysis Individuals who received Pfizer-BioNTech COVID-19 vaccine had lower mortality risk after dose 1 and dose 2 vs unvaccinated comparators. <ul style="list-style-type: none"> 0.41 (0.38-0.44) RR for mortality of Pfizer-BioNTech vaccine dose 1 recipients versus unvaccinated comparison group 0.34 (0.33-0.36) RR for mortality of Pfizer-BioNTech dose 2 recipients versus unvaccinated comparison | No concerns raised |
| Defense Medical Surveillance System (DMSS)^b | Pre-specified health outcomes ^a | | | | |
| FDA - Centers for Medicare and Medicaid Services (CMS)^b Data presented on 3/14/22 | Pre-specified health outcomes ^a | CMS population 65+ enrolled in Fee-for-Service (FFS) | NA | Historical comparator and sequential analyses | Inconclusive evidence for AMI, ITP; Consistently elevated risk for PE; numbers too small for DIC |

| | | | | | |
|---|--|---|--|---|--|
| <p>Original vaccine primary series and booster dose Presented 2/27/23</p> | <p>AMI, ITP, DIC, myo/pericarditis, PE, Bell's Palsy</p> | <p>CMS population 65+</p> | <p>Self-controlled analysis of AEs identified in RCA</p> | <p>• Elevated risk for AMI that was attenuated after exclusion of cases with hx of COVID-19 disease in past year ITP: no elevated risk No increased risk for AMI, ITP, DIC, myo/pericarditis; Results not consistent for PE; Small elevated risk of Bell's Palsy</p> | <p>No concerns raised</p> |
| <p>Booster dose data through 3/5/22</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65+ enrolled in Fee-for-Service (FFS)</p> | <p>Historical comparator analysis</p> | <p>Signal for Bell's palsy following Pfizer-BioNTech booster among those without a prior COVID-19 diagnosis</p> <ul style="list-style-type: none"> Signal for AMI, ITP, myocarditis/pericarditis, and PE following Pfizer-BioNTech booster in those with a prior COVID-19 diagnosis | <p>Inconclusive evidence, further analysis of booster data is needed</p> |
| <p>Bivalent dose data presented on 2/27/23</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65+ enrolled in Fee-for-Service (FFS)</p> | <p>Historical comparator analysis</p> | <p>No signal for any AESI, including non-hemorrhagic stroke, following Pfizer-BioNTech bivalent booster vaccination</p> | <p>No concerns raised</p> |
| <p>FDA - BEST Initiative Myocarditis/pericarditis data presented on 3/14/22</p> | <p>Myocarditis/Pericarditis</p> | <p>5 FDA BEST partners; males 18-25 and 18-35 years</p> | <p>Retrospective comparator analysis</p> | <p>1.43 (95% CI: 0.88,2.34) incidence rate ratio when comparing Moderna vs Pfizer-BioNTech in males aged 18-25 years in IP/ED/OP settings. .88 (95% CI: 0.67,1.15) incidence rate for males aged 18-25 years in IP/ED/OP settings</p> | <p>Results do not support a significant risk difference between the 2 mRNA vaccines for males aged 18-25 years and 18-35 years IRRs attenuated for 18-35 years old and when restricted to IP/ED</p> |
| <p>FDA - BEST Initiative^b Optum Data through 11/13/21</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in Optum pre-adjudicated</p> | <p>Historical comparator and</p> | <p>RCA statistical signal for anaphylaxis in Optum data following Pfizer-BioNTech</p> | <p>Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing.</p> |

| | | claims, 0-64 years | | sequential analyses | | | |
|---|--|---|--|---|--|--|--|
| FDA - BEST Initiative HealthCore Data through 10/4/21 | Pre-specified health outcomes ^a | Patients enrolled in BCBS 0-64 years | Total doses 5.5 million | Historical comparator and sequential analyses | RCA statistical signal for anaphylaxis in HealthCore data following Pfizer-BioNTech | Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing. | |
| NPTC Vaccine Sentinel Survey Data presented on 2/6/23 | | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 1,063 total AVE reports <ul style="list-style-type: none"> • 457 medically attended health impact events • 23 potential AESIs | No concerns raised | |
| Vaccine Trials (Manufacturer) | | | | | <ul style="list-style-type: none"> • See GRADE tables https://www.cdc.gov/vaccines/acip/recs/grade/table-refs.html | | |

^aSee Table 5 for the complete list of health outcomes

^bData are currently being processed and will be reported when received

^cAt the time of vaccination

Table 2. COVID-19 vaccine monitoring systems reviewed by the VaST – Moderna (recommended for use in persons age ≥ 6 months)

Red indicates new results this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|---|--|----------------------|--|--|---|---|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Population data through 1/23/26 | All health events, adverse events of special interest ^a | US population | 203.0 million Moderna doses administered | Descriptive and empirical Bayesian data mining | | Anaphylaxis associated with vaccination, first detected by early reporting in US; assessed by follow-up with providers; chart review, CISA consultations; clinical guidance changed (initially in December 2020 and last updated March 5, 2021) |
| 6 month-5 year data through 7/31/22 | All health events, adverse events of special interest ^a | | 359,025 doses administered | | 346 VAERS reports, 6 serious <ul style="list-style-type: none"> Fever was the most frequently reported AE | Additional data are needed to derive any insights |
| First booster dose data for persons aged ≥12 years through 4/11/22 | | | 93,118,318 1 st mRNA COVID-19 booster vaccination administered | | 47,014 non-serious reports following 1 st booster of mRNA COVID-19 vaccination <ul style="list-style-type: none"> Headache, pyrexia, pain: 3 most common non-serious reports 5,049 serious reports following 1 st booster of mRNA COVID-19 vaccination | No concerns raised |
| Bivalent booster dose data for persons ≥ 18 years through 9/25/22 | All health events, adverse events of special interest ^a | | 2.6 million persons aged 18+ years received Moderna bivalent booster vaccination | | 1,236 non-serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> Vaccination errors were the most commonly reported AE (30%) 33 serious reports following either mRNA bivalent booster dose <ul style="list-style-type: none"> 3 reports of myocarditis, 2 pericarditis, and 3 deaths | No concerns raised but further review needed after more doses administered |

| Co-administration data through 6/30/2022 | All health events, adverse events of special interest ^a | | | | 786 reports following co-administration with a Moderna vaccination 1,332 non-serious reports and 253 serious reports across both mRNA vaccines <ul style="list-style-type: none"> • 47 death reports – causes of death consistent with all-cause mortality for age groups | No concerns raised; but further review needed after more doses administered |
|--|--|--|--|--|---|--|
| Myocarditis/pericarditis first booster data through 5/26/22 | | | 93.4 million 1st mRNA COVID-19 booster vaccinations administered | | Reporting rates of myocarditis/myopericarditis among males 12-29 years in 7-day window following either booster dose exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined <ul style="list-style-type: none"> • 9.9 in males aged 18-24 years • 4.8 in males aged 25-29 years | There appears to be some risk of myocarditis/pericarditis after a booster dose. Further analyses ongoing. |
| Myocarditis/pericarditis primary series data through 5/26/22 | | | 398.4 million mRNA COVID-19 primary series vaccinations administered | | Reporting rates of myocarditis/myopericarditis among males 12-49 years in 7-day window following dose 2 exceed background incidence of .2-2.2 per 1 million person 7-day risk period – across both mRNA vaccines combined <ul style="list-style-type: none"> • 38.9 in males aged 18-24 years • 15.2 in males aged 25-29 years • 7.5 in males aged 30-39 years • 3.3 in males aged 40-49 years | Warning added to EUA fact sheets June 2021, and information provided in update of CDC’s clinical guidance and MMWR article. Information included in FDA materials after full approval on Aug 2021. Further work being done to define myocarditis risk. |
| MOVING data for persons aged 12-29 years presented on 12/12/22 | | | | | 60 myocarditis patients interviewed, across all vaccines, 1-year post-myocarditis dx | Further follow-up needed |

| | | | | | | |
|---|--|--|--|--|---|---------------------------|
| <p>Tinnitus and hearing loss data through 11/6/22</p> | | | | | <p>63 physicians interviewed, across all vaccines, 1-year post-myocarditis dx</p> <p>83% of healthcare providers indicated the patient was fully or probably recovered</p> <p>5,280 tinnitus reports to VAERS</p> <ul style="list-style-type: none"> • 22.7 reports per million doses administered (18+ years) <p>125 sudden hearing loss reports</p> <ul style="list-style-type: none"> • 0.5 reports per million doses administered (18+ years) <p>601 reports of 'permanent disability' and 125 reports of 'hospitalization' for tinnitus or sudden hearing loss</p> <p>2 confirmed TTS reports to VAERS</p> | <p>No concerns raised</p> |
| <p>TTS data through 8/4/21</p> | | | | | | <p>No concerns raised</p> |
| <p>GBS data through 1/28/22</p> | | | | | <p>72 verified cases of GBS following Moderna vaccination</p> <ul style="list-style-type: none"> • Median age: 63.0 years • Hospitalized: 97 • Deaths: 5 <p>Observed number of confirmed GBS reports was lower than than expected.</p> <p>For each vaccine, across all sex and age groups, observed reporting rate for death events much lower than the number of expected all cause deaths</p> | <p>No concerns raised</p> |
| <p>Death reporting rates data through 11/17/21</p> | | | | | | <p>No concerns raised</p> |

| | | | | | | |
|---|--|---|--|-------------|---|-------------------------------------|
| Pregnancy data through 7/29/2022 | | | | | 4,487 pregnancy-related reports to VAERS (1,736 after Moderna). Safety profile of pregnancy reports after COVID-19 vaccines appears reassuring, and primary series reports are comparable to booster doses. | No concerns raised |
| Menstrual irregularities data through 1/7/22 | | | | | 558 reports of post-menopausal bleeding reported to VAERS after COVID-19 vaccine (145 after Moderna). Few PMB cases were classified as serious VAERS reports (2 reported after Moderna). | No concerns raised |
| VA ADERS Data through 4/03/2022 | All health events | VA employees and Veteran patients | 2.1M 1 st doses 2M 2 nd doses | Descriptive | 8% serious; 92% nonserious | No concerns raised |
| DoD VAERS Data through 12/31/2021 | All health events, adverse events of special interest | Active duty and beneficiaries | 2.2 million Moderna vaccines administered | Descriptive | 8 cases myocarditis/pericarditis after Moderna dose 1 23 cases with myocarditis/pericarditis after Moderna dose 2 <ul style="list-style-type: none"> • 104.5 cases per million in males aged 18-24 years • 22.7 cases per million in males aged 25-39 years Observed > expected in males (18-24, and 25-39) after dose 2 | Follow-up and evaluation continuing |
| Indian Health Services (IHS) VAERS Presented on 2/06/23 | All health events, adverse events of special interest ^a | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 612 total AVE reports <ul style="list-style-type: none"> • 426 medically attended health impact events | No new concerns raised |
| Active Surveillance | | | | | | |
| V-safe Booster dose data through 4/10/22 | | Vaccinees who enroll | 351,619 v-safe participants who received Moderna | Descriptive | Local and systemic reactions for persons aged 18+ years were reported less frequently following | No concerns raised |

| | | | | | | |
|--|--|-----------------------------|---|--------------------|--|---|
| | | | <p>primary series received booster doses (311,374 had Moderna primary series)</p> | | <p>booster dose than dose 2 for mRNA vaccines. Similar or slightly more reports or reactions among persons aged 12-17 years following booster compared to dose 2 for mRNA vaccines.</p> | |
| <p>6 month-5 year data through 7/19/22</p> | | <p>Vaccinees who enroll</p> | <p>7,879 v-safe participants who received Moderna</p> | <p>Descriptive</p> | <p>Reactogenicity 6 months-2 year-olds:</p> <ul style="list-style-type: none"> • 19.0% reported any injection site reaction following dose 1 and 29.2% following dose 2 • 55.5% reported any systemic reaction following dose 1 and 59.8% following dose 2 <p>Reactogenicity 3-5 year-olds:</p> <ul style="list-style-type: none"> • 31.9% reported any injection site reaction following dose 1 and 47.9% following dose 2 • 34.2% reported any systemic reaction following dose 1 and 51.8% following dose 2 | <p>No concerns raised</p> |
| <p>Reactogenicity after booster doses in pregnant persons data through 2/13/22</p> <p>Data through 4/10/22</p> | | | | | <p>4,552 pregnant participants reported a booster dose.</p> <ul style="list-style-type: none"> • Patterns of reporting after receiving a booster dose while pregnant are consistent with the general population <p>5,052 pregnant participants reported homologous mRNA booster while pregnant.</p> <ul style="list-style-type: none"> • Reporting frequency for some systemic reactions differ between dose 2 and booster dose, with some differences in frequency of reporting noted depending on | <p>No concerns raised</p> <p>No concerns raised</p> |

| | | | | | | |
|--|--|-----------------------------|--|--|---|--|
| <p>Menstrual irregularities data through 1/22</p> | | | | | <p>whether participant was pregnant for both doses or only booster dose.</p> | <p>No concerns raised</p> |
| <p>Bivalent booster dose data for persons ≥ 12 years through 9/25/22</p> | | <p>Vaccinees who enroll</p> | <p>22,813 v-safe participants reported receiving Moderna bivalent booster dose</p> | <p>Descriptive</p> | <p>63,815 people across all vaccines reported responses likely related to menstruation</p> <ul style="list-style-type: none"> Common themes: menstrual timing and menstrual severity | <p>No concerns raised</p> |
| <p>Simultaneous booster and influenza vaccine study data through 5/1/22</p> | | <p>Vaccinees who enroll</p> | <p>453,270 v-safe participants reported receiving Moderna booster dose</p> | | <p>Across both mRNA bivalent vaccines, reporting frequencies of reactions and health impacts were similar to those described after 1st and 2nd booster vaccination</p> <p>Approximately one-third reported co-administration</p> | <p>No concerns raised; but further review needed after more doses administered No concerns raised</p> |
| <p>V-safe Pregnancy Registry Data through 1/31/2022</p> | | <p>Vaccinees who enroll</p> | <p>22,944 participants enrolled across all vaccines</p> | <p>Descriptive</p> | <p>30,633 participants: simultaneous Moderna booster and influenza vaccine; 422,637 participants: Moderna booster alone</p> <p>Injection site and systemic reactions slightly more frequent following simultaneous administration. No evidence of a difference in severity.</p> | <p>No concerns raised; but further review needed after more doses administered</p> |
| <p>Department of Veterans Affairs (VA) Active Surveillance System RCA data through 10/28/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Veteran Patients</p> | <p>2.2 million first doses administered; 2.0 million second doses administered</p> | <p>Descriptive; historical comparator analysis</p> | <p>22,951 total pregnancies. Pregnancy and neonatal outcome frequencies support safety of the COVID-19 vaccination.</p> <p>The only signal is for anaphylaxis following dose 2 of Moderna vaccine</p> | <p>Phase 2 infant and maternal follow-up through 12 months of age/pregnancy end to start soon.</p> <p>No new concerns raised</p> |

| | | | | | | |
|--|--|---|--|---|--|--|
| RCA booster dose data through 3/26/22 | Pre-specified health outcomes ^a | Veteran Patients who received a booster dose | 955,454 booster doses administered | Descriptive; historical comparator analysis | No signals, including for myocarditis or pericarditis or anaphylaxis but pericarditis cases observed among those ≥ 40 years | No concerns raised, but followup in other safety systems needed |
| Bivalent booster presented on 1/09/23 | Pre-specified health outcomes ^a | Veteran Patients who received a booster dose | 376k Moderna bivalent doses administered | Descriptive; historical comparator analysis | No signals observed; rate ratio for ischemic stroke/TIA following Moderna bivalent booster vaccine < 1 | No concerns raised |
| Target trial emulation data presented on 9/19/22 | All-cause mortality | Eligible Veterans | 228,130 patients reached end of follow-up (114,621 Moderna) | Discrete time logistic regression | Day 8 and day 28 all-cause mortality are statistically similar across all vaccines Day 60 all-cause mortality is significantly different across all vaccines <ul style="list-style-type: none"> • 11% reduction in risk in vaccinated group | No concerns raised |
| Vaccine Safety Datalink (VSD) RCA data through 1/15/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 5.9 million doses of Moderna administered | Vaccinated concurrent comparison, sequential analyses | <u>21-day risk interval - signaled</u> <ul style="list-style-type: none"> • No signal for myocarditis/pericarditis, AMI, seizures, VTE, PE, and Bell's Palsy <u>42-days risk interval - signaled</u> <ul style="list-style-type: none"> • AMI (dose 2) • No signal for myocarditis/pericarditis, AMI, seizures, VTE, PE, and Bell's Palsy | Further monitoring and analyses of other potential signals ongoing |
| 6 month-4-year primary series data through 8/13/22 | Pre-specified health outcomes ^a | | 334,466 first doses of Moderna administered; 17,940 second doses of Moderna administered | | No statistical signals identified | No concerns raised |

| First booster dose data through 8/13/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 2.3 million patients ≥18 years who received a Moderna booster dose | Vaccinated concurrent comparison, sequential analyses | No statistical signal for pre-specified outcomes for Moderna boosters for patients aged ≥12 years <ul style="list-style-type: none"> Myocarditis/pericarditis signal for combined Pfizer-BioNTech and Moderna analysis; elevated risk highest in adolescent and young adult males No statistical signal for pre-specified outcomes for booster doses across mRNA vaccines for patients aged 5-11 years | Further monitoring and analyses of ongoing |
|--|--|---|---|---|---|--|
| Rate ratio data through 1/15/2022 | | | | | In 18-39 year-olds, the rate ratio for myocarditis/pericarditis was elevated after both Pfizer and Moderna during days 0-21 after vaccination, and especially during days 0-7 in males | |
| First booster dose data through 8/20/2022 | | | 2.0 million doses of Moderna booster administered following Moderna primary series; age 6 and older | Vaccinated concurrent comparison, sequential analyses | <u>0-7 days risk-window</u> <ul style="list-style-type: none"> Rate ratio for myocarditis/pericarditis was 3.27 (0.82-14.23) in persons aged 18-39 years <u>0-7 or 0-21 days risk window</u> <ul style="list-style-type: none"> Rate ratios for pericarditis not significantly elevated among persons aged 40+ years | Finding consistent with past analyses |
| Head-to-Head myocarditis/pericarditis presented on 1/15/22 | | | | | Head-to-head comparisons: risk of myocarditis/pericarditis was higher after Moderna than after Pfizer. | No new concerns raised |

| | | | | | | |
|---|--|---|--|---|---|---|
| Bivalent dose data through 10/8/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | 91,626 persons received bivalent booster dose of Moderna | Vaccinated concurrent comparison, sequential analyses | 1.61 adjusted rate ratio for persons aged 18-39 years | No concerns raised but further review needed after more doses administered |
| VSD simultaneous and co-administered vaccine data through 10/8/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | ~230,000 patients administered either bivalent mRNA booster | Descriptive and stratified RCA | No analyses, only vaccine doses administered presented | No concerns raised but further review needed after more doses administered o concerns raised. |
| Tinnitus and hearing loss presented on 11/14/22 | | | 2,559,563 doses of Moderna; 1,873,849 booster doses of Moderna following mRNA vaccines | Tree-scan, <i>ad hoc</i> temporal scans and descriptive | No clusters of hearing-related outcomes for any tree scan analyses Most likely cluster starts on Day 41, ~13 days after dose 2 for most 107/10,000 person-years after Moderna | No concerns raised Tinnitus after vaccination similar to recent global background incidence estimate |
| Tree scan analysis data presented 5/2/22 | | | 2,559,563 doses of Moderna; 841,216 booster doses of Moderna following mRNA vaccines | | Patients 18-39 years and 40-64 years had a signal for urticaria and unspecified allergy following Moderna booster (following mRNA vaccines) in the 10-16 days window. | No additional serious adverse events identified using this data mining approach |
| Menstrual irregularities data through 12/2021 | Post-menopausal bleeding | Women ≥45 years, KPNW | 48,438 vaccinated women | | 79 cases of post-menopausal bleeding identified (23 after Moderna). No cases had COVID-19 vaccine documented as a likely cause. | No concerns raised. |
| Vaccine Safety Datalink (VSD) Mortality Study | Deaths | VSD sites enrolled in the mortality study; vaccinated | 2,604,066 vaccines administered | Matched cohort analysis | Individuals who received Moderna COVID-19 vaccine had lower mortality risk after dose 1 and dose 2 than unvaccinated comparators. | No concerns raised |

| | | | | | |
|--|--|---|---|---|--|
| Vaccinated through 5/31/21 and death data through 7/31/21 | before 5/31 and number of deaths before 7/31 | NA | Historical comparator analysis | <ul style="list-style-type: none"> 0.34 (0.32-0.37) RR for mortality of Moderna vaccine dose 1 recipients versus unvaccinated comparison group 0.31 (0.30-0.33) RR for mortality of Moderna vaccine dose 2 recipients versus unvaccinated comparison group | No evidence of elevated risk for AMI or ITP; inconclusive evidence for PE; numbers too small for DIC |
| Defense Medical Surveillance System (DMSS)^b FDA - Centers for Medicare and Medicaid Services (CMS)^b Data through 3/14/22 | Pre-specified health outcomes ^a Pre-specified health outcomes ^a | NA | Historical comparator analysis | RCA statistical signals reported to VaST previously for PE, AMI, DIC, ITP, investigated in self-controlled case series with post vacc control interval <ul style="list-style-type: none"> AMI, ITP: no evidence of risk PE: Elevated risk for PE; attenuated after exclusion of cases with hx of COVID-19 disease | No evidence of elevated risk for AMI or ITP; inconclusive evidence for PE; numbers too small for DIC |
| Original vaccine primary series and booster dose Presented 2/27/23 | AMI, ITP, DIC, myo/pericarditi s, PE, Bell's Palsy | CMS population 65+ | Self-controlled analysis of AEs identified in RCA | No increased risk for AMI, ITP, DIC, myo/pericarditis; Results not consistent for PE and Bell's Palsy | No concerns raised |
| Booster dose data through 3/5/22 | Pre-specified health outcomes ^a | 3.4 million who received Moderna primary series received booster doses (3.2 million had Moderna primary series) | Historical comparator analysis | No signals for following booster dose of Moderna in patients without a prior COVID-19 diagnosis Signal for AME and PE following Moderna booster dose in patients with a prior COVID-19 diagnosis | Inconclusive evidence, further analysis of booster data is needed for further discussion |
| Bivalent dose data presented on 2/27/23 | Pre-specified health outcomes ^a | CMS population 65+ enrolled in | Historical comparator analysis | No signal for any AEsI, including non-hemorrhagic stroke, following Moderna bivalent booster vaccination | No concerns raised |

| | | | | Fee-for-Service (FFS) | | | | |
|--|--|--|--|---|--|---|---|---|
| FDA - BEST Initiative Myocarditis/pericarditis data presented on 3/14/22 | Myocarditis/Pericarditis | | | 5 FDA BEST partners; males aged 18-25 and 18-35 years | 10.6 million doses administered | Retrospective comparator analysis | 1.43 (95% CI: 0.88,2.34) IRR comparing Moderna vs Pfizer-BioNTech in males aged 18-25 years in IP/ED/OP;1.27 (95% CI: 0.88,1.84) incidence rate for males aged 18-25 years in IP/ED/OP settings | Results do not support a significant risk difference between the 2 mRNA vaccines for males aged 18-25 years IRRs attenuated for 18-35 years old and when restricted to IP/ED |
| FDA - BEST Initiative^b Optum Data through 11/13/21 | Pre-specified health outcomes ^a | | | Patients enrolled in Optum pre-adjudicated claims, 0-64 years | Total doses 2.4 million | Historical comparator and sequential analyses | RCA statistical signal for anaphylaxis in Optum data following Moderna | No concerns raised Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing. |
| FDA - BEST Initiative HealthCore Data through 10/4/21 | Pre-specified health outcomes ^a | | | Patients enrolled in BCBS 0-64 years | Total doses 2.9 million | Historical comparator and sequential analyses | RCA statistical signal for anaphylaxis in HealthCore data following Moderna | No concerns raised Further monitoring and analyses of myocarditis/pericarditis in younger age groups ongoing. |
| NPTC Vaccine Sentinel Survey Data presented on 2/6/23 | | | | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 617 total AVE reports <ul style="list-style-type: none"> • 195 medically attended health impact events • 18 potential AESIs | No concerns raised |
| Vaccine Trials (Manufacturer) | | | | | | | See GRADE tables https://www.cdc.gov/vaccines/acip/refs/grade/table-refs.html | |

^aSee Table 5 for the complete list of health outcomes

^bData are currently being processed and will be reported when received ^cat the time of vaccination

Table 3. COVID-19 vaccine monitoring systems reviewed by the VaST – Janssen/Johnson & Johnson (recommended for use in persons age ≥ 18 years)

Red indicates new results this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|----------------------|---------------------------------------|--|--|---|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Population data through 11/7/21; TTS data through 12/6/21 | All health events, adverse events of special interest ^a | US population | 16.4 million total doses administered | Descriptive and empirical Bayesian data mining | 54 confirmed TTS reports to VAERS (37 in females) <ul style="list-style-type: none"> • 3.83/million doses administered • 10.60/million, females 30-39 yrs • 9.02/million, females 40-49 yrs | Further discussions by ACIP CVWG needed; earlier, warnings and other information had been provided in updated EUA and MMWR, and reflected in clinical guidance |
| TTS death data through 12/2/21 | | | | | 9 TTS deaths, all after dose 1 <ul style="list-style-type: none"> • Median age: 45 yrs (range: 28-62) • 7 female and 2 male • Median time from admission to death: 1 day (range: 0-2) | |
| Booster dose data through 11/5/21 | | | | | 201,653 additional Janssen doses administered <ul style="list-style-type: none"> • 58.5 non-serious reports per 100,000 doses • 2.0 serious reports per 100,000 doses | No concerns raised |
| Myocarditis/pericarditis data through 10/6/21 | | | | | 71 reports of myocarditis/pericarditis | No concerns raised |
| GBS data through 1/28/22 | | | | | 59 verified cases of GBS following Janssen/J&J vaccination <ul style="list-style-type: none"> • Median age: 57.0 years • Hospitalized: 80 • Deaths: 1 | Warning added to EUA fact sheets July 12, other information provided in CDC's clinical guidance. Further review and adjudication of cases needed in VAERS and investigation in different systems. |

| | | | | | | |
|---|--|---|--|-------------|--|------------------------|
| Pregnancy data through 2/11/22 | | | | | Observed number of GBS cases following Janssen/J&J vaccine was 2-3 times greater than expected in both post-vaccination intervals | No concerns raised |
| Death reporting rates data through 11/17/21 | | | | | 327 pregnancy-related reports to VAERS. Disproportional reporting for 'prolonged labor' after Janssen (n = 10, confounding factors present). For each vaccine, across all sex and age groups, the observed reporting rate for death events was much lower than the number of expected all cause deaths Bayesian data mining identified mortality due to COVID-19 disease (vaccine failure) following the Ad26.COVS vaccine | |
| Menstrual irregularities data through 1/7/22 | | | | | 558 reports of post-menopausal bleeding reported to VAERS after COVID-19 vaccine (44 after Janssen). Few PMB cases were classified as serious VAERS reports (1 reported after Janssen). No signals were detected | No concerns raised |
| VA ADERS Data through 4/03/22 | All health events | VA employees and Veteran patients | 329,701 vaccines administered | Descriptive | | No concerns raised |
| DoD VAERS Data through 12/31/21 | All health events, adverse events of special interest | Active duty and beneficiaries | 264 thousand Janssen/J&J vaccines administered | Descriptive | 4 cases with myocarditis/pericarditis after Janssen/J&J vaccine | No concerns raised |
| Indian Health Services (IHS) VAERS Presented on 2/06/23 | All health events, adverse events of special interest ^a | Persons who identify as American Indian/Alaska Native | 2.34 million vaccines administered across all vaccines | Descriptive | 62 total AVE reports • 50 medically attended health impact events | No new concerns raised |

| Active Surveillance | | | | | | |
|--|--|----------------------|--|---|---|---|
| | | Vaccinees who enroll | 8,260 v-safe participants who received Janssen primary series booster doses (7,775 had Janssen for their primary series) | Descriptive | Currently not enough data to describe reactivity. | No concerns raised |
| V-safe Booster dose data through 4/10/22 | | | | | | |
| Menstrual irregularities data through 1/22 | | | | | 63,815 people across all vaccines reported responses likely related to menstruation <ul style="list-style-type: none"> Common themes: menstrual timing and menstrual severity | No concerns raised |
| V-safe Pregnancy Registry Data through 1/31/2022 | | Vaccinees who enroll | 22,944 participants enrolled across all vaccines | Descriptive | 22,951 total pregnancies. Pregnancy and neonatal outcome frequencies support safety of the COVID-19 vaccination. | Phase 2 Infant and maternal follow-up through 12 months of age/pregnancy end to start soon. |
| Department of Veterans Affairs (VA) Active Surveillance System RCA data through 10/28/22 Target trial emulation data presented on 9/19/22 | Pre-specified health outcomes ^a | Veteran Patients | 380k doses of Janssen/J&J administered | Descriptive; historical comparator analysis | No signals for anaphylaxis, myocarditis/pericarditis, GBS, TTS, or other AESIs | No new concerns raised |
| | All-cause mortality | Eligible Veterans | 228,130 patients reached end of follow-up (10,853 Janssen/J&J) | Discrete time logistic regression | Day 8 and day 28 all-cause mortality are statistically similar across all vaccines Day 60 all-cause mortality is significantly different across all vaccines <ul style="list-style-type: none"> 11% reduction in risk in vaccinated group | No concerns raised |

| | | | | | | |
|---|--|--|--|--|---|--|
| <p>Vaccine Safety Datalink (VSD) Bell's palsy data through 1/15/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating health care organization</p> | <p>500,000 doses of Janssen/J&J administered</p> | <p>Vaccinated comparator analysis.</p> | <p>Bell's palsy signaled using a 42-day risk interval</p> | <p>Further analysis of Bell's palsy ongoing</p> |
| <p>GBS data through 9/25/21</p> | | | | | <p>10 confirmed cases of GBS following vaccination within 1-98 days</p> | <p>Further analysis of GBS following ongoing</p> |
| <p>Booster dose data through 4/12/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating health care organization</p> | <p>254,973 patients who received Janssen primary series received booster doses (70,607 had Janssen for their primary series)</p> | <p>Vaccinated concurrent comparison, sequential analyses</p> | <p>There were no signals for any prespecified outcomes for Janssen booster doses with Janssen as a primary series</p> | <p>No concerns raised</p> |
| <p>VSD simultaneous and co-administered vaccine data through 3/26/22</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in participating health care organization</p> | <p>15.9 million persons aged ≥5 years administered COVID-19 vaccine</p> | <p>Descriptive and stratified RCA</p> | <p>Small number of outcomes observed among persons who received simultaneous vaccines and/or co-admin vaccines when stratified by outcome, COVID-19 vaccine manufacturer and dose, vaccine family, and age group</p> <ul style="list-style-type: none"> • 1,925 persons received simultaneous vaccines and co-administered vaccines • 194,885 persons received any co-administered vaccine • 100,802 persons received any simultaneous vaccine | <p>No concerns raised. The next steps include conducting analysis comparing observed vs expected from non-simultaneous/-co-administered doses.</p> |

| | | | | | | |
|---|--|---|--|--|--|---|
| <p>Tinnitus and hearing loss presented on 11/14/22</p> | | | <p>417,854 doses of Janssen; 65,238 booster doses of Janssen following Janssen vaccines</p> | <p>Tree-scan, <i>ad hoc</i> temporal scans and descriptive</p> | <p>No clusters of hearing-related outcomes for tree scan analyses No likely cluster found 85/10,000 person-years after Janssen</p> | <p>No concerns raised Tinnitus after vaccination similar to recent global background incidence estimate</p> |
| <p>Tree scan analysis data presented 5/2/22</p> | | | <p>417,854 doses of Janssen; 75,489 booster doses of mRNA following Janssen; 30,452 booster doses of Janssen following Janssen</p> | | <p>Patients 65+ years had a signal for difficulty walking and muscle weakness following Janssen primary dose in the 1-2 day window.</p> | <p>No additional serious adverse events identified using this data mining approach</p> |
| <p>Menstrual irregularities data through 12/2021</p> | <p>Post-menopausal bleeding</p> | <p>Women ≥45 years, KPNW</p> | <p>48,438 vaccinated women</p> | | <p>79 cases of post-menopausal bleeding identified (3 after Janssen). No cases had COVID-19 documented as a likely cause.</p> | <p>No concerns raised</p> |
| <p>Vaccine Safety Datalink (VSD) Mortality Study Vaccinated through 5/31/21 and death data through 7/31/21</p> | <p>Deaths</p> | <p>VSD sites enrolled in the mortality study; vaccinated before 5/31 and number of deaths before 7/31</p> | <p>1,346,445 vaccines administered</p> | <p>Matched cohort analysis</p> | <p>Individuals who received Janssen/J&J COVID-19 vaccine had lower mortality risk after dose 1 and dose 2 than unvaccinated comparators. <ul style="list-style-type: none"> 0.54 (0.49-0.59) RR for mortality of Janssen/J&J vaccine dose 1 recipients versus unvaccinated comparison group </p> | <p>No concerns raised</p> |
| <p>Defense Medical Surveillance System (DMSS)^b</p> | <p>Pre-specified health outcomes^a</p> | | | | | |

| | | | | | | |
|---|--|--|---|--|--|---------------------------|
| <p>FDA - Centers for Medicare and Medicaid Services (CMS)^b Data through 3/14/2022</p> | <p>Pre-specified health outcomes^a</p> | <p>CMS population 65 and above enrolled in Fee-for-Service (FFS)</p> | <p>Total doses 487 thousand</p> | <p>Historical comparator analysis</p> | <p>RCA statistical signal for anaphylaxis in CMS data following Janssen</p> <ul style="list-style-type: none"> • AMI, PE: no evidence of risk • ITP and DIC: sample size too small to make any conclusions | <p>No concerns raised</p> |
| <p>FDA - BEST Initiative^b Optum Data through 11/13/21</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in Optum pre-adjudicated claims, 0-64 years</p> | <p>Total doses 260 thousand</p> | <p>Historical comparator and sequential analyses</p> | <p>No RCA statistical signals for in Optum data following Janssen</p> | <p>No concerns raised</p> |
| <p>FDA - BEST Initiative HealthCore Data through 10/4/21</p> | <p>Pre-specified health outcomes^a</p> | <p>Patients enrolled in BCBS 0-64 years</p> | <p>Total doses 338 thousand</p> | <p>Historical comparator and sequential analyses</p> | <p>No RCA statistical signals in HealthCore data following Janssen</p> | <p>No concerns raised</p> |
| <p>NPTC Vaccine Sentinel Survey Data presented on 2/6/23</p> | <p>Pre-specified health outcomes^a</p> | <p>Persons who identify as American Indian/Alaska Native</p> | <p>2.34 million vaccines administered across all vaccines</p> | <p>Descriptive</p> | <p>69 total AVE reports</p> <ul style="list-style-type: none"> • 29 medically attended health impact events • 4 potential AESIs | <p>No concerns raised</p> |
| <p>Vaccine Trials (Manufacturer)</p> | | | | | <p>See GRADE tables https://www.cdc.gov/vaccines/acip/refs/grade/table-refs.html</p> | |

^aSee Table 5. for the complete list of health outcomes

^bData are currently being processed and will be reported when received

^cAt the time of vaccination

Table 4. COVID-19 vaccine monitoring systems reviewed by the VaST – Novavax (recommended for use in persons age ≥ 18 years)

Red indicates new results this week

| Vaccine Safety Program | Outcomes Monitored | Population Monitored | Population captured | Analyses | Selected Results | Assessment/action |
|--|--|---|---|--|---|--------------------------|
| Passive Surveillance | | | | | | |
| Vaccine Adverse Event Reporting System (VAERS) Dose data through 9/11/22 | All health events, adverse events of special interest ^a | US population | 24,125 total doses administered | Descriptive and empirical Bayesian data mining | 62 VAERS reports <ul style="list-style-type: none"> • 5 serious reports No reports of death or myocarditis | Awaiting additional data |
| Active Surveillance | | | | | | |
| V-safe Dose data through 9/11/22 | | Vaccinees who enroll | 179 v-safe registrants reported receiving a dose of Novavax | Descriptive | Currently not enough data to describe reactogenicity. | Awaiting additional data |
| Vaccine Safety Datalink (VSD) Data through 9/11/22 | Pre-specified health outcomes ^a | Patients enrolled in participating health care organization | <600 doses of Novavax administered | Vaccinated comparator analysis. | Currently not enough data to describe reactogenicity. | Awaiting additional data |
| Vaccine Trials (Manufacturer) | | | | | See GRADE tables https://www.cdc.gov/vaccines/acip/refs/grade/table-refs.html | |

^aSee Table 5. for the complete list of health outcomes

^bData are currently being processed and will be reported when received

^cAt the time of vaccination

Table 5. Health systems and pre-specified health outcomes

| | VAERS | VSD | VA | DMSS | CMS | BEST |
|--|------------------|----------------|----------------|------|----------------|----------------|
| Acute disseminated encephalomyelitis (ADEM) | X ^{1,2} | X | X | X | | |
| Acute myocardial infarction | X | X | X | X | X | X |
| Anaphylaxis | X | X ³ | X | X | X | X |
| Appendicitis | X | X | X | X | X | X |
| Acute respiratory distress syndrome (ARDS) | | X ³ | X | X | | |
| Arthritis and arthralgia (not osteoarthritis or traumatic arthritis) | X ¹ | | X | X | | |
| Ataxia | X ^{1,2} | | | | | |
| Autoimmune disease | X ¹ | | | | | |
| Bell's palsy | X | X | X | X | X | X |
| Chronic inflammatory demyelinating polyneuropathy (CIDP) | X ^{1,2} | | | | | |
| COVID-19 | X ¹ | | | | | |
| Death | X | | | X | | |
| Disseminated intravascular coagulation (DIC) | X | X | X | X | X | X |
| Encephalomyelitis/Encephalitis | | | | | X | X |
| Encephalitis | X | X | X | | | |
| Encephalomyelitis | X ^{1,2} | X | X | | | |
| Encephalopathy | X ^{1,2} | X | X | X | | |
| Guillain-Barré syndrome (GBS) | X | X | X | X | X | X |
| Immune thrombocytopenic purpura (ITP) | | X | X | X | X | X |
| Kawasaki disease | X | X | | | | |
| Meningitis | X ^{1,2} | | X | X | | |
| Meningoencephalitis | X ^{1,2} | X | X | X | | |
| Multiple sclerosis (MS) | X ^{1,2} | | | | | |
| Multisystem Inflammatory Syndrome in Adults (MIS-A) | X | X ³ | X ³ | X | X ⁶ | X ⁶ |
| Multisystem Inflammatory Syndrome in Children (MIS-C) | X | X ³ | | | | X ⁶ |
| Myelitis | X ^{1,2} | X | X | X | | |
| Myocarditis / pericarditis | X | X | X | X | X | X |
| Narcolepsy / cataplexy | X | X ³ | X | X | X ⁴ | X ⁴ |
| Non-anaphylactic allergic reactions | X ¹ | | | | | |

